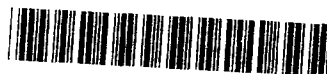


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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

- a. Davies, Kathleen H.
b. Warder-Gabaldon, Zachary

Execution Date(s) 10/28/2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Cardica, Inc.

Internal Address:

Street Address: 900 Saginaw Drive

City: Redwood City

State: California

Country: USA Zip: 94063

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

11/02/2004 BSAYAS11 00000035 10977062

03 FC:8021

40.00 OP

☒ This document is being filed together with a new application.

B. Patent No.(s)

11/02/2004 BSAYAS11 00000036 10977061

03 FC:8021

40.00 OP

Additional numbers attached? ☐ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Brian A. Schar

Internal Address: Cardica, Inc.

Street Address: 900 Saginaw Drive

City: Redwood City

State: CA Zip: 94063

Phone Number: (650) 331-7162

Fax Number: (650) 364-9975

Email Address: schar@cardica.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
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b. Deposit Account Number _____

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9. Signature:

Signature

10/29/2004

Date

Brian A. Schar, Esq.; Reg. No. 45,076

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

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ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, we

Kathleen H. Davies	of	Fremont, California
Zachary Warder-Gabaldon	of	Palo Alto, California

hereby sell, assign and transfer to Cardica, Inc., a Delaware corporation, having a place of business at 900 Saginaw Drive, Redwood City, CA 94063, its successors and assigns, the entire right, title and interest throughout the world in our invention in

Surgical Knot

for which a United States Patent Application is filed herewith, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request that the United States Patent and Trademark Office issue all patents granted for said invention to the above-named assignee, its successors and assigns.

Kathleen H. Davies

Kathleen H. Davies

10/27/04

Date

Zachary Warder-Gabaldon

Zachary Warder-Gabaldon

10/28/04

Date