

# 11-09-2004

**HEET** 

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To be Hopereide Commissioner of Petents and Tudemarks: Please record the attached original documents or copy mereof.  1. Name of conveying party(ies):  R.I. TOWER CORPORATION  Additional names(s) of conveying party(ies) attached?   Yes * No  3. Nature of conveyance:    Assignment							
Additional names(s) of conveying party(ies) attached? □ Yes * No  3. Nature of conveyance:  □ Assignment  □ Merger  X Security Agreement  Other FIRST LIEN  Execution Date: May 24, 2004  4. Application number(s) or patent number(s):  SEE SCHEDULE 1  If this document is being filed together with a new application, the execution date of the application is:  Application Numbers:  SEE SCHEDULE 1  Additional numbers strached? □ Yes □ No  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Robert E. Rade II  Internal Address: Mayer, Brown, Rowe & Maw  LIP  Street Address: 1999 K Street, NW  □ Authorized to be charged to deposit account  8. Deposit account number:  City: Grand Rapid State: MI ZIP: 49501  Additional number (s) & address(es) attached? □ Yes □ No  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Robert E. Rade II  Internal Address: Mayer, Brown, Rowe & Maw  LIP  Street Address: 1999 K Street, NW  □ Authorized to be charged to deposit account  8. Deposit account number:  City: Washington State: D.C. ZIP: 20006  Authorized to be charged to deposit account)  DO NOT USE THIS SPACE	To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy mereof.						
Additional names(s) of conveying party(ies) attached?	1. Name of conveying party(ies):		2. Name and address of receiving party(ies):				
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Assignment		ies) attached? □ Yes * No					
City: Grand Rapid State: MI ZIP: 49501  Additional name(s) & address(es) attached?	3. Nature of conveyance:		Street Address: 40 Pearl Street, NW				
Additional name(s) & address(es) attached?	□ Assignment □	□ Merger	City Count Paris Server My 77P, 40501				
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Robert E. Rude II Name of Person Signing  November 4, 2004 Date		Signature					
Total number of pages including cover sheet, attachments and documents: 10							

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# Item A. Patents

# **Issued Patents**

Country	Patent <u>No.</u>	Invention Title	Inventor / Co- Owner <u>(if</u> <u>applicable)</u>	Related Application	<u>Status</u> 1
<b>X</b> ∪.s.	Pat. No. 4,792,320	COMPOSITE TUBULAR STRUCTURE	Herbert W. Nickel		All maintenance fees are paid; Expires on 12/20/2005
<b>X</b> ∪.s.	Pat. No. 4,805,309	GAUGE FOR MONITORING THE DIMENSIONS OF A STRUCTURAL MEMBER	Hideo Koike		All maintenance fees are paid; Expires on 2/1/2008
X∪.s.	Pat. No. 5,194,302	MANUFACTURING METHOD FOR COATING VEHICLE STRUCTURAL FRAMES	Phillip Ruehl	Div. of P-106	11.5 year maintenance fee due 9/16/2004; Abandon
<b>Х</b> ∪.s.	Pat. No. 5,308,115	VEHICLE FRAME WITH OVERLAPPED SECTIONS	Phillip Ruehl; Benjamin Coursin	CIP of P-115	11.5 year maintenance fee due 11/04/05; Abandon
<b>X</b> ∪.s.	Pat. No. 5,442,885	PRE-ASSEMBLY ATTACHMENT SYSTEM FOR A BOX-SECTION FRAME MEMBER AND METHOD OF ASSEMBLING	James Laven; Roger Hooper		11.5 year maintenance fee due 2/22/2007; Abandon
<b>χ</b> υ.s.	Pat. No. 5,782,401	AUTOMATED SYSTEM AND METHOD FOR WELDING A FIRST METAL PART TO A SECOND METAL PART	John Hinrichs		7.5 year maintenance fee due 01/24/06; Abandon
<b>X</b> U.S.	Pat. No. 5,865,362	METHOD OF FRICTION WELDING A SUPPORT TO A BOXED VEHICLE STRUCTURAL ASSEMBLY	Richard Behrman		7.5 year maintenance fee due 08/03/06; Abandon
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PATENT

