FORM PTO-1565 NOV 0 4 2004

11-09-2004

102878299 PATENTS ONLY

ER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original	ginal documents or copy thereof.				
Name of conveying party(ies):	2. Name and address of receiving party(ies):				
TOWER AUTOMOTIVE TECHNOLOGY PRODUCTS, I	NC. STANDARD FEDERAL BANK, as Collateral Agent				
Additional names(s) of conveying party(ies) attached? □ Yes * No					
3. Nature of conveyance:	Street Address: 40 Pearl Street, NW				
☐ Assignment ☐ Merger	City: Grand Rapid State: MI ZIP: 49501				
X Security Agreement □ Change of Name	City. Otalia Rapia State. MI Zit. 47501				
Other FIRST LIEN	Additional name(s) & address(es) attached? ☐ Yes X No				
Execution Date: May 24, 2004					
4. Application number(s) or patent number(s): SEE SCHEDULE 1					
If this document is being filed together with a new application, the execution date of the	pplication is:				
Application Numbers: Registration N	Numbers:				
SEE SCHEDULE 1 SEE SCH	EDULE I				
Additional numbers	attached? ☐ Yes ☐ No				
5. Name and address of party to whom correspondence concerning document should be	mailed: 6. Total number of applications and patents involved: 9				
Name: Robert E. Rude II					
Internal Address: <u>Mayer, Brown, Rowe & Maw</u> <u>LLP</u>	7. Total fee (37 CFR 3.41) <u>\$360.00</u>				
	* Enclosed (Check No 3452)				
Street Address: 1909 K Street, NW	☐ Authorized to be charged to deposit account				
	8. Deposit account number:				
City: Washington State: D.C. ZIP: 20006	(Attach duplicate copy of this page if paying by deposit account)				
	DT USE THIS SPACE				
 Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. 					
10 the best of my knowledge and belief, the foregoing information is true and correct and	any attached copy is a true copy of the original document.				
Robert E. Rude II Name of Person Signing Signature	November 4, 2004 Date				
Total number of pages include	ing cover sheet, attachments and documents: 10				
`					

11/08/2004 DBYRNE 00000058 6299210 01 FC:8021 360.00 QP

Item A. Patents

Issued Patents

Status	Issued Oct. 9, 2001; 3.5 year maintenance fee due 04/12/05	11.5 year maintenance fee due 11/14/05	11.5 year maintenance fee due 07/26/05	7.5 year maintenance fee due 10/26/2007	7.5 year maintenance fee due: 10/25/2007
Related <u>Application</u>					c
Inventor / Co- Owner (if applicable)	Phillip Ruchl; Peter Szesterniak	Robert Delbeke; Leland Richart	Robert Delbeke	S Robert Heideman; Jason Scott; Christopher Smith; Thomas Thessin; Brahman Ranganathan; Donald	bisholverger Larry Dziadosz; Brian Hudock
Invention Title	MULTI-PIECE SIDERAIL FOR MEDIUM AND HEAVY TRUCKS	UPPER CONTROL ARM FOR VEHICLE SUSPENSION SYSTEM	UPPER CONTROL ARM FOR VEHICLE SUSPENSION SYSTEM	FRICTION STIR WELDING Robert Heideman; TOOL Christopher Smith: Thomas Thessin; Brahman Ranganathan; Don	APPARATUS AND METHOD FOR JOINING SHEET METAL LAYERS
Patent <u>No.</u>	6,299,210	5,310,210	5,280,945	6,053,391	6,052,887
Country	× U.S.	★ U.S.	X U.S.	★ u.s.	≯u.s.

