

Form PTO-1595 (Rev. 09/04)  
OMB No. 0651-0027 (exp. 6/30/2005)

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### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)/Execution Date(s):**

Ernst Hafen (12/06/2004),  
Hugo Stocker (12/06/2004),  
Pierre Daram (12/07/2004),  
Benno Shindelholz (12/07/2004), and

Execution Date(s): in parentheses after inventor name  
Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: THE GENETICS COMPANY, INC.

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

Wagistrasse 27

City: Schlieren

State: \_\_\_\_\_

Country: Switzerland Zip: CH-8952

Additional name(s) & address(es) attached?  Yes  No

**3. Nature of Conveyance:**

- Assignment  Merger
- Security Agreement  Change of Name
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

**4. Application or patent number(s):**

A. Patent Application No.(s)  
10/509,558

This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Jeffrey S. Sharp  
MARSHALL, GERSTEIN & BORUN LLP

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Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:**

1

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

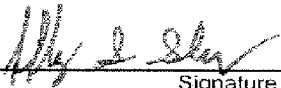
- Authorized to be charged by credit card
- Authorized to be charged to deposit account
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- None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
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b. Deposit Account Number 13-2855  
Authorized User Name Jeffrey S. Sharp

**9. Signature:**

  
Signature

March 23, 2005

Date

Jeffrey S. Sharp - 31,879  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

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Dated: March 23, 2005

Signature:  (Jeffrey S. Sharp)

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Form PTO-1595	<b>RECORDATION FORM COVER SHEET</b> <b>(continued)</b>				
<b>Additional Conveying Party(ies)/Execution Date(s) (1. Continued):</b> Sebastian Breuer (11/12/2004)					
<b>Additional Assignees (2. Continued):</b> Assignee Name: <u>UNIVERSITÄT ZÜRICH</u> Internal Address: Street Address: <u>Rämistrasse 71</u>  City: <u>Zürich</u> State: _____ Country: <u>Switzerland</u> Zip: <u>CH-8006</u>					
Assignee Name: _____ Internal Address: Street Address:  City: _____ State: _____ Country: _____ Zip: _____					
Assignee Name: _____ Internal Address: Street Address:  City: _____ State: _____ Country: _____ Zip: _____					
<b>Additional Applications and/or Patents (4. Continued):</b> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; border-right: 1px solid black; vertical-align: top; padding: 5px;">           Additional Patent Application Numbers            4A. Continued:         </td> <td style="width: 50%; vertical-align: top; padding: 5px;">           Additional Patent Numbers            4B. Continued:         </td> </tr> <tr> <td colspan="2" style="text-align: center; padding: 10px;">           Additional numbers attached?    <input type="checkbox"/> Yes    <input type="checkbox"/> No         </td> </tr> </table>		Additional Patent Application Numbers 4A. Continued:	Additional Patent Numbers 4B. Continued:	Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Additional Patent Application Numbers 4A. Continued:	Additional Patent Numbers 4B. Continued:				
Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No					

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Dated: March 23, 2005

Signature:  (Jeffrey S. Sharp)

**ASSIGNMENT**

Serial No: **10/509,558**

Filed: **September 24, 2004**

Title: **GROWTH REGULATING PROTEINS**

For \$10.00 (Ten Dollars) and other good and sufficient consideration, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to THE GENETICS COMPANY, INC. Wagistrasse 27, CH-8952 Schlieren, SWITZERLAND; and UNIVERSITÄT ZÜRICH, Rämistrasse 71, CH-8006 Zürich, SWITZERLAND, respectively (hereinafter "Assignee"), its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, executed by the undersigned on November 12, 2004 and December 7, 11 and 12, 2004 and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension thereof.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the execution date and/or filing date and serial number of said application when officially known.

The undersigned warrant themselves to be the owners of the interest herein assigned and to have the right to make this assignment and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said consideration the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation, continuation-in-part and substitute applications for said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns, may deem necessary or expedient, and for the aforesaid consideration the undersigned further agree upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned herein becoming involved in Interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in said assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by said assignee, its successors and assigns, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

MARSHALL, GERSTEIN & BORUN LLP, 233 S. Wacker Drive, Suite 6300, Sears Tower, Chicago, Illinois 60606-6357

27656/38365A

WITNESS my hand this 6<sup>th</sup> day of December, 2004.

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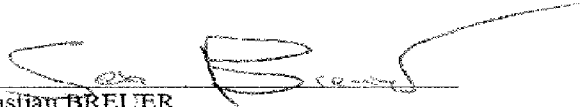
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