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Country	Patent <u>No.</u>	Invention Title	Inventor / Co- Owner <u>(if</u> <u>applicable)</u>	Related <u>Applicatio</u>	<u>Status</u> <u>n</u>
¥ u.s.	6,216,763	CAST NODE AND METHOD FOR CASTING NODES	Steven Kretschmer; Phillip Ruehl		3.5 year maintenance fee due 10/17/04; Abandon
<b>Х</b> u.s.	6,216,509	HYDROFORMED TUBULAR MEMBER AND METHOD OF HYDROFORMING TUBULAR MEMBERS	Steve Lotspaih; Wallace Birtch		3.5 year maintenance fee due 10/19/04; Abandon
<b>X</b> u.s.	5,409,254	REAR SUSPENSION WITH ALIGNED COIL SPRINGS AND TWIST BEAM AXLE	Thomas Minor; Stephen Kretschmer; Michael Erickson		11.5 year maintenance fee due 10/26/06
Germany	69902164.2- 08			National	EPC 1077787 Patent granted
U.K.	1077787			Phase of PCT App	EPC 1077787 Patent granted
Italy	1077787			US99/10672, which claims	
Sweeden	1077787	•		priority on P-132	
Mexico	183133	PROCESS OF MANUFACTURING A STAMPED BALL JOINT HOUSING WITH PERIMETER FLANGE	Robert Delbeke; John Vrana	No U.S.	Annuity due 2/18/2006

# **Pending Patent Applications**

Country	Application <u>No.</u>	Invention Title	Inventor / Co-Owner (if applicable)	Related Application	<u>Status</u>
Japan	06-185988	METHOD OF MANUFACTURING ENGINE CRADLES	Phillip Ruehl; Stephen Kretschmer; Richard Behrman		Examination requested on 7/18/2001; A/Action from JPO; Abandon

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Country	Application <u>No.</u>	Invention Title	Inventor / Co-Ov applicable	•	lelated plication	<u>Status</u>
Mexico	001385	THREE DIMENSIONAL TACTILE SEAM TRACING DEVICE	Robert Heideman; Blachowiak; Christ Smith; Stephen Car Brandon Tarr; Neil Michael Predith	opher rian;		A/Action from Mexican Patent Office; Abandon
Japan	2000-566055					Request Examination due 8/19/2006; Abandon Request Examination due
Korea	2000-7002277					8/19/2004; Abandon A/Action from
Mexico	2001-002003					Mexican Patent Office; Abandon
Brazil	PI9910045-2					Examination Requested; A/
Canada	2,321,415					Action from Brazilian Patent Office Examination Requested; A/ Action from Canadian Patent
Japan	2000-548118					Office Examination Request Due:
Korea	7012533					5/13/2006 Examination Requested; A/Action from Korean Patent Office
Patent Applications in Preparation						
<u>Cou</u>	ntry <u>Doc</u> l		pected ing Date	Inventor(s)		<u>Title</u>

None.

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#### FIRST LIEN PATENT SECURITY AGREEMENT

This FIRST LIEN PATENT SECURITY AGREEMENT, dated as of May 24, 2004 (this "Agreement"), is made by R.J. TOWER CORPORATION, a Michigan corporation (the "Grantor"), in favor of STANDARD FEDERAL BANK, as the Collateral Agent (together with its successor(s) thereto in such capacity, the "Collateral Agent") for each of the Secured Parties.

### WITNESSETH:

WHEREAS, pursuant to the Credit Agreement, dated as of May 24, 2004 (as amended, supplemented, amended and restated or otherwise modified from time to time, the "Credit Agreement"), among the Grantor, Tower Automotive, Inc., a Delaware corporation, the various financial institutions and other Persons from time to time parties thereto as lenders (the "Lenders"), Morgan Stanley Senior Funding, Inc. ("Morgan Stanley"), as the Administrative Agent for the Lenders, JPMorgan Chase Bank, as the Syndication Agent for the Lenders, the Collateral Agent, Standard Federal Bank, as the Documentation Agent for the Lenders, and Morgan Stanley and J.P. Morgan Securities Inc., as the Lead Arrangers for the Lenders, the Lenders and the Issuers have extended Commitments to make Loans and issue Letters of Credit to the Grantor;

WHEREAS, in connection with the Credit Agreement, the Grantor has executed and delivered a First Lien Pledge and Security Agreement, dated as of May 24, 2004 (as amended, supplemented, amended and restated or otherwise modified from time to time, the "Security Agreement");

WHEREAS, pursuant to the Credit Agreement and pursuant to Section 4.5 of the Security Agreement, the Grantor is required to execute and deliver this Agreement and to grant to the Collateral Agent a continuing security interest in all of the Patent Collateral (as defined below) to secure all Obligations; and

WHEREAS, the Grantor has duly authorized the execution, delivery and performance of this Agreement; and

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Grantor agrees, for the benefit of each Secured Party, as follows:

SECTION 1. <u>Definitions</u>. Unless otherwise defined herein or the context otherwise requires, terms used in this Agreement, including its preamble and recitals, have the meanings provided in the Security Agreement.

