

To the Honorable Commissioner of Patents 8,52375

SHEET

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

Customer No. 23910

attached original documents or copy thereof.

1. Name of conveying parties:

Paul Patrick Kenneth D. Yagen Mingde Xu Mark A. Falco Richard J. Riendeau

Additional names of conveying partiesattached? __Yes X No

3. Nature of conveyance: Assignment

Execution Dates:

Paul Patrick - October 4, 2004 Kenneth D. Yagen - October 5, 2004 Mingde Xu - October 5, 2004 Mark A. Falco - October 4, 2004

Richard J. Riendeau - October 4, 2004

2. Name and address of receiving party:

Name:

BEA Systems, Inc.

Address:

2315 North First Street

San Jose, California 95131

Additional name(s) & address(es) attached? ___ Yes _ No

4. Application number: This document is being filed together with a new application.

Patent Application No.: Not yet assigned

Title: DELEGATED ADMINISTRATION FOR A DISTRIBUTED SECURITY SYSTEM

Filed Date: Herewith

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sheldon R. Meyer

Address: Fliesler Meyer LLP

Four Embarcadero Center, Fourth Floor

San Francisco, CA 94111

Telephone: (415) 362-3800

6. Total Number of applications and patents involved: 1 X \$40.00 each

7. Total fee (37 CFR 3.41)......\$ 40.00 A check for \$40 is enclosed.

8. Fee Authorization. Authorization is given to charge \$40 and any additional fees or credit any overpayment to Deposit Account No. 06-1325.

Copy. (A duplicate copy of this authorization is **not** attached.)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached

copy is a true copy of the original document.

Daniel J. Burns

Attorney (Reg. No.: 50,222)

10. Total number of pages to be recorded: 6 (1 page cover sheet and 5 page document).

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Attorney Docket No.: BEAS-01502US0 SRM/DJB

bponte/beas1502us0.Recordation

- 1 -

PATENT REEL: 015883 FRAME: 0605



JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned Inventors:

- (1) Paul Patrick, residing at 9 Cobblestone Lane, Manchester, NH 03109;
- (2) David Byrne, residing at 6 Kimball Court, Woburn, MA 01801;
- (3) Kenneth D. Yagen, residing at 1769 Broadway Street, #4, San Francisco, CA 94109;
- (4) Mingde Xu, residing at 3681 Irlanda Way, San Jose, CA 95124;
- (5) Jason Howes, residing at 1770 Massachusetts Avenue #179, Cambridge, MA 02140-2808;
- (6) Mark A. Falco, residing at 257 Marrett Road, Lexington, MA 02421; and
- (7) Richard J. Riendeau, residing at 1631 Arboretum Way, Burlington, MA 01803,

have invented certain new and useful improvements in:

DELEGATED ADMINISTRATION FOR A DISTRIBUTED SECURITY SYSTEM

for which we have executed a declaration for an application for a United States patent on or about the date of this Assignment and for which said invention claims priority to U.S. Provisional Patent Application No. 60/508,427 entitled SYSTEMS AND METHODS FOR ENTERPRISE APPLICATION SECURITY, filed October 10, 2003 (Attorney Docket No. BEAS-1253US0),

WHEREAS <u>BEA Systems</u>, <u>Inc.</u> (hereinafter termed "Assignee"), a corporation of the State of <u>Delaware</u>, having a place of business at <u>2315 North First Street</u>, <u>San Jose</u>, <u>California 95131</u>, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

- 1. Said Inventors do hereby sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.
- 2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional

Attorney Docket No.: BEAS-1502US0 SRM/DJB dburns/BEAS1502-US0.Assignment

1

PATENT REEL: 015883 FRAME: 0606 applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

- 3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.
- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date as given below and delivered this instrument to said Assignee:

Date 10/4/2004	(1) Paul Patrick
Date	(2) David Byrne
Date	(3)Kenneth D. Yagen
Date	(4) Mingde Xu
Date	Jason Howes
Date	(6) Mark A. Falco
 Date	(7)Richard I Riendeau

Attorney Docket No.: BEAS-1502US0 SRM/DJB dburns/BEAS1502-US0.Assignment

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applications covering said invention; (c) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

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- Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date as given below and delivered this instrument to said Assignee:

	(1)
Date	Paul Patrick
Date	(2) David Byme
Date 10/5/2004	(3)
Date 10/5/2004	(4) Mingde Xu
Date	Jason Howes
Date	(6) Mark A, Falco
Date	(7)Richard J. Riendeau

Attorney Docket No.: BEAS-1502US0 SRM/DJB

dburns/BEAS1502-US0. Assignment

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Date	(4) Mingde Xu
Date	Jason Howes
/ \(\sqrt{9/9} \)\(\sqrt{Date}	(6) Mark A. Falco
Date	(7)Richard J. Riendeau

Attorney Docket No.: BEAS-1502US0 SRM/DJB dburns/BEAS1502-US0.Assignment



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Date	(3)Kenneth D. Yagen
Date	(4) Mingde Xu
Date	Jason Howes
Date	(6) Mark A. Falco
10/4/2004 Date	(7) Richard J. Riendeau

Attorney Docket No.: BEAS-1502US0 SRM/DJB

RECORDED: 10/08/2004

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3