

09-29-2004

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

REC



102847675

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Amax Coal West, Inc.

9-27-04

Execution Date(s) June 30, 1999

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: RAG Coal West, Inc.

Internal Address: 999 Corporate Boulevard

Linthicum Heights, MD 21090

Street Address: 999 Corporate Boulevard

City: Linthicum Heights

State: Maryland

Country: USA Zip: 21090

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

☐ This document is being filed together with a new application.

B. Patent No.(s)

1. 5,343,631; and 2. 5,486,998

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Foundation Coal Corporation

Internal Address: 999 Corporate Boulevard, #300

Linthicum Heights, MD 21090

Street Address: 999 Corporate Boulevard, #300

City: Linthicum Heights

State: Maryland Zip: 21090

Phone Number: (410) 689-7600

Fax Number: (410) 689-7601

Email Address: callen@foundationcoal.com

6. Total number of applications and patents involved:

2

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 80.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed (Check No. 5273)
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:

Bruce E. Dahl

Signature

09-24-2004

Date

Bruce E. Dahl, Reg. No. 33,670

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: _____

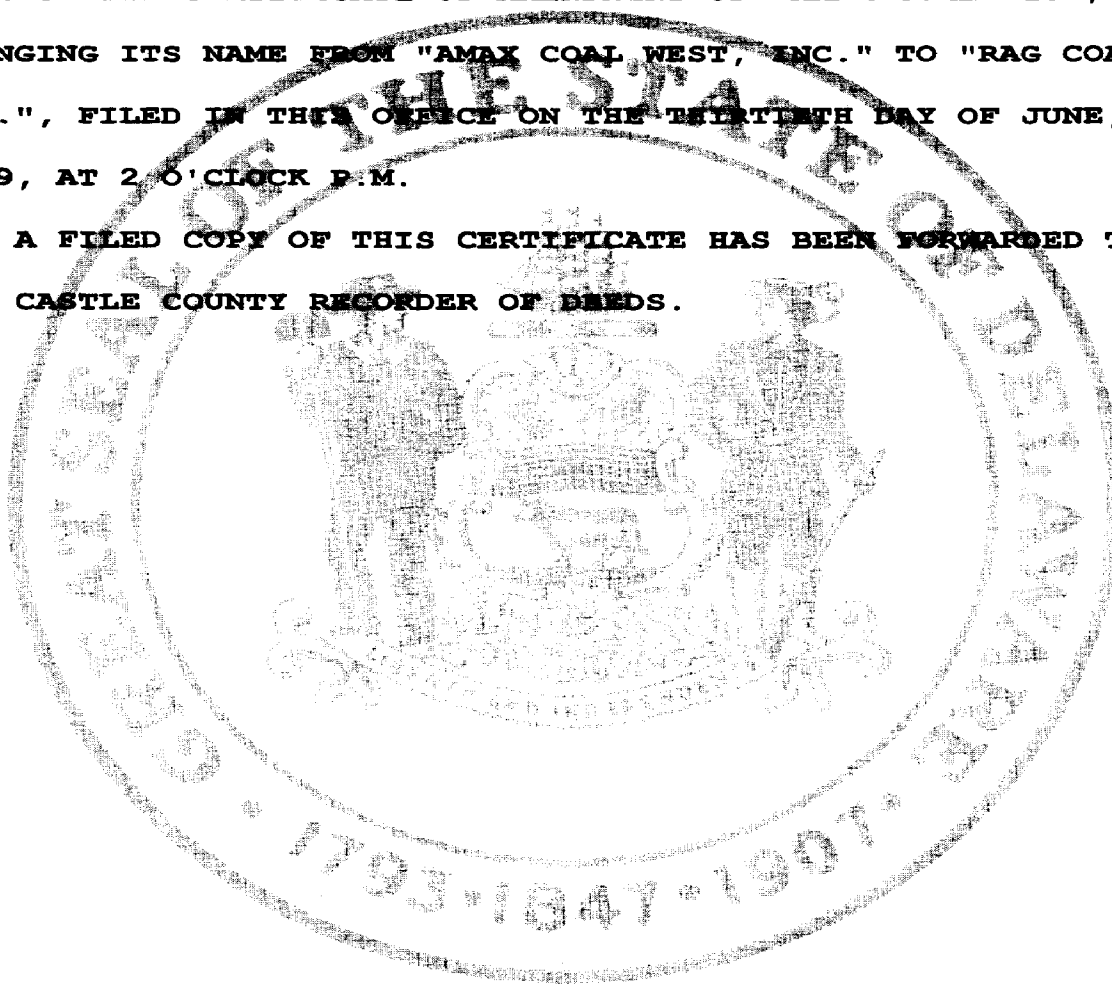
Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 015819 FRAME: 0329

Office of the Secretary of State

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "AMAX COAL WEST, INC.", CHANGING ITS NAME FROM "AMAX COAL WEST, INC." TO "RAG COAL WEST, INC.", FILED IN THIS OFFICE ON THE THIRTIETH DAY OF JUNE, A.D. 1999, AT 2 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



2299416 8100

991267851

Edward J. Freel

Edward J. Freel, Secretary of State

AUTHENTICATION:

DATE:

9840783

06-30-99

PATENT
REEL: 015819 FRAME: 0330

CERTIFICATE OF AMENDMENT
OF
CERTIFICATE OF INCORPORATION
OF
AMAX COAL WEST, INC.

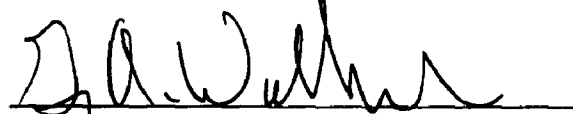
It is hereby certified that:

1. The name of the corporation (hereinafter called the "Corporation") is Amax Coal West, Inc.
2. The Certificate of Incorporation of the Corporation hereby is amended by changing the first Article thereof so that, as amended, said Article shall read as follows:

"1. The name of the corporation is:
RAG Coal West, Inc."
3. The Amendment of the Certificate of Incorporation herein certified has been duly adopted in accordance with the provisions of Section 228 and 242 of the General Corporation Law of the State of Delaware.
4. The effective date of the Amendment herein certified shall be the date of filing.

Signed and attested to as of June 30, 1999.

AMAX COAL WEST, INC.



Greg A. Walker
Senior Vice President

ATTEST:



Susan E. Chetlin
Assistant Secretary