

Form PTO-1595

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

PATENTS ONLY

Tab settings ⇨ ⇨ ⇨

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Wilfred Arthur Jefferies

Qian-Jin Zhang

Susan Shu-Ping Chen

Judie Barbara Alimonti

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: University of British Columbia

Internal Address:

Street Address: #103-6190 Agronomy Road

City: Vancouver

State: BC

Zip: V6T 1Z3

Country: Canada

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: Feb. 8/05; Mar. 7/05; Mar. 8/05; Feb. 14/05

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/046,542

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Bereskin & Parr

Internal Address:

Street Address: 40 King Street West

City: Toronto

State: ON

Zip: M5H 3Y2

CANADA

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed (cheque No.)☒ Authorized to be charged to deposit account

8. Deposit account number:

02-2095

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Micheline Gravelle, Regn. 40,261

Name of Person Signing

B&P File # 7685-41

Signature

March 16, 2005

Date

Total number of pages including cover sheet, attachments, and documents: 6

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

700162373

PATENT
REEL: 015905 FRAME: 0313

CH \$40.00 022095 10046542

ASSIGNMENT

WHEREAS We, **JEFFERIES, Wilfred Arthur, ZHANG, Qian-Jin, CHEN, Susan Shu-Ping and ALIMONTI, Judie Barbara** whose full post office addresses are 12596 23rd Avenue, Surrey, British Columbia, V4A 2V5, Canada, 9411 Williams Road, Richmond, British Columbia, V7A 1G8, Canada, 7372 Maple Street, Vancouver, British Columbia, V6P 5P7, Canada, 679 Renfrew Street, Winnipeg, Manitoba, R3N 1K1, Canada are inventors of an invention entitled **METHOD OF ENHANCING AN IMMUNE RESPONSE** for which United States continuation-in-part patent application 10/046,542 was filed on January 16, 2002.

UNIVERSITY OF BRITISH COLUMBIA, whose full post office address is #103-6190 Agronomy Road, Vancouver, British Columbia, V6T 1Z3, Canada has acquired from me the whole right, title and interest for the United States of America, Canada and all other countries in and to the said invention and in and to any Letters Patent that may be obtained therefore, and in and to said applications,

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of all of which is hereby acknowledged, we, **JEFFERIES, Wilfred Arthur, ZHANG, Qian-Jin, CHEN, Susan Shu-Ping and ALIMONTI, Judie Barbara**, by these presents confirm that we have sold, assigned and transferred and do hereby sell, assign and transfer unto the said **UNIVERSITY OF BRITISH COLUMBIA**, the full and exclusive right to the said invention in the United States of America, Canada and all other countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefore, and the entire right, title and interest in and to said application, and in and to any divisions, continuations, continuations-in-part, renewals, re-examinations and extensions of said application and to any other patent application and patents that claim priority for the aforementioned patent application, together with the right to claim the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property based on said patent applications.

We agree that we will without further consideration do all such things and execute all such documents as may be necessary or desirable to obtain and maintain patents for said invention and for additions and modifications thereto in any and all countries, and to vest title thereto in said assignee, his successors, assigns and legal representatives or nominees.

We hereby authorize and request the Commissioner of Patents and Trademarks in the U.S. and all other equivalent patent granting authorities in other jurisdictions to issue said Letters Patent to the said **UNIVERSITY OF BRITISH COLUMBIA** the assignee of the entire right, title and interest in and to the same, for its sole use and benefit, and for the use and benefit of its successors and assigns, to the full end of the term for which Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made.

The undersigned hereby grant the firm of Bereskin & Parr (Box 401, 40 King Street West, Toronto, Ontario, Canada M5H 3Y2) the power to insert on this assignment any further identification which

2

may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office or other Patent Offices in the world for recordation of this document.

Signed this 8 day of February, 2005, at UBC

Cheryl Pfeffer
Witness

Wilfred Arthur JEFFERIES
Wilfred Arthur JEFFERIES

Signed this _____ day of _____, 2005, at _____

Witness

Qian-Jin ZHANG

Signed this 8 day of March, 2005, at UBC

Cheryl Pfeffer
Witness

Susan Shu-Ping CHEN
Susan Shu-Ping CHEN

Signed this _____ day of _____, 2005, at _____

Witness

Judie Barbara ALIMONTI

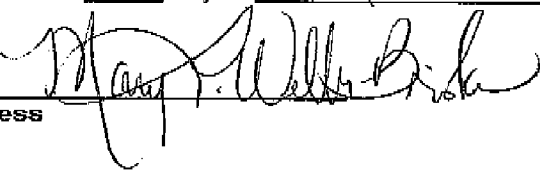
may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office or other Patent Offices in the world for recordation of this document.

Signed this _____ day of _____, 2005, at _____.

Witness

Wilfred Arthur JEFFERIES

Signed this 7th day of March, 2005, at LSUHSC-S'port ← date

Witness  Qian-Jin ZHANG ← Sign

Signed this _____ day of _____, 2005, at _____.

Witness

Susan Shu-Ping CHEN

Signed this _____ day of _____, 2005, at _____.

Witness

Judie Barbara ALIMONTI

may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office or other Patent Offices in the world for recordation of this document.

Signed this _____ day of _____, 2005, at _____

Witness

Wilfred Arthur JEFFERIES

Signed this _____ day of _____, 2005, at _____

Witness

Qian-Jin ZHANG

Signed this _____ day of _____, 2005, at _____

Witness

Susan Shu-Ping CHEN

Signed this 14 day of February, 2005, at Winnipeg, MB

Jan Machen
Witness

Judie Alimonti
Judie Barbara ALIMONTI