

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Ronghua WEI

Execution Date(s) 12/09/2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Southwest Research Institute

Internal Address: 6220 Culebra Road

Street Address: Same

City: San Antonio

State: Texas

Country: US Zip: 78238-5166

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/963,341

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: The Morris Law Firm, P.C.

Internal Address: 10260 Westheimer, Suite 360

Street Address: Same

City: Houston

State: Texas Zip: 77042-3110

Phone Number: (713) 334-5151

Fax Number: (713) 334-5157

Email Address: pmorris@morrisiplaw.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

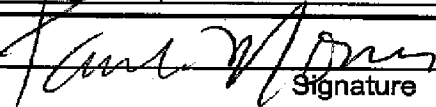
8. Payment Information

a. Credit Card Last 4 Numbers 3003
Expiration Date 07/2006

b. Deposit Account Number 50-0997(SwRI309802)

Authorized User Name _____

9. Signature:


Signature

3-2-05
Date

Paula D. Morris / Reg. No. 31,516

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

6

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

700158585

PATENT
REEL: 015820 FRAME: 0845

OP \$40.00 10963341

Page 1 of 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of
Ronghua Wei

Serial No. 10/693,341

Filed: October 12, 2004

§
§
§
§
§
§

Action: Assignment

Title: System and Apparatus for Magnetron Sputter Deposition

TO: Commissioner of Patents
and Trademarks
Washington, DC 20231

WHEREAS, I, RONGHUA WEI, a citizen of the United States, residing at 7906 Sierra Verde, Bexar County, San Antonio, Texas, have invented new and useful improvements in *SYSTEM AND APPARATUS FOR MAGNETRON SPUTTER DEPOSITION* for which I have executed an application for Letters Patent which was filed on October 12, 2004;

WHEREAS, I represent and warrant that I am the sole owner of said invention and application for Letters Patent; and,

WHEREAS, SOUTHWEST RESEARCH INSTITUTE®, hereinafter called "ASSIGNEE," a Texas corporation having its principal office at 6220 Culebra Road, San Antonio, Bexar County, Texas 78238-5166, is desirous of acquiring my right, title and interest in and to the said application and the invention therein described and claimed and any Letters Patent that may be issued upon said application or for the invention therein contained;

NOW, THEREFORE, for and in consideration of the sum of Sixty-Five Dollars (\$65.00), lawful money of the United States, and other valuable consideration, to me in hand paid, the receipt and sufficiency whereof I hereby acknowledge, I, the said RONGHUA WEI, have sold, assigned and transferred and do hereby sell, assign and transfer unto the said ASSIGNEE, its successors and assigns, my entire right, title and interest in and to (a) said invention and worldwide rights therein, including the right to claim the priority date of said application and all other rights arising under the International Convention for the Protection of Industrial Property; (b) said application, including all divisions, continuations, continuations-in-part and substitutions thereof; and (c) all United States and foreign patents which shall issue on said invention, including all reissues, renewals, divisions and

PATENT

REEL: 015820 FRAME: 0846

Page 2 of 3

extensions thereof, for the United States, its territories and possessions and all foreign countries, including the right to file applications for Letters Patent on said invention in any and all foreign countries, the same to be held and enjoyed by said ASSIGNEE, its assigns and successors, as fully and entirely as the same would have been held and enjoyed by me, had this Assignment and sale not been made.

I request that any and all such Letters Patent for said invention be issued to ASSIGNEE, its successors, assigns and legal representatives, or to such nominees as it may designate.

I covenant and agree that I and my executors or administrators will, at any time upon the request and at the expense of said ASSIGNEE, execute and deliver any and all papers and perform all lawful acts that may be necessary or desirable, in the opinion of said ASSIGNEE, to enable and assist said ASSIGNEE to (a) obtain Letters Patent, both domestic and foreign, on said invention; (b) establish, maintain and secure title in said ASSIGNEE, its successors and assigns, to said invention, application and Letters Patent, including making such title of lawful public record; and (c) defend, establish or otherwise preserve the validity of said Letters Patent against any and all infringers, and perform such other acts as are necessary to give full force and effect to this Assignment.

ASSIGNOR further covenants with ASSIGNEE that the rights and property herein conveyed are free and clear of any encumbrances, and that ASSIGNOR has the full right to convey the same as herein expressed.

IN TESTIMONY WHEREOF, I have duly executed this Assignment this 9th day of December, 2004.



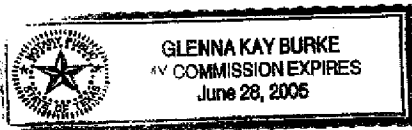
Ronghua Wei

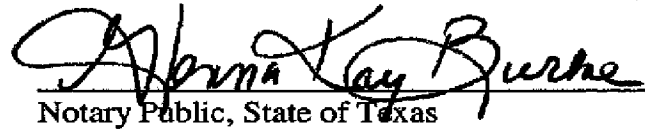
Page 3 of 3

STATE OF TEXAS §
 §
COUNTY OF BEXAR §

BEFORE ME, the undersigned authority, on this day personally appeared RONGHUA WEI, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN under my hand and seal of office this 9th day of December, 2004.




Notary Public, State of Texas