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To the Director of the U.S. Patent a

and documents or the new address(es) below.

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1. Name of conveying party(ies)/Execution Date(s):

Novartis AG

Execution Date(s) March 4, 2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Syngenta Participations AG

Internal Address:

Street Address: Schwarzwaldalle 215

Basel, Switzerland 4058

City:

State:

Country: Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

09/598755

B. Patent No.(s)

6,350,937

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Larry W. Stults

Internal Address: Syngenta Biotechnology Inc.

Street Address: 3054 Cornwallis Road

City: RTP

State: NC Zip: 27709

Phone Number:

Fax Number:

Email Address:

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

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9. Signature:

Signature

10/29/2004
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Bruce Vrana

Name of Person Signing

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1. Name of conveying party(ies):

Novartis AG

2. Name and address of receiving party(ies)

Name: Syngenta Participations AG

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Street Address: Schwarzwaldalle 215

Basel, Switzerland 4058

City: _____

State: _____

Zip: _____

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7. Total fee (37 CFR 3.41).....\$ 40.00



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ASSIGNMENT

NOVARTIS AG, a company organized under the laws of the Swiss Confederation, having a place of business at Lichtstrasse 35, Basel, Switzerland 4056,


for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, hereby assigns to **Syngenta Participations AG**, a Swiss corporation, having a place of business at Schwarzwaldalle 215, Basel, Switzerland 4058, its successors, assigns and legal representatives, all right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted by local laws or by treaty, including any international convention for the protection of industrial property, in and for the United States and its territories and possessions in and to the inventions described in the applications for United States Letters Patent


Atty. Docket No.	Application Ser. No.	Filing date	Patent No.	Issue date
135-2015	09/330,737	11/JUN/1999	6,232,534	15/MAY/2001
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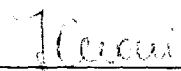
Atty. Docket No.	Application Ser. No.	Filing date	Patent No.	Issue date
30905	09/318,103	24/MAY/1999	5,990,395	23/NOV/1999
30904	09/318,102	24/MAY/1999	6,034,306	07/MAR/2000
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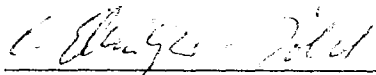
including (1) said applications for United States Letters Patent and all continuations and divisions thereof (including further continuations and divisions such as, but not limited to, continuations of continuations and divisions of continuations), (2) all United States Letters Patent which may be issued and/or granted on all such applications, (3) all applications for reissues and extensions of and reexamination certificates for all such United States Letters Patent and (4) all reissues and extensions and reexamination certificates issued for all such United States Letters Patent, the said interest being the entire ownership of said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates to be held and enjoyed by the said Novartis Finance Corporation and its successors and assigns to the full end of the terms to which said United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by assignor if this sale, assignment and transfer had not been made;

And assignor hereby agrees to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of said applications for United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates in said assignee.

Signed this 4th day of March, 2004 by 
Werner Bastian (on behalf of Novartis AG)

Signed this 4th day of March, 2004 by 
Lilly Sitzler Spicher (on behalf of Novartis AG)

Signed this 4th day of March, 2004 by 
Jocelyne Ceroni (on behalf of Syngenta Participations AG)

Signed this 4th day of March, 2004 by 
Cornelia Ebinger-Zöld (on behalf of Syngenta Participations AG)