SECTION 2. Grant of Security Interest. The Grantor hereby grants to the Collateral Agent, for its benefit and the ratable benefit of each other Secured Party, a continuing security interest in all of the following property, whether now or hereafter existing or acquired by the Grantor (the "Patent Collateral"):

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- (a) all of its letters patent and applications for letters patent throughout the world, including all patent applications in preparation for filing and each patent and patent application referred to in <u>Item A</u> of <u>Schedule I</u> attached hereto;
- (b) all reissues, divisions, continuations, continuations-in-part, extensions, renewals and reexaminations of any of the items described in clause (a);
- (c) all of its patent licenses, and other agreements providing the Grantor with the right to use any items of the type referred to in clauses (a) and (b) above, including each patent license referred to in <u>Item B</u> of <u>Schedule I</u> attached hereto to the extent permitted under the Security Agreement; and
- (d) all Proceeds of, and rights associated with, the foregoing (including license royalties and Proceeds of infringement suits), the right to sue third parties for past, present or future infringements of any patent or patent application, and for breach or enforcement of any patent license.
- SECTION 3. <u>Security Agreement</u>. This Agreement has been executed and delivered by the Grantor for the purpose of registering the security interest of the Collateral Agent in the Patent Collateral with the United States Patent and Trademark Office and, at the reasonable request of the Collateral Agent, the corresponding offices in other countries of the world. The security interest granted hereby has been granted as a supplement to, and not in limitation of, the security interest granted to the Collateral Agent for its benefit and the ratable benefit of each other Secured Party under the Security Agreement. The Security Agreement (and all rights and remedies of the Collateral Agent and each Secured Party thereunder) shall remain in full force and effect in accordance with its terms.
- SECTION 4. Release of Liens. Upon (i) the Disposition of Patent Collateral in accordance with the Credit Agreement or (ii) the occurrence of the Termination Date, the security interests granted herein shall automatically terminate with respect to (A) such Patent Collateral (in the case of clause (i)) or (B) all Patent Collateral (in the case of clause (ii)). Upon any such Disposition or termination, the Collateral Agent will, at the Grantor's sole expense, deliver to the Grantor, without any representations, warranties or recourse of any kind whatsoever, all Patent Collateral held by the Collateral Agent hereunder, and execute and deliver to the Grantor such Documents as the Grantor shall reasonably request to evidence such termination.
- SECTION 5. <u>Acknowledgment</u>. The Grantor does hereby further acknowledge and affirm that the rights and remedies of the Collateral Agent with respect to the security interest in the Patent Collateral granted hereby are more fully set forth in the Security Agreement, the terms and provisions of which (including the remedies provided for therein) are incorporated by reference herein as if fully set forth herein.
- SECTION 6. <u>Loan Document</u>. This Agreement is a Loan Document executed pursuant to the Credit Agreement and shall (unless otherwise expressly indicated herein) be construed, administered and applied in accordance with the terms and provisions thereof, including Article XI thereof.

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SECTION 7. <u>Counterparts</u>. This Agreement may be executed by the parties hereto in several counterparts, each of which shall be deemed to be an original and all of which shall constitute together but one and the same agreement.

\* \* \* \* \*

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IN WITNESS WHEREOF, each of the parties hereto has caused this Agreement to be duly executed and delivered by its Authorized Officer as of the date first above written.

R.J. TOWER CORPORATION

Title:

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STANDARD FEDERAL BANK, as the Collateral Agent

Title:

Notary Public, Kent County, MI My Commission Expires Feb. 14, 2007

acting in Kint County, MI

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## Item B. Patent Licenses

None.

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PATENT
RECORDED: 11/04/2004 REEL: 015953 FRAME: 0010