

10-20-2004

Docket No.: LEE0031-US

IEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



102863429

Tab settings → → → ▼

To the Director of the United States

and the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ying-Hsin LI;
Sheng-Chieh YANG;
An SHIH;
Ming-Dou KER; Tang-Kui TSENG and Chih-Kang DENG

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 05/02/03, 05/05/03, 05/27/03 & 06/24/03

2. Name and address of receiving party(ies):

Name: **TOPPOLY OPTOELECTRONICS CORP.**

Address: **NO. 12, KE JUNG ROAD**

SCIENCE-BASED INDUSTRIAL PARK

MAO-LI COUNTY

City: **CHU-NAN 350** State/Prov.:

Country: **TAIWAN, R.O.C.** ZIP:

Additional name(s) & address(es) ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 05/02/03; 5/5/03;

Patent Application No. Filing date

B. Patent No.(s) 05/27/03 & 06/24/03

Additional numbers ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **MICHAEL D. BEDNAREK**

Registration No. **32,329**

Address: **SHAW PITTMAN LLP**

50 TYSONS BOULEVARD

City: **MCLEAN** State/Prov.: **VA**

Country: **USA** ZIP: **22102**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-1390

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

MICHAEL D. BEDNAREK

Name of Person Signing

Signature

FEBRUARY 20, 2004

Date

02/27/2004 DBYRNE 00000129 10781879

Total number of pages including cover sheet, attachments, and

01 FC:8021

40.00 All documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office
P.O. Box 1450 Alexandria, VA 22313-1450

PATENT
REEL: 015890 FRAME: 0862

DOCKET NO. LEE0031-US

ASSIGNMENT

WHEREAS, LI, Ying-Hsin, residing at No. 5, Lane 546, Dashuen 2nd Rd., Sanmin Chiu, Kaohsiung City, Taiwan;

YANG, Sheng-Chieh, residing at No. 120, Jenfu St., Taoyuan City, Taoyuan County, Taiwan;

SHIH, An, residing at No. 98-1, Fanjin Rd., Puyan Shiang, Changhua County, Taiwan;

KER, Ming-Dou, residing at 4Fl.-3, No. 3, Lane 200, Baushan Rd., Hsinchu City, Taiwan;

TSENG, Tang-Kui, residing at No. 34, Alley 122, Lane 459, Guangming Rd., Judung Jen, Hsinchu County, Taiwan; and

DENG, Chih-Kang, residing at 3Fl., No. 17, Junggang 3rd St., Shinjuang City, Taipei County, Taiwan

(hereinafter "Assignors") have invented certain new and useful improvements in

METHOD AND STRUCTURE OF DIODE

for a full description of which, reference is here made to an application for Letters Patent of the United States of America

☒ Executed concurrently herewith

☐ Executed on

☐ Serial. No. , filed

in the U.S. Patent and Trademark Office and to U.S. provisional patent application(s)

☐ Serial. No. , filed

in the U.S. Patent and Trademark Office; and

WHEREAS, Assignee Toppoly Optoelectronics Corporation, a corporation of Taiwan, R.O.C. of State of Incorp., having its principal office and place of business at No.12, Ke Jung Rd., Science-Based Industrial Park, Chu-Nan 350, Miao-Li County,

LeeTsai, 4TOPPOLY/0225US, P91208

invoke and claim said rights without further written or oral authorization from Assignors.

5/02 09:10:09

ASSIGNMENT

DOCKET NO. LEE0031-US

Taiwan, (hereinafter "Assignee") is desirous of acquiring the entire right, title, and interest in, to, and under said invention and application above identified, and in, to, and under any Letters Patent that may be obtained for said invention, together with all foreign rights corresponding thereto, as hereinafter more fully set forth.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that, for good and valuable and legally sufficient consideration, the receipt of which by each Assignor from Assignee is hereby acknowledged, Assignors have agreed to sell, assign, and transfer and by these presents does hereby, sell, assign, and transfer unto Assignee the entire right, title and interest in, to, and under said invention and application above identified and Letters Patent of the United States of America that may be obtained in respect thereof; any corresponding applications for Letters Patent and Letters Patent therefor in all other areas of the world; and any reissues, extensions, substitutions, confirmations, divisions, and continuations of any of the foregoing (hereinafter "Invention Rights"), to have and to hold for the sole and exclusive use and benefit of Assignee forever.

