

11-03-2004

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U.S. Department of Commerce

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks

1. Name of conveying party(ies):

102874589

nts or copy thereof

s of receiving party(ies):

Impella Cardiotechnik AG

Impella CardioSystems AG  
Neuenhofer Weg 3  
D-52074 Aachen, Germany

(Individual, Corporation, Ltd. Partnership, etc.)

Additional name(s) of conveying party(ies) attached? ☐ Y ☒ N

(A German Corporation)

(Individual, Corporation, Ltd. Partnership, etc.)

Additional name(s) & address(es) attached? ☐ Y ☒ N

3. Nature of conveyance:

☐ Assignment ☐ Merger  
☐ Security Agreement ☒ Change of NameExecution Date: September 10, 2004

4. Application number(s) or Patent number(s):

A. Application No.(s):

1) Serial No. 09/890,778, Filed January 24, 2002

B. Patent No.(s):

2) Patent No. 6,139,487, Issued Oct. 31, 2000

Additional numbers attached? ☒ X ☐ Y ☐ N

5. Name and address of party to whom correspondence concerning document should be mailed:

Gunther O. Hanke, Esq.  
Fulwider Patton Lee & Utecht, LLP  
200 Oceangate, Suite 1550  
Long Beach, California 908026. Total number of applications and patents involved: 67. Total fee (37 CFR 3.41) \$ 240.00☒ X Enclosed☒ X Charge any add'l fees to deposit account8. Deposit account number: 21-0800  
(Duplicate attached)

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
Gunther O. Hanke, Esq. Reg. No. 32,98910/27/04  
(Date)Total number of pages including cover sheet: 4

Mail documents to be recorded with required cover sheet information to:

Mail Stop: Assignments  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

11/02/2004 ECOOPER 00000188 09890778

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240.00 DP

Page 1 of 2

PATENT  
REEL: 015931 FRAME: 0653

- 3) Patent No. 6,623,475, Issued September 23, 2003
- 4) Patent No. 6,644,125, Issued November 11, 2003
- 5) Application Serial No. 10/148,792, Filed June 24, 2002
- 6) Application Serial No. 10/240,158, Filed September 27, 2002

For: U.S. Rights and/or Foreign Rights  
For: U.S. Applications and U.S. Patents  
By: Trustee in Bankruptcy for Assignor

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of good and valuable consideration, the receipt of which is hereby acknowledged.

**ASSIGNOR:**

Impella Cardiotechnik AG  
Pauwelsstrasse 19  
52074 Aachen, GERMANY  
a German Corporation

hereby sells, assigns and transfers to

**ASSIGNEE:**

Impella CardioSystems AG  
Neuenhofer Weg 3  
D-52074 Aachen, GERMANY  
a German Corporation

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions, including all rights to claim priority, in and to any and all improvements which is found in 37 C.F.R. §3.21:

U.S. Patent No. 6,139,487, Issued October 31, 2000  
U.S. Patent No. 6,623,475, Issued September 23, 2003  
U.S. Patent No. 6,644,125, Issued November 11, 2003  
U.S. Application Serial No. 09/890,778, Filed January 24, 2002  
U.S. Application Serial No. 10/148,792, Filed June 24, 2002  
U.S. Application Serial No. 10/240,158, Filed September 27, 2002

including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.


ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal on the date indicated below:

**IMPELLA CARDIOTECHNIK AG**

Date: 10.09.04

  
\_\_\_\_\_  
Trustee in Bankruptcy for Impella Cardioteknik AG  
Dr. Rolf-Dieter Mönning *Rechtsanwalt*  
*als Insolvenzverwalter*