

09-30-2004

9/27/04

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To the Director of the U.S. Patent and Trademark Office, _____ documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Samuel Ward Casscells, III, M.D.

Execution Date(s) October 8, 2003

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Board of Regents, The University of Texas

Internal Address: _____

Street Address: 201 West Seventh Street

City: Austin

State: Texas

Country: USA Zip: 78701

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

6,454,707

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Tim L. Burgess

Internal Address: _____

Street Address: 402 Oak Lane

City: Houston

State: Texas Zip: 77024

Phone Number: 713-467-8869

Fax Number: 713-467-8879

Email Address: burgess@hal-pc.org

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
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b. Deposit Account Number _____

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9. Signature:

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40.00 OP

Signature

September 27, 2004

Date

Tim L. Burgess

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 2

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency, and adequacy of which are hereby acknowledged, the undersigned does hereby:

SELL, ASSIGN, AND TRANSFER to the BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM (the "Assignee"), having a place of business at 201 West Seventh Street, Austin, Texas 78701, its successors and assigns, for the territory of the United States of America and for all foreign countries, the undersigned's entire right, title, and interest in and to the invention entitled "Method and apparatus for predicting mortality in congestive heart failure patients", described and claimed in an application for Letters Patent of the United States of America (U.S. Serial Number 09/519,122), as well as any improvements thereof, and any and all applications for said improvements, including any and all divisional, renewal, substitute, continuation, and continuation-in-part application, together with the right to claim priority accruing from said applications, and all Letters Patent which may be granted on any said application(s) for said invention or any said improvements, and all extension(s) and reissue(s) thereof. Such assignment shall not include those rights previously returned to S. Ward Casscells in The University of Texas' "Release of Invention Disclosure" letter dated March 21, 2000;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT that, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisionals, continuing, substitutes, renewals, reissues, and all other patent applications, on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for securing, maintaining and enforcing proper patent protection for such improvements and for vesting title to such improvements in the Assignee;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

(Signature) [Handwritten Signature]
Name: S. Ward Casscells, M.D.

Date October 8, 2003

State of Texas §
§
County of Harris §

BEFORE ME, the undersigned authority, on this 8th day of October, 2003 personally appeared S. WARD CASSELLS known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[Handwritten Signature]
Notary or Consular Officer