PATENT REEL: 015972 FRAME: 0050

N

5 1	Country	Patent <u>No.</u>	Invention Title	Inventor / Co- Owner (if <u>applicable)</u>	Related Application	Status
X U.S.	Q	6,241,267	CONTROL ARM FOR USE IN VEHICLE SUSPENSION	E Larry Dziadosz N		3.5 year maintenance fee due 12/5/2004
X∪.S.	δ <u>;</u>	. 6,619,533	MULTIPIECE EXTRUDED LINK ARM	D John Hootman, et al.		3.5 year maintenance fee due 3/16/07
★ U.S.	ν;	6,626,351	METHOD AND APPARATUS FOR THE MANUFACTURING OF STRUCTURAL MEMBERS	Larry Dziadosz, Clancy Fulton	Prov. No. 60/233,782	3.5 year maintenance fee duc 3/30/07
⊀u.s.		6,679,417	TAILORED SOLUTIONIZING OF ALUMINUM SHEETS	Clancy Fulton, Bill Swenson, Rolf Pionke, Larry Dziadosz	CIP of P- 145	3.5 year maintenance fee due 7/23/07
				Pending Patent Applications	olications	
3 1	Country	Application <u>No</u>	Invention Title	Inventor / Co-Owner (if applicable)	Related Application	Status
Ä	Brazil	PI0112948-1			TOW01 FP- 141 (PCT)	Requested examination 3/04; A/ Action from Brazilian Patent Office
<u> </u>	EPC	1959854.9			TOW01 FP- 141 (PCT)	filed February 2003
Σ	Mexico	PA/A/2003 /000996			TOW01 FP- 141 (PCT)	filed February 2003
17.	17221260					

7

Country	Application <u>No.</u>	Invention Title	Inventor / Co-Owner (if applicable)	Related <u>Application</u>	Status
Brazil	P10015711-2				Requested Examination
Canada	2,391,294				Filed 5/10/02; Request for Examination due
EPC	00983971.3			National Phase	12/0/03 Filed 07/04/02
Mexico	PA/ A/2002/005612			US00/33111, which claims	Filed 6/06/02
Japan	2001-543349			P-137	Filed 6/05/02; Request for Examination due
Korea	App. No. 2002- 7007315				Filed 6/07/02; Request for Examination due 12/6/05
Canada	2,422,784			Nat. phase of PCT	Examination Requested; A/Office Action
Japan	528429/2002			Nat. phase of PCT	Examination not yet requested.

Patent Applications in Preparation

Expected

17221260

Item B. Patent Licenses

Agreement

Nonexclusive Patent Agreement between Tower Automotive Technology Products, Inc. and

Algoods, Inc.

and technology to manufacture Algoods of automotive patents Nonexclusive license to

and sell products.

Effective Date 12-1-01

17221260

FIRST LIEN PATENT SECURITY AGREEMENT

This FIRST LIEN PATENT SECURITY AGREEMENT, dated as of May 24, 2004 (this "Agreement"), is made by TOWER AUTOMOTIVE TECHNOLOGY PRODUCTS, INC., a Michigan corporation (the "Grantor"), in favor of STANDARD FEDERAL BANK, as the Collateral Agent (together with its successor(s) thereto in such capacity, the "Collateral Agent") for each of the Secured Parties.

WITNESSETH:

WHEREAS, pursuant to the Credit Agreement, dated as of May 24, 2004 (as amended, supplemented, amended and restated or otherwise modified from time to time, the "Credit Agreement"), among the R.J. Tower Corporation, a Michigan corporation (the "Borrower"), Tower Automotive, Inc., a Delaware corporation, the various financial institutions and other Persons from time to time parties thereto as lenders (the "Lenders"), Morgan Stanley Senior Funding, Inc. ("Morgan Stanley"), as the Administrative Agent for the Lenders, JPMorgan Chase Bank, as the Syndication Agent for the Lenders, the Collateral Agent, Standard Federal Bank, as the Documentation Agent for the Lenders, and Morgan Stanley and J.P. Morgan Securities Inc., as the Lead Arrangers for the Lenders, the Lenders and the Issuers have extended Commitments to make Loans and issue Letters of Credit to the Borrower:

WHEREAS, in connection with the Credit Agreement, the Grantor has executed and delivered a First Lien Pledge and Security Agreement, dated as of May 24, 2004 (as amended, supplemented, amended and restated or otherwise modified from time to time, the "Security Agreement");

WHEREAS, pursuant to the Credit Agreement and pursuant to Section 4.5 of the Security Agreement, the Grantor is required to execute and deliver this Agreement and to grant to the Collateral Agent a continuing security interest in all of the Patent Collateral (as defined below) to secure all Obligations; and

WHEREAS, the Grantor has duly authorized the execution, delivery and performance of this Agreement; and

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Grantor agrees, for the benefit of each Secured Party, as follows:

SECTION 1. <u>Definitions</u>. Unless otherwise defined herein or the context otherwise requires, terms used in this Agreement, including its preamble and recitals, have the meanings provided in the Security Agreement.

