



10-06-2004



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Docket No.: 01640348AA

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To the Director of the United States Patent and Trademark Office. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Gary Pickrell, Daniel Kominsky, Roger Stolen, Jeong Kim,  
Anbo Wang, Ahmad Safaai-Jazi

9-30-04

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 8/18/04, 9/8/04, 8/24/04, 9/17/04, 8/19/04, 9/2/04

2. Name and address of receiving party(ies):

Name: Virginia Polytechnic Institute and State University

Address:

City: Blacksburg State/Prov.: VA

Country: US ZIP: 24060

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

10/863,805

06/09/04

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael E. Whitham

Registration No. 32,635

Address: Whitham, Curtis & Christofferson, P.C.

11491 Sunset Hills Road, Suite 340

City: Reston State/Prov.: VA

Country: US ZIP: 20190

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

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8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael E. Whitham

Name of Person Signing

Signature

September 30, 2004

Date

4

Total number of pages including cover sheet, attachments, and

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PATENT

REEL: 015846 FRAME: 0308

10/05/2004 ECTUPER 10663805 40.00 DP 01 FCB021

**ASSIGNMENT**

**WHEREAS**, Gary Pickrell, Daniel Kominsky, Roger Stolen, Jeong Kim, Anbo Wang, and Ahmad Safaai-Jazi, all of Blacksburg, Virginia, (hereinafter referred to as **ASSIGNORS**), have invented certain new and useful improvements in an invention entitled:

**HOLEY OPTICAL FIBER WITH RANDOM PATTERN OF HOLES AND METHOD FOR MAKING SAME**

for which an application for United States Letters Patent was filed on 06/09/2004 and has been accorded serial number 10/863,805; and

**WHEREAS**, Virginia Polytechnic Institute & State University, (hereinafter referred to as **ASSIGNEE**), an institute of higher education organized under the laws of the Commonwealth of Virginia, having an office and principal place of business at Blacksburg, Virginia, is desirous of acquiring the entire right, title and interest in, to and under said application and said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

**NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:** Be it known that in consideration of the payment by **ASSIGNEE** to **ASSIGNORS** of the sum of One Dollar (\$1.00), the receipt and sufficiency of which is hereby acknowledged, and for other good and valuable consideration, **ASSIGNORS** hereby sell, assign and transfer to **ASSIGNEE**, its lawful successors and assigns, the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, continuation-in-part, reexamination, renewal, substitute, extension or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted; and authorize and request the Commissioner of Patents of the United States and any official of any foreign country whose duty it is to issue patents or legal equivalents thereto, to issue same for this invention to **ASSIGNEE**, its lawful successors and assigns.

**ASSIGNORS** hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

**ASSIGNORS** further covenant that **ASSIGNEE** will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to **ASSIGNORS** and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to **ASSIGNEE** or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, ASSIGNOR has caused the hand of its proper office to be subscribed hereto this 16<sup>th</sup> day of August, 2004.

Witnessed by:

Debbie Collins

Gary L. Pickrell  
Gary Pickrell

IN WITNESS WHEREOF, ASSIGNOR has caused the hand of its proper office to be subscribed hereto this 8<sup>th</sup> day of September, 2004.

Witnessed by:

Gary L. Pickrell

Daniel Kominsky  
Daniel Kominsky

IN WITNESS WHEREOF, ASSIGNOR has caused the hand of its proper office to be subscribed hereto this 24 day of August, 2004.

Witnessed by:

Kimberly K. Medley

Roger Stolen  
Roger Stolen

IN WITNESS WHEREOF, ASSIGNOR has caused the hand of its proper office to be subscribed hereto this 11<sup>th</sup> day of September, 2004.

Witnessed by:

Jeong I. Kim

Jeong I. Kim  
Jeong I. Kim

IN WITNESS WHEREOF, ASSIGNOR has caused the hand of its proper office to be subscribed hereto this 19 day of AUGUST, 2004.

Witnessed by:

Debra Collins

Anbo Wang

IN WITNESS WHEREOF, ASSIGNOR has caused the hand of its proper office to be subscribed hereto this 2 day of September, 2004.

Witnessed by:

Carl Dietrich

A. Safaai-Jazi  
Ahmad Safaai-Jazi