

10-26-2004

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Docket No. 04-1-714



102867456

10/16/04

To the Commissioner for Patents. Please record the attached documents or copy thereof.

## 1. Name of conveying party(ies):

Matthew B. Ballenger

George B. Kendrick

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Names and address of receiving party(ies):

Name: OSRAM SYLVANIA INC.  
Street Address: 100 Endicott Street  
City, State, ZIP: Danvers, Massachusetts 01923Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 10/06/2004

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 10/06/2004

A. Patent Application No.(s): \_\_\_\_\_ B. Patent No.(s): \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Carlo S. Bessone  
OSRAM SYLVANIA INC.  
Street Address: 100 Endicott Street  
City, State, ZIP: Danvers, Massachusetts 01923  
978-750-2076

## 6. Total number of applications and patents involved: [1]

## 7. Total fee (37 CFR 3.41 and 1.21(h)): \$ 40.00

☐ Enclosed  
☒ Authorized to be charged to deposit account

## 8. Deposit account number: 15-0685

(Attach duplicate copy of this page if paying by deposit account)

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Carlo S. Bessone  
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: [2]

10/25/2004 6TON11 00000090 150685 10967745  
01 FC:0021 40.00 DA19270 U.S. PTO  
10/967745  
101604PATENT  
REEL: 015912 FRAME: 0131

## ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration of which we acknowledge receipt, we, Matthew B. Ballenger and George B. Kendrick, residing (respectively) at 2781 Jacquelyn Lane 115, Lexington, KY 40511 and 208 Leawood Drive, Lexington, KY 40502, sell and assign to **OSRAM SYLVANIA Inc.**, a Delaware corporation, its successors and assigns, our invention in

**TITLE: LAMP WITH INTEGRAL VOLTAGE CONVERTER HAVING PHASE-CONTROLLED DIMMING CIRCUIT  
WITH FUSE-RESISTOR NETWORK FOR REDUCING RMS LOAD VOLTAGE**

and the application for United States Patent therefor executed concurrently herewith, and all patents which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, and authorize and request the Commissioner of Patents to issue all patents on said invention or resulting therefrom to said Company as assignee of the entire interest, and covenant that we have full right so to do and agree that we will communicate to said Company or its representatives any facts known to us respecting said invention and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees, to obtain and enforce proper patent protection for said invention, in the United States and all foreign countries.

Inventor Matthew B. Ballenger Date 10/06/04  
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Witness Bruce Bateman Date Oct 6, 2004  
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Witness Brian Dool Date 10-6-2004  
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Witness Brian Dool Date 10-6-2004  
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