

9-30-04

10-13-2004

Atty. Docket No. 4366-210
Avaya Ref. No. 404089-A-01-US (Hepworth)

(Rev. 06/04)



102856998

COVER SHEET

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new (address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Neil Hepworth
Paul Roller Michaelis

Execution Date(s) September 28, 2004 by Neil Hepworth
Septmber 29, 2004 by Paul Michaelis

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies):

Name: Avaya Technology Corp.

Internal Address: _____

Street Address: 211 Mount Airy Road

City: Basking Ridge State: NJ

Country: U.S.A. Zip: 07920

Additional name(s) & Address(es) attached? ☐ Yes ☒ No

10956958

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

Not Yet Assigned

B. Patent No.(s)

None

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Douglas W. Swartz
SHERIDAN ROSS P.C.
1560 Broadway, Suite 1200
Denver, CO 80202-5145
Phone: (303) 863-9700
Fax: (303) 863-0223

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) .. \$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit Account Number: 50-1602

(Attach duplicate copy of this page if paying by deposit account)

9. Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Douglas W. Swartz

Reg. No. 37,739

Douglas Swartz
Signature

Sept 30, 2004
Date

Total number of pages including cover sheet, attachments, and document: 5

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

10/12/2004 6TON11 00000184 501602 10956958

01 FC:8021 40.00 DA

PATENT
REEL: 015868 FRAME: 0973

Atty. Docket No. 4366-210
Avaya Ref. No. 404089-A-01-US (Hepworth)

ASSIGNMENT AND AGREEMENT

For value received, we, Neil Hepworth of 2 Broughton Road, Unit ^{703 NY} X, Artarmon NSW 2064 Australia, and Paul Roller Michaelis of 1918 Quail Circle, Louisville, Colorado 80027, hereby sell, assign and transfer to Avaya Technology Corp., a corporation of the State of Delaware, having an office at 211 Mount Airy Road, Basking Ridge, New Jersey 07920, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **"ENHANCING NETWORK INFORMATION RETRIEVAL ACCORDING TO A USER SEARCH PROFILE"** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Avaya Technology Corp. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Avaya Technology Corp. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Avaya Technology Corp. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Avaya Technology Corp. in the United States and in all countries foreign to the United States, or to such nominees as Avaya Technology Corp. may designate.

We agree that, when requested, we shall, without charge to Avaya Technology Corp. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Atty. Docket No. 4366-210
Avaya Ref. No. 404089-A-01-US (Hepworth)

Date: 28 9 04

Neil Hepworth
Neil Hepworth

Australia)
) ss.
New South Wales)

On this 28th day of September, 2004, before me personally came Neil Hepworth, to me known to be the individual described in, who provided as identification Driving license, number 12891626, expiration date 24 Jan 2009 and who executed the foregoing instrument, and acknowledged execution of the same.

Justice of the Peace

*Atty. Docket No. 4366-210
Avaya Ref. No. 404089-A-01-US (Hepworth)*

ASSIGNMENT AND AGREEMENT

For value received, we, **Nell Hepworth** of 2 Broughton Road, Unit 2, Artarmon NSW 2064 Australia, and **Paul Roller Michaelis** of 1918 Quail Circle, Louisville, Colorado 80027, hereby sell, assign and transfer to Avaya Technology Corp., a corporation of the State of Delaware, having an office at 211 Mount Airy Road, Basking Ridge, New Jersey 07920, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **"ENHANCING NETWORK INFORMATION RETRIEVAL ACCORDING TO A USER SEARCH PROFILE"** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Avaya Technology Corp. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.


We authorize Avaya Technology Corp. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

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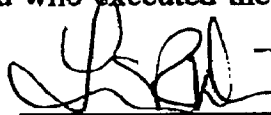


Paul Roller Michaelis

Date: SEP 28, 2004

United States of America)
State of Colorado) ss.
County of Adams)

On this 28th day of September 2004, before me personally came **Paul Roller Michaelis**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

Date: _____
My Commission Expires 08/04/08

Avaya Technology Corp.
211 Mount Airy Road
Basking Ridge, New Jersey 07920