| RE 11-(| 03-2004 | ET U.S. Department of Commerce |
|--|---|--|
| 1 PRINT 201 4515 (45) | | U.S. Department of Commerce Patent and Trademark Office |
| To the Honorable Commissioner of Patents and Trad | | nents or copy thereof |
| | 874591 | s of receiving party(ies): |
| Impella Cardiotechnik AG (Individual, Corporation, Ltd. Partnership, etc.) | Impella CardioSystems AG Neuenhofer Weg 3 D-52074 Aachen, Germany | |
| Additional name(s) of conveying party(ies) attached? Y X N | (A German C | orporation) |
| | (Individual, Corpora Additional name(s) & address | ation, Ltd. Partnership, etc.) |
| Nature of conveyance: Assignment Security Agreement X Change of Name | è | FINANCE |
| Execution Date: <u>September 10, 2004</u> | | |
| 4. Application number(s) or Patent number(s): A. Application No.(s): | | 0.(s): 6,848, Issued Jan. 23, 2001 |
| Additional numbers | | |
| 5. Name and address of party to whom correspondence concerning document should be mailed: | involved: 3 | applications and patents |
| Gunther O. Hanke, Esq. Fulwider Patton Lee & Utecht, LLP 200 Oceangate, Suite 1550 Long Beach, California 90802 | 7. Total fee (37 CF X Enclosed X Charge any | R 3.41) \$ <u>120.00</u> y add'l fees to deposit account |
| | 8. Deposit account | number: 21-0800 plicate attached) |
| 9. Statement and signature. | | |
| To the best of my knowledge and belief, the foregoin copy of the original document. | | |
| Gunther O. Hanke, Esq. Reg. No. 32,989 | 10/2- | 1/04 |
| Gunther O. Hanke, Esq. Reg. No. 32,989 | | pages including cover sheet:4 |
| Mail documents to be recorded with required cov | ver sheet information | to: |
| Mail Stop: Assignments Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | | |
| C:8021 120.00 0P | | Page 1 of 2 |
| | | |

- 2) Patent No. 6,176,822, Issued January 23, 2001
- 3) Patent No. 6,058,593, Issued May 9, 2000

5759.1

For: U.S. Rights and/or Foreign Rights U.S. Applications and U.S. Patents For: Trustee in Bankruptcy for Assignor

By:

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of good and valuable consideration, the receipt of which is hereby acknowledged.

ASSIGNOR:

Impella Cardiotechnik AG Pauwelsstrasse 19 52074 Aachen, GERMANY a German Corporation

hereby sells, assigns and transfers to

ASSIGNEE:

Impella CardioSystems AG Neuenhofer Weg 3 D-52074 Aachen, GERMANY a German Corporation

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions, including all rights to claim priority, in and to any and all improvements which is found in 37 C.F.R. §3.21:

U.S. Patent No. 6,176,848, Issued January 23, 2001

U.S. Patent No. 6,176,822, Issued January 23, 2001

U.S. Patent No. 6,058,593, Issued May 9, 2000

including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal on the date indicated below:

IMPELLA CARDIOTECHNIK AG

Date: 10.09.04

Trustee in Bankruptcy for Impella Cardiotechnik AG Dr. Rolf-Dieter Mönning Reckhornwalt als Implomentation

11941.1

RECORDED: 11/01/2004