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P08A/REV03

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11-16-2004

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10-12-04

To the Director of the United States Patent and Trademark Office

102881739

checked original documents or copy thereof.

1. Name of conveying party(ies):

**Michael Kevan Durkin**  
**Mikhail Nickolaos Zervas**

2. Name and address of receiving party(ies):

Name: **Southampton Photonics Ltd.**

Address: **3 Wellington Park**

**Tollbar Way**

**Hedge End**

**Southampton, SO30 2QU**

City: \_\_\_\_\_ State/Prov.: \_\_\_\_\_

Country: **England** ZIP: \_\_\_\_\_

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date: **26-May-2004**

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No.

Filing date

B. Patent No.(s)

**10/494,844**

**6-Nov-2002**

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **John S. Reid**

Registration No. **36,369**

Address: **1926 S. Valleyview Lane**

City: **Spokane**

State/Prov.: **WA**

Country: **US**

ZIP: **99212**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

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8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**John S. Reid**

Name of Person Signing

*John S. Reid*

Signature

**October 6, 2004**

Date

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**PATENT**  
**REEL: 015975 FRAME: 0328**

1 **PATENT ASSIGNMENT**

2  
3 **PARTIES TO THE ASSIGNMENT:**

4  
5 **Inventors:**

6  
7 Michael Kevan DURKIN  
8 34A Whitworth Road  
9 Southampton  
10 Hampshire SO18 1GF  
11 ENGLAND

Mikhail Nickolaos ZERVAS  
34 Hill Farm Road  
Southampton  
Hampshire SO15 5SR  
ENGLAND

12 (hereinafter, "INVENTORS"), and

13  
14 Southampton Photonics, Ltd  
15 3 Wellington Park  
16 Tollbar Way  
17 Hedge End  
18 Southampton SO30 2QU  
19 ENGLAND

20 (hereinafter, "ASSIGNEE"),

21 **BACKGROUND OF THIS ASSIGNMENT:**

22 WHEREAS, the INVENTORS have conceived certain new and useful  
23 inventions disclosed in a United States Patent Application titled, "APPARATUS FOR  
24 FILTERING OPTICAL RADIATION AT AN OPERATING WAVELENGTH", which  
25 was filed as U.S. Patent Application Serial No. 10/494,844 on May 6, 2004 in the  
U.S. And,

1 WHEREAS, the INVENTORS, for good and valuable consideration, the  
2 sufficiency and previous receipt of which is hereby acknowledged, have assigned all  
3 right, title and interest in and to such inventions to ASSIGNEE. And,

4 WHEREAS, ASSIGNEE desires to secure clear title to such inventions, as  
5 well as the entire right, title and interest in said inventions and with respect to any  
6 Letters Patent that may be granted with respect to the inventions in the United  
7 Kingdom, the United States of America, and in all countries foreign thereto.

8  
9 **NOW, THEREFORE, THE PARTIES AGREE AS FOLLOWS:**

10 In consideration of the good and valuable consideration, the sufficiency and  
11 receipt of which is hereby acknowledged by INVENTORS, INVENTORS have sold,  
12 assigned and transferred to ASSIGNEE the full and exclusive right to said inventions  
13 in the United Kingdom, the United States, and in countries foreign thereto, including  
14 any continuation patent applications based thereon, any continuation-in-part patent  
15 applications based thereon, any divisional patent applications based thereon, and/or  
16 any reissue patent applications based thereon.

17 INVENTORS hereby authorize the United States Commissioner of Patents  
18 and Trademarks to issue such Letters Patent to ASSIGNEE for the sole use of  
19 ASSIGNEE, its successors or assigns.

20 INVENTORS further agree to execute, at the request and expense of  
21 ASSIGNEE, such other formal documents as may be required to fully convey the  
22 interests transferred herein and will similarly execute any applications papers  
23 required for the filing of any division, continuation or reissue of the patent application  
24 or resulting Letters Patent; and will generally do everything necessary or desirable to  
25 obtain and enforce proper protection for the invention assigned hereby.

INVENTORS further assign to ASSIGNEE the whole right, title and interest in  
the invention disclosed in the application throughout all countries foreign to the  
United Kingdom and the United States of America. ASSIGNEE is hereby authorized  
to apply for patents relating to the inventions in its own name in countries where

1 such procedure is proper; to claim the benefit of the International Convention; to file  
2 and prosecute International Applications relating to the inventions under the Patent  
3 Cooperation Treaty; and to file and prosecute applications relating to the inventions  
4 under the European Patent Convention. INVENTORS agree to execute applications  
5 relating to the inventions in those countries and under those conventions where it is  
6 necessary that the same be executed by the inventor or a previous assignee, and to  
7 execute assignments of such applications and the resulting Letters Patent to  
8 ASSIGNEE as well as all other necessary papers in relation to such applications and  
9 Letters Patent.

10  
11 Signed and acknowledged by the parties:

12 INVENTOR

13 Signed at Southampton on 26<sup>th</sup> May, 2004.

14  
15   
16 Michael Kevan DURKIN

17 In the presence of (signature) M Lovelady

18 Name (print) MICHAEL J LOVELADY

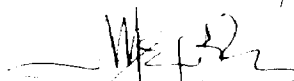
19 Date: 26th May 2004


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21 \* \* \* \* \*

22  
23 (Continued on next page.)  
24  
25

INVENTOR

Signed at Southampton on 26 May, 2004.

  
Mikhail Nickolaos ZERVAS

In the presence of (signature) 

Name (print) CHRISTINE SKELLON

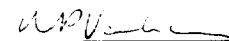
Date: 26 May 2004

\*\*\*\*\*

ASSIGNEE

For and on behalf of the Southampton Photonics Ltd

Signed at Southampton on May 26, 2004.

  
Malcolm P. VARNHAM

Title: Vice President

In the presence of (signature) 

Name (print) Christine SKELLON

Date: 26 May 2004

\*\*\*\*\*

(End.)