Docket No.: 15436.249.50.1 ~~ SHEET FORM PTO-1595 (Modified) U.S. DEPARTMENT OF COMMERCE (Rev. 03-01) OMB No. 0651-0027 (exp.5/31/2002) Patent and Trademark Office P08A/RFV03 Tab settings -To the Director of the United States Patent and 02880856 the attached original documents or copy thereof. 2. Name and address of receiving party(ies): Name of conveying party(ies): Ting Shi Name: Finisar Corporation **Nathan Jones** Address: 1308 Moffett Park Drive ☐ Yes ☒ No Additional names(s) of conveying party(ies) 3. Nature of conveyance: Merger ____ State/Prov.: California Change of Name City: Sunnyvale □ Security Agreement 94089 Country: USA □ Other Execution Date: November 5, 2004 and October 28, 2004 ☐ Yes ⊠ No Additional name(s) & address(es) 4. Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: November 8, 2004 Patent Application No. Filing date B. Patent No.(s) 1/10/2004 MBIZUNES 00000078 10983416 40.00 DP D3 FC:8021 ☐ Yes ☒ No Additional numbers 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Eric L. Maschoff 7. Total fee (37 CFR 3.41):....\$ 40.00 36,596 Registration No. Enclosed - Any excess or insufficiency should be credited or debited to deposit account Address: Workman Nydegger Authorized to be charged to deposit account 1000 Eagle Gate Tower 8. Deposit account number: 60 East South Temple

9. Statement and signature.

City: Salt Lake City

Country: USA

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

State/Prov.: Utah

ZIP: 84111

William J. Athay

Name of Person Signing

Lith Je My Signature

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PATENT APPLICATION Docket No: 15436.249.50.1

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Workman Nydegger 1000 Eagle Gate Tower 60 East South Temple Salt Lake City, Utah 84111

ASSIGNMENT

We, Ting Shi and Nathan Jones, of 4055 Bouquet Park Lane, San Jose, California 95135 and 16573 Englewood Avenue, Los Gatos, California 95032, respectively, have invented a method and apparatus entitled ZERO FORCE SOCKET FOR LASER / PHOTODIODE ALIGNMENT hereinafter called the "invention."

Preferred embodiments of said invention are disclosed in a United States patent application now identified as File No. 15436.249.50.1 of the law firm of Workman Nydegger, 1000 Eagle Gate Tower, 60 East South Temple, Salt Lake City, Utah 84111, and filed in the United States Patent and Trademark Office as Serial No. ______ on ______. (We hereby authorize the attorneys of Workman Nydegger to insert said serial number and filing date when known.)

The Assignee, Finisar Corporation, a corporation of the State of Delaware, having a principal place of business at 1308 Moffett Park Drive, Sunnyvale, California 94089, desires to secure the entire right, title and interest in said invention.

In consideration of One Dollar (\$1.00) and other good and valuable consideration paid to us by the Assignee, the receipt and sufficiency of which we hereby acknowledge, WE HEREBY ASSIGN TO THE ASSIGNEE:

The entire right, title and interest in said invention in the above-identified United States patent application and in all divisions, continuations and continuations-in-part of said application, or reissues or extensions of Letters Patent or Patents granted thereon,

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PATENT REEL: 015971 FRAME: 0778 and in all corresponding applications filed in countries foreign to the United States, and in all patents issuing thereon in the United States and foreign countries.

The right to file foreign patent applications on said invention in its own name, wherever such right may be legally exercised, including the right to claim the benefits of the International Convention for such applications.

We hereby authorize and request the United States Commissioner of Patents and Trademarks, and such Patent Office officials in foreign countries as are duly authorized by their patent laws to issue patents, to issue any and all patents on said invention to the Assignee as the owner of the entire interest, for the sole use and behalf of the said Assignee, its successors, assigns and legal representatives.

We hereby agree, without further consideration and without expense to us, to sign all lawful papers and to perform all other lawful acts which the Assignee may request of us to make this Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States and foreign patent applications on said invention, and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

Cooperation to the best of our ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement proceedings involving said invention.

This assignment and agreement shall be binding upon our heirs and legal representatives.

DATED this 5 day of November, 2004.

Ting Shi

DATED this 28 day of Octuber, 2004.

Nathan Jones

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