

11-04-2004

FORM PTO-1595 U.S. DEPARTMENT OF COMMERCE  
1-31-92

Patent and Tr  
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PATENTS ONLY

22390 U.S. PTO  
10976114

102804

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies) and execution date(s):

Hoang Tan Nguyen      October 25, 2004  
Weizhi Wang              October 14, 2004

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies):

Name: BOOKHAM TECHNOLOGY PLC

Address: 90 Milton Park  
Abington, OXON OX14 4RY, United Kingdom

Additional name(s) & address(es) attached?  Yes  No

3. Nature of Conveyance:

- Assignment
- Merger
- Security Agreement
- Change of Name
- Other \_\_\_\_\_

10-28-04  
10976114

4. Application number(s) or patent number(s):

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: B. Noel Kivlin  
Internal Address: Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.  
Street Address: P.O. Box 398  
City Austin State TX ZIP 78767-0398

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): .....\$ 40.00  
 Fee Authorization Form Enclosed  
 Authorized to be charged to deposit account

8. Deposit account number: 501505/5717-02200  
(Attach a duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and Signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Mark R. DeLuca  
Name of Person Signing  
Reg. No. 44,649

[Signature]  
Signature

October 28, 2004  
Date

Total number of pages: 5

OMB No. 0651-011 (exp.4/94)

11/04/2004 6TON11 00000007 501505 10976114

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**ASSIGNMENT**

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, does hereby:

SELL, ASSIGN AND TRANSFER to BOOKHAM TECHNOLOGY PLC (the "Assignee"), a corporation organized and existing under and by virtue of the laws of the United Kingdom, and having its principal place of business at 90 Milton Park, Abingdon, OXON OX14 4RY, United Kingdom, their entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith, and is entitled, LASER CAVITY GRATINGS, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT that, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for securing, maintaining and enforcing proper patent protection for such improvements and for vesting title to such improvements in the Assignee;

COVENANT AND AGREE that the above is and will be binding on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

(Signature) Hoang Tan Nguyen  
Name: Hoang Tan Nguyen

Date: 10/25/2004

(Signature) \_\_\_\_\_  
Name: Weizhi Wang

Date: \_\_\_\_\_

B. Noël Kivlin  
Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.  
P.O. Box 398  
Austin, TX 78767-0398

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COVENANT AND AGREE that the above is and will be binding on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

(Signature) \_\_\_\_\_  
Name: Hoang Tan Nguyen

Date: \_\_\_\_\_

(Signature)  \_\_\_\_\_  
Name: Weizhi Wang

Date: 10/14/04

B. Noël Kivlin  
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