



10-22-2004



REEL

102865507
PATENTIS

Substitute Form PTO-1595

Attorney Docket No.: 0055/071001

Commissioner of Patents and Trademarks: Please record the attached copies of an original document.

1. Name of conveying party(ies):

Tokuya KOZAKI
Keiji SAKAMOTO
Hiroaki MATSUMURA

2. Name and address of receiving party(ies):

Nichia Corporation
491-100 Oka, Kaminaka-cho
Anan-shi, Tokushima-ken
774-8601 JAPANAdditional name(s) attached? ☐ Yes ☒ NoAdditional names/addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

Execution Date: October 5, 2004

4. Application number(s) or patent number(s): 10/888,497

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s): 10/888,497

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

Randolph A. Smith
SMITH PATENT OFFICE
1901 Pennsylvania Ave., N.W.
Suite 200
Washington, D.C. 20006-3433

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41): \$40

- ☒ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

- a) Credit Card Last 4 Numbers: 1006
Expiration Date: July 2007
b) Please apply any additional charges, or any credits, to our Deposit Account No. 19-2586

10/19/2004 HVUONG1 00000128 10888497

01 FC:8021
02 FC:100140.00 OP
790.00 OP

DO NOT USE THIS SPACE

9. Statement and signature: *To the best of my knowledge and belief, the foregoing information is true and correct and the attached is a true copy of the original document.*Randolph A. Smith
Name of Person Signing

Signature

October 18, 2004
Date

Total number of pages including cover sheet, attachments, and document: 3

PATENT
REEL: 015901 FRAME: 0160

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assign(s), transfer(s) and set(s) over to:

Nichia Corporation

having the address:

491-100 Oka, Kaminaka-cho, Anan-shi
Tokushima-ken 774-8601 JAPAN

(hereinafter collectively designated as the "ASSIGNEE"), its successors and assigns, the entire right, title, and interest for the United States in the invention, and all applications for patent and any Letters Patent which may be granted therefore, including said application, and all United States Letters Patents which may be granted therefore, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of said Letters Patent when granted to be held by said ASSIGNEE, its successors, assigns or their legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been and enjoyed by Assignor(s) if this assignment had not been made, the application being known by the Title:

NITRIDE SEMICONDUCTOR LASER DEVICE AND METHOD OF MANUFACTURING
THE NITRIDE SEMICONDUCTOR LASER DEVICE

Attorney Docket No. 0055/071001

for which the undersigned has/have executed an application for patent in the United States of America on the same day herewith. Where this instrument is not filed concurrently with the application, the following identifying information may be added after execution:

Serial No. 10/888,497

Filing Date: July 12, 2004

1. The undersigned hereby agree(s) to sign and execute any further documents and instruments which may be necessary, lawful and proper in the prosecution of said above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue application or in any amendments, extension of interference proceedings, or otherwise to secure the title thereto to the ASSIGNEE.

2. The undersigned agree(s) to execute all papers and documents and perform any act that may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

3. The undersigned agree(s) to perform all affirmative acts that may be necessary to obtain a grant of a valid United States patent to the ASSIGNEE.

4. The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks in the United States to issue any and all Letters Patents resulting from said application or any division or divisions or continuing applications thereof to the said ASSIGNEE.

5. The undersigned hereby grant(s) to Randolph A. Smith and Smith Patent Office the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Oct. 5, 2004

Date

Tokuya Kozaki

Typed Name: Tokuya KOZAKI

Oct. 5, 2004

Date

Keiji Sakamoto

Typed Name: Keiji SAKAMOTO

Oct. 5, 2004

Date

Hiroaki Matsumura

Typed Name: Hiroaki MATSUMURA