

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Magali Burghol Hickey
Matthew Peterson
Om Almarsson
Mark Oliveira

Execution Date(s) 2/18/05; 2/15/05; 2/15/05; 2/15/05

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: TransForm Pharmaceuticals, Inc.

Internal Address: _____

Street Address: 29 Hartwell Avenue

City: Lexington

State: MA

Country: USA Zip: 02421

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)
PCT/US2004/029013

☐ This document is being filed together with a new application.
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Paul Burgess

Internal Address: TransForm Pharmaceuticals,
Inc.

Street Address: 29 Hartwell Avenue

City: Lexington

State: MA Zip: 02421

Phone Number: (781) 674-7816

Fax Number: (781) 863-7208

Email Address: pburgess@transformpharma.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 502626

Authorized User Name Paul Burgess

9. Signature:


Signature

March 21, 2005
Date

Paul Burgess

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

11

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 602626 US0429013

ASSIGNMENT

WHEREAS, WE, Magali Bourghol Hickey residing at 342 Malden Street, Medford, MA 02155; Matthew Peterson residing at 25 Downey Street, Hopkinton, MA 01748; Orn Almarsson residing at 22 Farmington Drive, Shrewsbury, MA 01545; and Mark Oliveira residing at 69 Nicholas Road, Apt. J., Framingham, MA 01701, ASSIGNORS, are the inventors of the invention MODAFINIL COMPOSITIONS, for which we have executed an international application,

[X] which was filed on September 4, 2004 and assigned International Application No. PCT/US2004/029013,

and WHEREAS, TRANSFORM PHARMACEUTICALS, INC, having a place of business at 29 Hartwell Avenue, Lexington, MA 02421, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said international application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said international application under the International Convention for the Protection of Industrial Property, the Patent Laws of the United States, or any other international agreement or the domestic laws of the country in which any such application filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, and facts known to us respecting

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said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign application, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and affixed our seals the date set forth below.

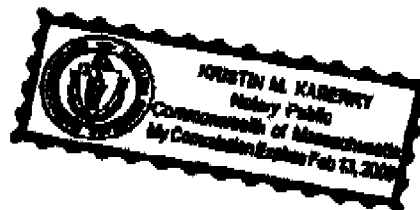
Date: 2/18/2005

Inventor: Magali B. Hickey
Magali Bourghol Hickey

State of Massachusetts)
) ss.:
County of Middlesex)

On this the 18th day of February, 2005, before me, Justin McArthur, the undersigned Notary Public, personally appeared Magali Bourghol-Hickay, proved to me through satisfactory evidence of identity, which was personal knowledge to be the person whose name is signed on the preceding document, and acknowledged to me that she signed it voluntarily for its stated purpose.

Justin M Kaberry
Justin M Kaberry, Notary Public
My Commission Expires: 02/13/2009

**TPIP044/VO**

PATENT
REEL: 015934 FRAME: 0985

Date: 15-Feb-2005

Inventor:

Matthew Peterson
Matthew Peterson

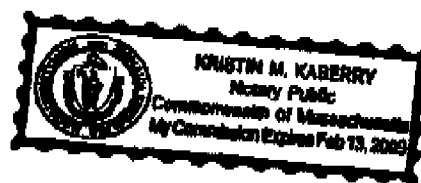
State of Massachusetts)

) ss.:

County of Middlesex)

On this the 15th day of February, 2005, before me,
Justin M Kaberry, the undersigned Notary Public, personally appeared
Matthew Peterson, proved to me through satisfactory evidence of
identity, which was personal knowledge to be the person
whose name is signed on the preceding document, and acknowledged to me that he signed it
voluntarily for its stated purpose.

Justin M Kaberry
Justin M Kaberry, Notary Public
My Commission Expires: 02/13/2009



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Date: February 15th, 2005 Inventor: 
Orn Almarsson

State of Massachusetts)
) ss.:
County of Middlesex)

On this the 15th day of February, 2005, before me,
Kristin M. Kaberry, the undersigned Notary Public, personally appeared
Orn Almarsson, proved to me through satisfactory evidence of
identity, which was personal knowledge to be the person
whose name is signed on the preceding document, and acknowledged to me that he signed it
voluntarily for its stated purpose.

Kristin M. Kaberry
Kristin M. Kaberry, Notary Public
My Commission Expires: 02/13/2007



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Date: 2/15/05Inventor: Mark Oliveira
Mark OliveiraState of Massachusetts)
County of Middlesex) ss.:
)

On this the 15th day of February, 2005, before me,
Kristin M. Raberry, the undersigned Notary Public, personally appeared
Mark Oliveira, proved to me through satisfactory evidence of
identity, which was personal knowledge to be the person
whose name is signed on the preceding document, and acknowledged to me that he signed it
voluntarily for its stated purpose.

Kristin M. Raberry
Kristin M. Raberry, Notary Public
My Commission Expires: 02/13/2009



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