10/29/04





### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	Jeong Sheop Shin, et al.
Serial No Pending	Filing Date: October 29, 2004
Title of Application:	A Solely Pollen-Specific Promoter

Mail Stop Assignment Recordation Services Director, U.S. Patent and Trademark Office Post Office Box 1450 Alexandria, VA 22313-1450

#### Patent Assignment Recordation Form Cover Sheet

Dear Sir:

Please record the attached original document.

- 1. <u>Name of conveying parties</u>. Jeong Sheop Shin, Beung Tae Ryu, Sung Chul Bahn, and Hae Jin Kim.
- 2. <u>Name and Address of Receiving Party</u>. Korea University Industry & Academy Cooperation Foundation; Korea University; 1,5-ka, Anam-dong, Sungbuk-ku; Seoul 136-701, Korea.
- 3. <u>Nature of Conveyance</u>: Assignment. <u>Execution Date</u>: October 15, 2004.
- 4. <u>Name and Address of Party to Whom Correspondence Concerning Document</u> <u>should be mailed</u>.

Stephen P. McNamara, Registration No. 32,745 Attorney for Applicants ST.ONGE STEWARD JOHNSTON & REENS LLC 986 Bedford Street Stamford, CT 06905-5619 203 324-6155

- 5. <u>Application Number</u>: This document is being filed together with a new application, which is also filed herewith.
- 6. <u>Total Number of Applications Involved</u>: 1.
- 7. <u>Total Fee (37 CFR 3.41)</u>. \$40.00 due. This amount has been added to the Application fee which is enclosed.

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- 8. <u>Authorization to Charge Deposit Account</u>. The Commissioner is hereby authorized to charge any additional fees due by this paper and during the entire pendency of this Application to Account No. 19-4516.
- 9. <u>Statement and Signature</u>. Total number of pages including cover sheet, attachments and document are 6. To the best of my knowledge and belief, the foregoing information is true and correct and any copy submitted herewith is a true copy of the original document.

Respectfully submitted,

H. J. Park

Stephen P. McNamara, Registration No. 32,745 Hyun Jong Park, Limited Recognition Attorneys for Applicants ST.ONGE STEWARD JOHNSTON & REENS LLC 986 Bedford Street Stamford, CT 06905-5619 203 324-6155

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# <u>Assignment</u>

WHEREAS, We, the undersigned inventors, have made certain inventions or discoveries (or both) as set forth in an application for Letters Patent of the United States of America, entitled <u>A solely pollen-specific promoter</u>,

which application is being filed herewith (or bearing Serial No.\_\_\_\_\_, filed on \_\_\_\_\_\_);

## WHEREAS, Korea University Industry and Academy Cooperation Foundation,

organized and existing under the laws of <u>Development of Industrial education and</u> <u>Promotion of Industry and Academy Cooperation</u>,

whose address is Korea University c/o, 1,5-ka, Anam-dong, Sungbuk-ku, Seoul, 136-701, Korea,

and, together with its successors and assigns is hereinafter called "Assignee", and is desirous of acquiring the title, rights, benefits and privileges hereinafter recited;

**NOW THEREFORE**, for valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservation:

1. Assign, transfer and convey to Assignee the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

3. Authorize and request the Commissioner of Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patents to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;

4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance;

5. Bind our heirs, legal representatives and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional

consideration to us or them, all acts reasonably serving to assure that said inventions and discoveries, said patent applications and said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents, including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in our control or in the control of our heirs, legal representatives or assigns, which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

**IN WITNESS WHEREOF**, we the inventors, Jeong Sheop SHIN, Beung Tae RYU, Sung Chul BAHN and Hae Jin KIM, have hereunto duly executed this Assignment.

florg Shipshin Signature of First Inventor

Jeong Sheop SHIN Full Name of First Inventor (please print) October 15, 2004 Date

### **Declaration of Witness for First Inventor**

I declare that I was personally present and did see, who is personally known to me to be the person named in this assignment, duly sign and execute the same.

Declared at Shin's lab in Korea University, on October 15, 2004.

Ok, Gung Han Full Name of Witness

PATENT REEL: 015943 FRAME: 0972 Page 3 Assignment

Berng Jae Ryn

Signature of Second Inventor

Beung Tae RYU Full Name of Second Inventor (please print) October 15, 2004 Date

#### **Declaration of Witness for Second Inventor**

I declare that I was personally present and did see, who is personally known to me to be the person named in this assignment, duly sign and execute the same.

Declared at Shin's lab in Korea University, on October 15, 2004.

Be Amphan Signature of Witness OK, Gung Han Full Name of Witness

Sung Chul Bahn

ture of Third

Sung Chul BAHN Full Name of Third Inventor (please print) October 15, 2004 Date

#### **Declaration of Witness for Third Inventor**

I declare that I was personally present and did see , who is personally known to me to be the person named in this assignment, duly sign and execute the same.

Declared at Shin's lab in Korea University, on October 15, 2004.

Mr Sunghan Signature of Witness Ok, Gung Han Full Name of Witness

PATENT REEL: 015943 FRAME: 0973 Hire Jim KIM Signature of Fourth Inventor

Hae Jin KIM Full Name of Fourth Inventor (please print) October 15, 2004 Date

# **Declaration of Witness for Fourth Inventor**

I declare that I was personally present and did see, who is personally known to me to be the person named in this assignment, duly sign and execute the same.

Declared at Shin's lab in Korea University, on October 15, 2004.

Me Anglan Signature of Witness Ok, Gung Han Full Name of Witness