SECTION 2. <u>Grant of Security Interest</u>. The Grantor hereby grants to the Collateral Agent, for its benefit and the ratable benefit of each other Secured Party, a continuing security interest in all of the following property, whether now or hereafter existing or acquired by the Grantor (the "<u>Patent Collateral</u>"):

17221260

- (a) all of its letters patent and applications for letters patent throughout the world, including all patent applications in preparation for filing and each patent and patent application referred to in <u>Item A</u> of <u>Schedule I</u> attached hereto;
- (b) all reissues, divisions, continuations, continuations-in-part, extensions, renewals and reexaminations of any of the items described in clause (a);
- (c) all of its patent licenses, and other agreements providing the Grantor with the right to use any items of the type referred to in clauses (a) and (b) above, including each patent license referred to in <u>Item B</u> of <u>Schedule I</u> attached hereto to the extent permitted under the Security Agreement; and
- (d) all Proceeds of, and rights associated with, the foregoing (including license royalties and Proceeds of infringement suits), the right to sue third parties for past, present or future infringements of any patent or patent application, and for breach or enforcement of any patent license.
- SECTION 3. Security Agreement. This Agreement has been executed and delivered by the Grantor for the purpose of registering the security interest of the Collateral Agent in the Patent Collateral with the United States Patent and Trademark Office and, at the reasonable request of the Collateral Agent, the corresponding offices in other countries of the world. The security interest granted hereby has been granted as a supplement to, and not in limitation of, the security interest granted to the Collateral Agent for its benefit and the ratable benefit of each other Secured Party under the Security Agreement. The Security Agreement (and all rights and remedies of the Collateral Agent and each Secured Party thereunder) shall remain in full force and effect in accordance with its terms.
- SECTION 4. Release of Liens. Upon (i) the Disposition of Patent Collateral in accordance with the Credit Agreement or (ii) the occurrence of the Termination Date, the security interests granted herein shall automatically terminate with respect to (A) such Patent Collateral (in the case of clause (i)) or (B) all Patent Collateral (in the case of clause (ii)). Upon any such Disposition or termination, the Collateral Agent will, at the Grantor's sole expense, deliver to the Grantor, without any representations, warranties or recourse of any kind whatsoever, all Patent Collateral held by the Collateral Agent hereunder, and execute and deliver to the Grantor such Documents as the Grantor shall reasonably request to evidence such termination.
- SECTION 5. <u>Acknowledgment</u>. The Grantor does hereby further acknowledge and affirm that the rights and remedies of the Collateral Agent with respect to the security interest in the Patent Collateral granted hereby are more fully set forth in the Security Agreement, the terms and provisions of which (including the remedies provided for therein) are incorporated by reference herein as if fully set forth herein.
- SECTION 6. Loan Document. This Agreement is a Loan Document executed pursuant to the Credit Agreement and shall (unless otherwise expressly indicated herein) be construed, administered and applied in accordance with the terms and provisions thereof, including Article XI thereof.

17221260

1

SECTION 7. <u>Counterparts</u>. This Agreement may be executed by the parties hereto in several counterparts, each of which shall be deemed to be an original and all of which shall constitute together but one and the same agreement.

* * * * *

17221260

IN WITNESS WHEREOF, each of the parties hereto has caused this Agreement to be duly executed and delivered by its Authorized Officer as of the date first above written.

