

08-13-2004

Form FTO-1595 (Rev. 06/04)
OMB No. 0851-0027 (exp. 6/30/2005)

102862022

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

SAF T LOK CORPORATION

Execution Date(s) July 22, 2004Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**2. Name and address of receiving party(ies)**Name: James E. Winner Jr.

Internal Address: _____

Street Address: 32 West State StreetCity: SharonState: PennsylvaniaCountry: USA Zip: 16146Additional name(s) & address(es) attached? ☐ Yes ☒ No**3. Nature of conveyance:**

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other Court Ordered

4. Application or patent number(s):☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

4,987,693	5,090,148	5,749,166
5,140,766	5,229,532	5,782,029
5,335,521	5,408,777	5,987,796
5,457,907	5,732,497	

Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**Name: Jack V. Campbell

Internal Address: _____

Street Address: 32 West State StreetCity: SharonState: Pennsylvania Zip: 16146Phone Number: 724-983-4081Fax Number: 724-983-2005Email Address: jackc@winner-intl.com**6. Total number of applications and patents involved:**11**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 440.00**

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Informationa. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:William Pinev, Ch. Trustee for SAF Lok Inc.

Signature

Date

7/22/2004

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 16Documents to be recorded (including cover sheet) should be faxed to (703) 305-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

08/12/2004 ECDOPER 00000018 4987693

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**PATENT
REEL: 015819 FRAME: 0466**

PATENT ASSIGNMENT

WHEREAS, Saf T Lok Corporation, Corporation organized and existing under the laws of the State of Florida, with a mailing address at c/o Attorney William Pineo 764 Park Ave., P.O. Box 598 Meadville, Pennsylvania, 16335 (hereinafter, the "ASSIGNOR"), is the owner of certain issued patents, all of which are listed on Attachment A hereto (hereinafter, the "Patents"); and

WHEREAS, Saf T Lok Corporation filed for Chapter 7 bankruptcy protection in the United States Bankruptcy Court, Western District of Pennsylvania under Case No. 02-11154-WWB; and

WHEREAS, James E Winner Jr. has a perfected security interest in the Patents and the United States Bankruptcy Court has ordered the Trustee to transfer the Patents to James E. Winner Jr., (hereinafter, the "ASSIGNEE"), pursuant to the Order affixed as Attachment "B" hereto;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR does hereby assign, sell, transfer, convey and grant to ASSIGNEE, his heirs and assigns all right, title and interest in and to said Patents and any and all continuations, divisions and renewals of and substitutes for the Patents and to and under any and all additional Letters Patent which may be granted on or as a result thereof in the United States and any and all countries, and to re-issue or reissues or extension or extensions of said Letters Patent, together with the right to sue and recover for, and the right to profits or damages due or accrued arising out of or in connection with, any and all past, present or future infringements, and hereby assigns to and authorizes said ASSIGNEE to file applications for Letters Patent in all countries, the same to be held and enjoyed by said ASSIGNEE, his heirs, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, re-issued or extended as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment, sale, transfer and conveyance not been made.

It is hereby covenanted that ASSIGNOR has the full right to convey the entire interest herein assigned, and the ASSIGNOR has not executed and will not execute any agreement in conflict herewith, and ASSIGNOR further covenants and agrees that it will execute and deliver all such papers as may be necessary or desirable to perfect the title to said Patents in said ASSIGNEE, its heirs, assigns, nominees or legal representatives, and ASSIGNOR agrees to communicate to said ASSIGNEE or to its nominees all known facts respecting said Patents, to testify in any legal proceedings, to sign all lawful papers, to execute all disclaimers and divisional, continuing, re-issue and foreign applications, to make all rightful oaths, and generally to do everything possible to aid said ASSIGNEE, its heirs, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said Patents, inventions or improvements in any and all countries, all at the reasonable expense, however, of said ASSIGNEE, his heirs, assigns, nominees or legal representatives.

IN WITNESS WHEREOF, ASSIGNOR has caused its duly authorized Court appointed representative to execute this Patent Assignment as of this 22 day of July, 2004.

SAF T LOK CORPORATION

By: William Pineo
WILLIAM PINEO, TRUSTEE

Commonwealth of Pennsylvania)

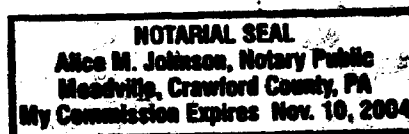
: ss.:

County of Crawford)

Before me, the undersigned, a Notary Public in and for the county aforesaid, on this 22 day of July 2004, personally appeared William Pineo, Trustee, to me known personally, and who, being by me duly sworn, deposes and says he is the Court appointed Trustee of the United States Bankruptcy Court for Saf T Lok Corporation, and that the foregoing instrument was signed and sealed on behalf of said corporation pursuant to Court Order, and said William Pineo, as Trustee acknowledged said instrument to be the free act and deed of said Saf T Lok Corporation.

