

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**Docket No. TUC920040202US1  
(9400/24)

To: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

## 1. Name of Party(ies) conveying an interest:

AARON R. COX  
JOHN C. ELLIOTT  
RICHARD V. KISLEY  
GREGG S. LUCAS

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and Address of Party(ies) receiving an interest

Name: International Business Machines Corporation  
Internal Address: \_\_\_\_\_  
Street Address: 9000 South Rita Road  
City: Tucson  
State/Zip: Arizona 85744

Additional name(s) and addresses attached?

☐ Yes ☒ No

## 3. Description of the interest conveyed:

☒ Assignment☐ Change of Name

Other: \_\_\_\_\_

☐ Security Agreement☐ MergerExecution Date: January 28, 2005

## 4. Application number(s) or patent number(s). Additional sheet attached?

☐ Yes ☒ No

If this document is being filed together with a new application, the execution date of the application is:

Date

## A. Patent Application No.(s)

11/047,020

## B. Patent No.(s)

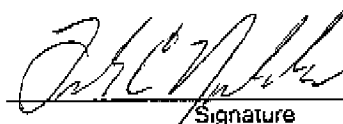
Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Frank C. Nicholas  
CARDINAL LAW GROUP  
Suite 2000  
1603 Orrington Avenue  
Evanston, IL 60201  
(847) 905-71116. Number of applications and patents involved: 1☐ Enclosed☒ Authorized to be charged to Deposit Account  
No. 09-0449☒ Please charge any deficiencies in fee or credit any  
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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

FRANK C. NICHOLAS (33.983)  
SignatureMARCH 18, 2005  
DateTotal number of pages including cover sheet, attachments, and document: 3

CH \$40.00 090449 11047020

A S S I G N M E N T

Docket No. TUC9-2004-0202-US1  
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INVENTOR  
AND CITY

Whereas, we

- (1) AARON ROGER COX of TUCSON, County of Pima and State of ARIZONA,  
(2) JOHN CHARLES <sup>Elliott acc.</sup> ELLIOT of TUCSON, County of Pima and State of ARIZONA,  
(3) RICHARD VICTOR KISLEY of TUCSON, County of Pima and State of ARIZONA,  
(4) GREGG STEVEN LUCAS of TUCSON, County of Pima and State of ARIZONA,

have invented certain improvements in

TITLE

**"METHOD AND SYSTEM FOR UPDATING STATUS OF  
DATA STORAGE SUBSYSTEM COMPONENTS"**

DATE THAT  
INVENTOR  
SIGNED THE  
DECLARATION

and executed, respectively, a United States patent application therefore on

- (1) 1/28, 2005 (3) 1/28, 2005  
(2) 1/28, 2005 (4) 1/28, 2005

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

CITY  
DATE

(1) at Tucson, AZ  
on 1/28, 2005,

Aaron R. Cox  
AARON ROGER COX

SIGNATURE  
INVENTOR

CITY  
DATE

(2) at Tucson, AZ  
on 1/28, 2005,

John C. Elliott  
JOHN CHARLES ELLIOT Elliott acc.

SIGNATURE  
INVENTOR

A S S I G N M E N T

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CITY (3) at Tucson, AZ *Richard Victor Kislak* SIGNATURE  
DATE on 1/28, 2005, RICHARD VICTOR KISLAK INVENTOR

CITY (4) at Tucson, AZ *Gregg Steven Lucas* SIGNATURE  
DATE on 1/28, 2005, GREGG STEVEN LUCAS INVENTOR