

Form PTO-1595 (Rev. 06/04)
OMB No. 0651-0027 (exp. 6/30/2005)U.S. DEPARTMENT OF COMMERCE
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RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Sarah J. Lane
Andrew Scott Lawing
Brian L. Mueller
Charles YuExecution Date(s) March 2, 2005; March 7, 2005; March 9, 2005Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Rohm and Haas Electronic Materials CMP Holdings, Inc.

Internal Address: _____

Street Address: 1105 North Market StreetSuite 1300City: WilmingtonState: DECountry: US Zip: 19899Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s) 11/023,862☐ This document is being filed together with a new application.

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Edwin OhRohm and Haas Electronic Materials CMP Holdings, Inc.

Internal Address: _____

Street Address: 1105 North Market StreetSuite 1300City: WilmingtonState: DE Zip: 19899Phone Number: 302-366-0500Fax Number: 302-283-2144Email Address: eah@rohmmaas.com

6. Total number of applications and patents

involved: 17. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
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9. Signature:

Signature

Date

Edwin Oh

Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents:3Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

CH \$40.00 600618 11023862

Application Serial Number: 11/023,862

Filing Date: December 28, 2004

Attorney Docket No.: 04045US

ASSIGNMENT OF PATENT APPLICATION

We/I, the undersigned

Sarah J. Lane, Andrew Scott Lawing, Brian L. Mueller, Charles Yu,

Hereby declare that:

We/I are true and first inventor(s) of an invention relating to

MULTI-STEP METHODS FOR CHEMICAL MECHANICAL POLISHING SILICON DIOXIDE AND SILICON NITRIDE


which is disclosed in an application for Letters Patent in the United States of America, executed the 2nd day of March, 2005 (Case No. 04045US); and, for valuable consideration, the receipt and adequacy of which is hereby acknowledged and in fulfillment of our pre-existing obligation of assignment, we hereby sell, assign and transfer unto Rohm and Haas Electronic Materials CMP Holdings, Inc., a corporation organized and existing under the laws of the State of Delaware in the United States of America and having its principal place of business at Wilmington, Delaware, hereinafter referred to as the assignee, the entire right, title, and interest in and to the aforesaid application for Letters Patent, including any priority rights derived from the aforesaid application for Letters Patent by virtue of the International Convention for the Protection of Industrial Property for any and all member countries of the aforesaid International Convention, and the entire right, title and interest in and to any and all our inventions, whether joint or sole, disclosed in the aforesaid application for Letters Patent, and in and to any and all applications for letters Patent for any such inventions in any country whatsoever, and in and to any and all patents for any such inventions in any country whatsoever, with the sole right to file such applications in its name or ours, including the sole right to file such applications under the aforesaid International Convention, together with the sole right to have said patents granted in its name or ours and to enforce said patents and to sue for and recover profits and damages for any and all infringements thereof, and hereby agree, whenever requested, to communicate to us respecting said inventions, to testify in any legal proceeding, to execute all applications, papers, or instruments necessary or required by said assignee, its successors, assigns, and legal representatives to carry into effect any of the provisions of this instrument, and generally to do everything possible to aid said assignee, its successors, assigns and legal representatives to obtain and enforce proper patent protection for said inventions in any and all countries.

Signed and sealed this 7th day of March 2005Sarah J. Lane
Sarah J. LaneSigned and sealed this 2nd day of March 2005Andrew Scott Lawing
Andrew Scott Lawing

Application Serial Number: 11/023,862

Filing Date: December 28, 2004

Attorney Docket No.: 04045US

Signed and sealed this 9th day of March 2005
Brian L. MuellerSigned and sealed this 9th day of March 2005
Charles Yu