Assignors hereby covenant and agree, for themselves and for their respective legal representatives, to assist and cooperate with Assignee in the preparation and prosecution of any applications included within the Invention Rights and in the prosecution or defense of any interference, opposition, or other proceeding that may arise in connection with any applications or Letters Patent included within the Invention Rights and further to execute and deliver to Assignee any and all additional papers that may be requested by Assignee for the purpose of implementing the terms of this ASSIGNMENT.

Assignors hereby authorize and empower Assignee to invoke and claim for any applications or Letters Patent included within the Invention Rights the benefit of any rights to which Assignors might be entitled under international law or under the laws of any particular country (such as, without limitation, the right of priority provided by the International Convention for the Protection of Industrial Property, as amended) and to invoke and claim said rights without further written or oral authorization from Assignors.

ASSIGNMENT

DOCKET NO. LEE0031-US

SIGNATURE: Ming-Dou Ker

Date: 2003/6/24

NAME: KER, Ming-Dou

SIGNATURE: TSENG, Tang-Kui

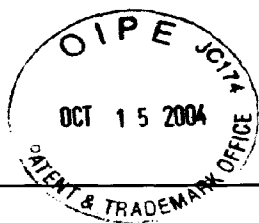
Date: 2003/5/27

NAME: TSENG, Tang-Kui

SIGNATURE: DENG, Chih-Kang

Date: 2003/6/24

NAME: DENG, Chih-Kang



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 26, 2004

PTAS



102681034A

SHAW PITTMAN LLP
MICHAEL D. BEDNAREK
50 TYSONS BOULEVARD
MCLEAN, VA 22102

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/20/2004

REEL/FRAME: 015034/0898
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: LEE0031US

ASSIGNOR:

LI, YING-HSIN

DOC DATE: 05/02/2003

ASSIGNOR:

~~YANG, SHENG-CHIEH~~

~~Yang, Sheng-Chieh~~

DOC DATE: 05/02/2003

ASSIGNOR:

SHIH, AN

DOC DATE: 05/02/2003

ASSIGNOR:

KER, MING-DOU

DOC DATE: 06/24/2003

ASSIGNOR:

~~TSENG, TANG-KAI~~

~~Tseng, Tang-Kui~~

DOC DATE: 05/27/2003

ASSIGNOR:

DENG, CHIH-KANG

DOC DATE: 06/24/2003

ASSIGNEE:

TOPPOLY OPTOELECTRONICS CORP.
NO. 12, KE JUNG ROAD
SCIENCE-BASED INDUSTRIAL PARK
~~MAO-LI~~ COUNTY, CHUN-NAN, TAIWAN

~~---Miao-Li County,---~~
350 R.O.C.

SERIAL NUMBER: 10781879

PATENT NUMBER:

TITLE: METHOD AND STRUCTURE OF DIODE

FILING DATE: 02/20/2004

ISSUE DATE:

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



LEE0031-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

YING-HSIN LI ET AL.

Serial No.: 10/781,879

Filed: February 20, 2004

For: METHOD AND STRUCTURE OF
DIODE

Art Unit: 2818

Examiner: Not Yet Assigned

REQUEST FOR CORRECTED NOTICE OF RECORDATION OF ASSIGNMENT

Mail Stop: **Assignment Recordation Services**
Director of The U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

A corrected Notice of Recordation of Assignment is hereby requested in view of the errors that appear in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original recordation on which the requested corrections have been noted in red.

If any fees are necessary to act upon this request, the Patent Office is authorized to charge Deposit Account No. 50-1390.

Serial No.: 10/781,879
Art Unit: 2818

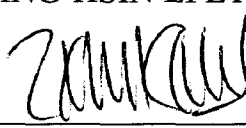

Attorney's Docket No.: LEE0031-US
Page 2

SHAW PITTMAN LLP
1650 Tysons Boulevard
McLean, VA 22102
Tel: 703/770-7693

Respectfully submitted,

YING-HSIN LI ET AL.

Date: October 15, 2004

By:  POH C. CHUA
 Michael D. Bednarek 44,615
Registration No. 32,329

Attachment: Marked Up Notice of Recordation of Assignment

Customer No. 28970

MDB/PCC/ggb

Document #: 1302315 v.1