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Atty Docket 034.0007US

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10-08-2004  
102855709

U.S. DEPARTMENT OF COMMERCE  
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To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Shu-Wei Chiu  
Chih-Sung Chang

9-28-04

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: August 18, 2004

2. Name and address of receiving party(ies)  
Name: United Epitaxy Company, Ltd.  
Internal Address:

Street Address: 9F, No. 10, Li-Hsin Rd.  
Science-Based Industrial Park  
Hsinchu, TAIWAN

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_  
Additional name(s) & address(es) attached? ☐ Yes ☒ No

17541 U.S. PTO  
10/953984

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: August 18, 2004

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

10953984

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Brett A. Carlson

Ingrassia Fisher & Lorenz, P.C.

Internal Address: \_\_\_\_\_

Street Address: 7150 E. Camelback Rd., Ste. 325

City: Scottsdale State: AZ Zip: 85251

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41). . . . . \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-2091

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Brett A. Carlson, Reg. No. 39,928  
Name of Person Signing

Signature

September 28, 2004  
Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:  
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10/04/2004 BSAYAS11 00000018 10953984

02 FC:8021

40.00 CP

PATENT  
REEL: 015860 FRAME: 0746

United States Utility Patent Application  
Attorney Docket No.:  
First Named Inventor:

## ASSIGNMENT

**WHEREAS**, the undersigned inventor(s) (hereinafter individually and collectively referred to as "ASSIGNOR") have invented:

for which application for Letters Patent of the United States:

☐ has been executed on even date herewith;

☐ executed on \_\_\_\_\_;

☐ was filed on \_\_\_\_\_ and assigned U.S. Application Serial No. \_\_\_\_\_;

**AND WHEREAS**, UNITED EPITAXY COMPANY, LTD., a ☐ corporation; ☐ partnership; individual ☐ having a place of business at 9F, No. 10, Li-Hsin Rd., Science-Based Industrial Park, Hsinchu, Taiwan and (hereinafter "ASSIGNEE"), and successors, assigns, and legal representatives, is desirous of acquiring an interest therein;

**AND WHEREAS**, a "formal application," as referred to herein, shall mean any provisional, nonprovisional, continuation, continuation in part, continued prosecution, substitute, renewal, extension, divisional, reissue, reexamination, foreign, Patent Cooperation Treaty (PCT) or other patent application, inventor's certificate, or like document;

**NOW, THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR does hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right to the aforesaid application, to the invention as described in the aforesaid application, and to any formal application which may be filed based in whole or in part on the aforesaid application, in the United States and all foreign countries, together with the right of priority under any international agreements to which the United States currently adheres and adheres to in the future, and hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for the sole use and benefit of ASSIGNEE, its successors, assigns and legal representatives,

**AND ASSIGNOR HEREBY AGREES** to transfer, upon request of ASSIGNEE, its successors, assigns and legal representatives, and without further remuneration, a like interest in and to any improvements and formal applications based thereon, growing out of or relating to the invention; and, without further remuneration, to provide all reasonable assistance and execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, to preserve and/or acquire ASSIGNEE's full protection and title in and to the invention hereby transferred and any improvements therein,

**ASSIGNOR AGREEING, FURTHERMORE**, upon request of ASSIGNEE, and without further remuneration, to execute any and all papers desired by ASSIGNEE for the filing and granting of formal applications based on the invention or improvements therein, and the perfecting of title thereto in ASSIGNEE.

Page 1 of 2

LeeTsai 4EPITAXY/04002US; 930202US

**EXECUTED** as of the date(s) written below by ASSIGNOR:

Shu-Wei Chin  
Inventor's Signature

CHIU, SHU-WEI  
Inventor's Printed Name

Date: 8/18 '04

Chih-Sung Chang  
Inventor's Signature

CHANG, CHIH-SUNG  
Inventor's Printed Name

Date: 8/18 2004