Alice M. Johnson
Notary Public

My commission expires:



#134306.1

SCHEDULE AUNITED
STATES

4,987,693	Firearm Safety Mechanism	Issue date: 1-28-1991
5,090,148	Firearm Safety Mechanism	Issue date: 2-25-1992
5,140,766	Draw Bar Firearm Lock	Issue date: 8-25-1992
5,229,532	Grip Lock Assembly	Issue date: 7-20-1993
5,335,521	Grip Lock Assembly	Issue date: 8-9-1994
5,408,777	Grip Lock Assembly	Issue date: 4-25-1995
5,457,907	Gun Lock Assembly	Issue date: 10-17-1995
5,732,497	Gun Lock Assembly	Issue date: 3-31-1998
5,749,166	Gun Lock Assembly	Issue date: 5-12-1998
5,782,029	Gun Lock Assembly	Issue date: 7-21-1998
5,987,796	Firearm Safety Mechanism	Issue date: 11-23-1999

CANADIAN

2,079,114	Gun Lock Assembly	filing date: 1-23-1992
2,221,482	Gun Lock Assembly	filing date: 6-10-1996

#134306.1

IN THE UNITED STATES BANKRUPTCY COURT
FOR THE WESTERN DISTRICT OF PENNSYLVANIA

IN RE:)	Bankruptcy Case No. 02-11154-WWB
)	
SAF-T-LOK, INC.,)	Chapter 7
)	
Debtor)	
)	
WILLIAM PINEO, ESQ.,)	Adv. Proc. No. 02-1097-WWB
TRUSTEE,)	
Plaintiff)	Document no. 1
)	
vs.)	
)	
JAMES E. WINNER, JR.,)	
Defendant)	
)	
<hr/>		
JAMES E. WINNER, JR.,)	Motion No. B&W - 1
Movant)	Document no. 15
)	
vs.)	
)	
SAF-T-LOK, INC., debtor; and)	
WILLIAM PINEO, Chapter 7)	
Trustee,)	
Respondents)	

CONSENT ORDER

AT ERIE, in the Western of Pennsylvania, on this 9th day of June,
2004, upon consideration of the Complaint to Avoid Preferential Transfer (the "Complaint")
filed by William Pineo, Esquire as Trustee at Adversary Proceeding No. 02-1097 and the Motion
for Relief from Stay (the "Motion") filed by James E. Winner, Jr. ("Mr. Winner") at Motion
No. B&W-1, and after notice and hearing as appropriate, it appearing that good cause exists for

the entry of this Order and that the parties in interest consent to entry of this Order as evidenced by their signatures (or that of their authorized representatives) hereinbelow, it is

ORDERED, ADJUGED and DECREED as follows:

(1.) The Debtor's granting and the subsequent perfection of security interests in favor of Mr. Winner on the Debtor's assets as described in the Complaint constitute avoidable preferences under Section 547 of the Bankruptcy Code (11 U.S.C. §547) and are hereby avoided for the benefit of the Bankruptcy Estate, except as described in Paragraph 3 below.

(2.) Mr. Winner provided subsequent new value pursuant to 11 U.S.C. §547(c)(4) to the Debtor after the dates of perfection of the security interests described in the Complaint in the form of additional loans/cash advances to the Debtor in the amount of approximately \$99,675 that were not secured by an otherwise unavoidable security interest and on account of which the Debtor did not make an otherwise unavoidable transfer to Mr. Winner.

(3.) In satisfaction of Mr. Winner's new value defense, the Trustee shall abandon to him the Debtor's various guns and display models, twelve (12) patents, office furniture, fixtures, equipment, computers, show and display equipment and miscellaneous inventory of repair parts. Mr. Winner's security interests in these items only shall continue to exist unaffected by this Order.

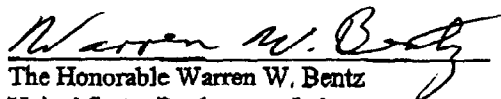
(4.) Mr. Winner is hereby granted relief from the automatic stay to exercise his state law rights as to the items described in paragraph 3 above.

(5.) Mr. Winner's security interests in the Debtor's account receivables are specifically avoided as preferences for the benefit of the Bankruptcy Estate.

(6.) Mr. Winner hereby agrees to the withdrawal of the Proofs of Claim filed by or on behalf of the following entities at the Claim Numbers set forth below, each of which are hereby deemed disallowed.

<u>Claim No.</u>	<u>Creditor Name</u>	<u>Amount</u>
19	Winner Administration	\$106,788.26
20	James E. Winner, Jr.	\$400,000.00
21	James E. Winner, Jr.	\$686.70
22	Winner International	\$36,342.09
23	Winner Construction	\$515.50
24	Winner Rental	\$6,983.20
25	Security World International	\$927.97
27	Tara, A Country Inn	\$838.46

(7.) Mr. Winner, individually and on behalf of his corporations and employees, including but not limited to John Hornbostel, shall cooperate fully with the Trustee in regards to any and all other adversary proceedings filed or to be filed by the Trustee.


The Honorable Warren W. Bentz
United States Bankruptcy Judge

554802

READ AND AGREE TO:

By: 
William Pineo, Esquire, Trustee

By: 
Mark G. Claypool, Esquire
Attorney for the Trustee

By: _____
P. Raymond Bartholomew, Esquire
Attorney for James E. Winner, Jr.

FILED

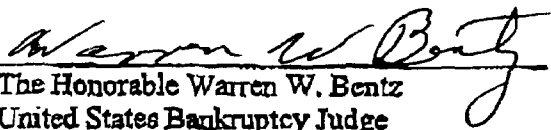
JUN 8 2004

CLERK, U.S. BANKRUPTCY COURT
WEST. DIST. OF PENNSYLVANIA
ERIE OFFICE

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The Honorable Warren W. Bentz
United States Bankruptcy Judge

554802

READ AND AGREE TO:

By: William Pineo, Esquire, Trustee

By: Mark G. Claypool, Esquire
Attorney for the Trustee

By: P. Raymond Bartholomew
P. Raymond Bartholomew, Esquire
Attorney for James E. Winner, Jr.

In The United States Bankruptcy Court For The Western District of Pennsylvania I, the undersigned Deputy Clerk, U.S. Bankruptcy Court in and for said District, DO HEREBY CERTIFY that this copy has been compared with the original thereof and that it is a complete and correct copy of such original as it appears of record and on file in my office.

IN TESTIMONY WHEREOF I have hereunto set my hand at Erie in said District, this 23rd day of July, 2004
Dick Sontheimer
Deputy Clerk, U.S. Bankruptcy Court