TOWER AUTOMOTIVE TECHNOLOGY PRODUCTS, INC

Title:

17221260

STANDARD FEDERAL BANK, as the Collateral Agent

By:_____ Title:

LETITIA PAIZ

Notary Public, Kent County, MI My Commission Expires Feb. 14, 2007

acting in Kent County, MI

Item A. Patents

Issued Patents

Status	Issued Oct. 9, 2001; 3.5 year maintenance fee due 04/12/05	11.5 year maintenance fee due 11/14/05	11.5 year maintenance fee due 07/26/05	7.5 year maintenance fee due 10/26/2007	7.5 year maintenance fee due: 10/25/2007
Related Application					_
Inventor / Co- Owner (if applicable)	Phillip Ruehl; Peter Szesterniak	Robert Delbeke; Leland Richart	Robert Delbeke	i Robert Heideman; Jason Scott; Christopher Smith; Thomas Thessin; Brahman Ranganathan; Donald	Bishofberger Larry Dziadosz; Brian Hudock
Invention Title	MULTI-PIECE SIDERAIL FOR MEDIUM AND HEAVY TRUCKS	UPPER CONTROL ARM FOR VEHICLE SUSPENSION SYSTEM	UPPER CONTROL ARM FOR VEHICLE SUSPENSION SYSTEM	FRICTION STIR WELDING Robert Heideman; TOOL Christopher Smith; Thomas Thessin; Brahman Ranganathan; Don	APPARATUS AND METHOD FOR JOINING SHEET METAL LAYERS
Patent <u>No.</u>	6,299,210	5,310,210	5,280,945	6,053,391	6,052,887
Country	× U.S.	★ u.s.	× u.s.	Κu.s.	≺u.s.

17221260

2

Country	ry Patent <u>No.</u>	Invention Title	Inventor / Co- Owner (if applicable)	Related Application	Status
X U.S.	6,241,267	CONTROL ARM FOR USE Larry Dziadosz IN VEHICLE SUSPENSION	SE Larry Dziadosz ON		3.5 year maintenance fee due 12/5/2004
X ∪.s.	. 6,619,533	MULTIPIECE EXTRUDED John Hootman, et al. LINK ARM	(D John Hootman, et al.		3.5 year maintenance fee due 3/16/07
★ U.S.	6,626,351	METHOD AND APPARATUS FOR THE MANUFACTURING OF STRUCTURAL MEMBERS	Larry Dziadosz, Clancy Fulton &S	Prov. No. 60/233,782	Prov. No. 3.5 year maintenance 60/233,782 fee due 3/30/07
₹U.S.	6,679,417	TAILORED SOLUTIONIZING OF ALUMINUM SHEETS	Clancy Fulton, Bill Swenson, Rolf Pionke, Larry Dziadosz	CIP of P- 145	3.5 year maintenance fee due 7/23/07
			Pending Patent Applications	lications	
Country	y Application <u>No.</u>	<u>Invention Title</u>	Inventor / Co-Owner (if applicable)	Related Application	Status

Requested examination 3/04; A/ Action from Brazilian Patent Office	filed February 2003	filed February 2003	
TOW01 FP-	TOW01 FP- 141 (PCT)	TOW01 FP- 141 (PCT)	
PI0112948-1	1959854.9	PA/A/2003 /000996	
Brazil	EPC	Mexico	17221260

__.

Status	Requested Examination	Filed 5/10/02; Request	12/6/05 Filed 07/04/02	Filed 6/06/02	Filed 6/05/02; Request for Examination due	12/6/07 Filed 6/07/02; Request for Examination due 12/6/05	Examination Requested; A/Office	Action Examination not yet requested.
Related Application			National Phase	of PC1 App. US00/33111, which claims	priority on P-137		Nat. phase of PCT	Nat. phase I of PCT
Inventor / Co-Owner (if <u>applicable)</u>								
Invention Title								
Application <u>No.</u>	PI0015711-2	2,391,294	00983971.3	PA/ A/2002/005612	2001-543349	App. No. 2002- 7007315	2,422,784	528429/2002
Country	Brazil	Canada	EPC	Mexico	Japan	Korea	Canada	Japan

Patent Applications in Preparation

Expected

17221260

Item B. Patent Licenses

Agreement

Nonexclusive Patent Agreement between Tower Automotive Technology Products, Inc. and

Algoods, Inc.

Purpose

Effective Date 12-1-01

and technology to manufacture Algoods of automotive patents Nonexclusive license to and sell products.

17221260

PATENT REEL: 015972 FRAME: 0062 **RECORDED: 11/04/2004**