



10-04-2004

FORM PTO-1595
(Rev. 10/02)

RE

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Docket No.: 50212-603

102850344
PATENTS ONLY

To the Honorable Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereto:

1. Name of Conveying Party(ies):

Takahiko KAWAHARA

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: July 13, 2004

2. Name and address of receiving party(ies):

Name: SUMITOMO ELECTRIC INDUSTRIES, LTD.

Address: 5-33, Kitahama 4-chome, Chuo-ku
Osaka-shi, Osaka, Japan 541-0041Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

10/882,679, filed July 2, 2004

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MCDERMOTT WILL & EMERY LLP

Internal Address:

Street Address: 600 13th Street, N.W.

City: Washington State: D. C. Zip: 20005-3096

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

500417

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Arthur J. Steiner, Registration No. 26,106

September 29, 2004

Name and Registration No. of Person Signing

Signature

Date

Total number of pages including cover sheet: 2

OMB No. 0651-0027 (exp. 6/30/2005)

10/01/2004 6TOM11 00000249 500417 10082679

01 FC:8021

40.00 BA

PATENT
REEL: 015837 FRAME: 0161

ASSIGNMENT

Whereas, I/we,

NameAddress

c/o Yokohama Works of Sumitomo Electric Industries, Ltd.,

1) **Takahiko KAWAHARA**1, Taya-cho, Sakae-ku,
Yokohama-shi, Kanagawa 244-8588 Japan

hereinafter called assignor(s), have invented certain improvements in
SEMICONDUCTOR OPTICAL DEVICE AND METHOD OF MAKING THE SAME

and executed an application for Letters Patent of the United States of America therefor on even date herewith unless
otherwise indicated below:

filed on July 2, 2004, Serial No. _____; and

Whereas

SUMITOMO ELECTRIC INDUSTRIES, LTD.5-33, Kitahama 4-chome, Chuo-ku,
Osaka-shi, Osaka 541-0041 Japan

(assignee), desires to acquire the entire right, title and interest in the application and invention, and to any United States
patents to be obtained therefor;

NOW THEREFORE, be it known that, for good and valuable consideration from assignee, the receipt of which is
hereby acknowledged, I/WE, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign,
transfer, and set over unto the assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to
this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States
which may be granted thereon, and all reissues thereof; and I/WE hereby authorize and request the Commissioner of
Patents and Trademarks of the United States to issue all Letters Patent for this invention to assignee, its successors and
assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with
assignee, its successors and assigns, any facts known to ME/US respecting this invention and testify in any legal
proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or
desirable to perfect the title to this invention in said assignee, its successors and assigns, execute all divisional,
continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its
successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being
understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and
assigns.

INVENTORSDATE SIGNED

1):

Name:

Takahiko Kawahara
Takahiko KAWAHARAJuly, 13, 2004