

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
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RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

PETKOVICH, P. Martin
WHITE, Jay A.
BECKETT, Barbara R.
JONES, Glenville

Execution Date(s) September 30, 1996

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: QUEEN'S UNIVERSITY AT KINGSTON

Internal Address: _____

Street Address: _____

City: Kingston

State: Ontario

Country: Canada Zip: K7L 3N6

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/855,532
10/855,595

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: John C. Hunt

Internal Address: Torys LLP

Suite 3000, Box 270, TD Centre

Street Address: 79 Wellington Street West

City: Toronto

State: Ontario Zip: M5K 1N2

Phone Number: 416.865.0040

Fax Number: 416.865.7380

Email Address: jhunt@torys.com

6. Total number of applications and patents involved:

2

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 80.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

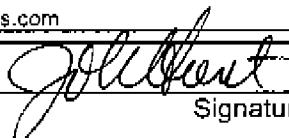
8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number Torys LLP

Authorized User Name 502651

9. Signature:



Signature

March 18, 2005

Date

John C. HUNT

Regn. No. 36424

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

700163287

PATENT
REEL: 015923 FRAME: 0909

CH \$80.00 502651 10855532

ASSIGNMENT

We, P. Martin Petkovich, Jay A. White, Barbara R. Beckett and Glenville Jones, whose full post office addresses are: 875 Everitt Ave., Kingston, Ontario, K7M 4R1, Canada; 913 Purcell Crescent, Kingston, Ontario, K7P 1C1, Canada; 112 Westmorland Road, Kingston, Ontario, K7M 1J7, Canada; and 66 Inverness Crescent, Kingston, Ontario, K7M 6N7, Canada, respectively, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, do hereby sell and assign to Queen's University at Kingston, a non-profit educational corporation, whose full post office address is Kingston, Ontario, K7L 3N6, Canada, (hereinafter Queen's) all our rights, title and interest, including priority rights, in and to our invention relating to Retinoid Metabolizing Protein as set forth in the application for Letters Patent of the United States of America executed concurrently herewith, in and for the United States of America, Canada, Great Britain and all countries foreign thereto in its name or in any name at its election.

We hereby covenant and agree that we will execute or procure any further necessary documents of the title to said invention and Letters Patent; and that we will, at any time, upon the request and at the expense of Queen's, execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention, or any Letters Patent which may be granted therefor, to Queen's; we will, at any time, upon the request and at the expense of Queen's, testify in any legal proceedings, sign all lawful papers, execute any additional, divisional, substituting, continuing or reissue applications for patents for said invention, or any part or parts thereof; and we will make all rightful oaths and generally do all lawful acts to aid Queen's, its successors, assigns or other legal representatives to obtain and enforce patent protection in said invention in all countries, without further compensation, but at the expense of Queen's, its successors, assigns or other legal representatives.

And we hereby authorize and request the Commissioner of Patents or the Comptroller (as the case may be) to issue any and all Letters Patent for said invention or resulting from said application, or from any division, reissue or extension thereof, to Queen's as our sole assignee.

In testimony whereof I have hereunto set my hand:

this 30th day of September, 1996

P. Martin Petkovich
P. Martin Petkovich

In testimony whereof I have hereunto set my hand:

this 30th day of September, 1996

Jay A. White
Jay A. White

In testimony whereof I have hereunto set my hand:

this 30th day of September, 1996

Barbara R. Beckett
Barbara R. Beckett

In testimony whereof I have hereunto set my hand:

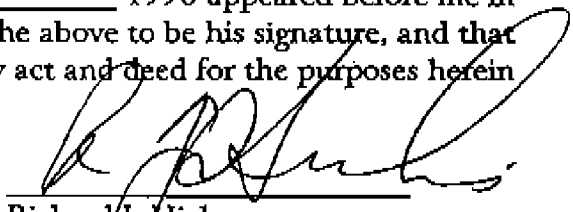
this 30th day of September, 1996

Glenville Jones
Glenville Jones

CERTIFICATE

City of Kingston)
Province of Ontario)

On this 30th day of September 1996 appeared before me in person the above P. Martin Petkovich and acknowledged the above to be his signature, and that he signed and delivered the above instrument as his voluntary act and deed for the purposes herein set forth.

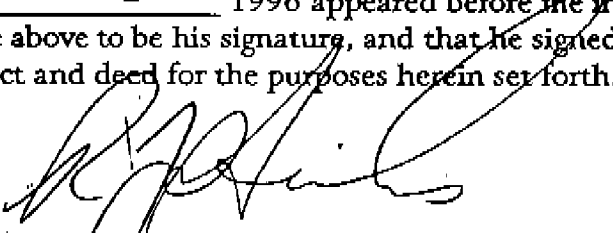


Richard J. Hicks
Notary Public

NOTARY PUBLIC, PROVINCE OF ONTARIO
LIMITED TO THE ATTESTATION OF INSTRUMENTS AND THE TAKING OF AFFIDAVITS,
FOR WORK IN CONNECTION WITH PATENTS, TRADEMARKS, COPYRIGHTS AND DESIGNS.
(EXPIRES 22, NOVEMBER, 1996.)

City of Kingston)
Province of Ontario)

On this 30th day of September 1996 appeared before me in person the above Jay A. White and acknowledged the above to be his signature, and that he signed and delivered the above instrument as his voluntary act and deed for the purposes herein set forth.

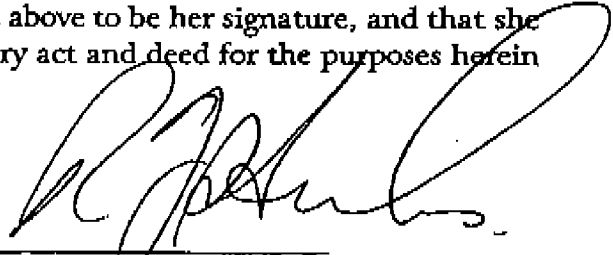


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City of Kingston)
Province of Ontario)

On this 30th day of September 1996 appeared before me in person the above Barbara R. Beckett and acknowledged the above to be her signature, and that she signed and delivered the above instrument as her voluntary act and deed for the purposes herein set forth.



Richard J. Hicks
Notary Public

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City of Kingston)
Province of Ontario)

On this 80th day of September 1996 appeared before me in person the above Glenville Jones and acknowledged the above to be his signature, and that he signed and delivered the above instrument as his voluntary act and deed for the purposes herein set forth.



RICHARD JOHN HICKS
Richard J. Hicks
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EXPIRES 22, NOVEMBER, 1998