

11-16-2004  
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PATENT

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Conveying Party(ies)

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Name (line 1) Yang, Deliang

Execution Date  
Month Day Year

10152003

Name (line 2)

Execution Date  
Month Day Year

Second Party

Name (line 1)

Name (line 2)

Receiving Party

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Name (line 1) UTC FUEL CELLS, LLC

Name (line 2)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Address (line 1) 195 Governors Highway

Address (line 2)

Address (line 3) South Windsor

CT

06074

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Chisholm, Malcolm J.

Address (line 1) P.O. Box 278

Address (line 2) 220 Main Street

Address (line 3) Lee, MA 01238

Address (line 4)

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11/15/2004 GT0N11 00000045 501307 10689238

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**Patent Application Number(s)**

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If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

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**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
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**Number of Properties**

Enter the total number of properties involved.

#

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Fee Amount for Properties Listed (37 CFR 3.41): \$

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Yes ☐ No ☐

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Malcolm J. Chisholm, Jr.



11092004

Name of Person Signing

Signature

Date

ASSIGNMENT

Serial No. 10/689,238  
Filing Date 10/20/2003

WHEREAS, DELIANG YANG, residing at 23038 Arlington Ave, Apt. No. 22, Torrance CA 90501, being a citizen of the United States of America, has invented certain new and useful improvements in a "**FUEL CELL STACK HAVING A REDUCED VOLUME**" invention identified as Attorney Docket No. C-2257, and described in a patent application executed by the undersigned, being owner of all right, title, and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UTC FUEL CELLS, LLC, of South Windsor, Connecticut, a limited liability company registered with the State of Delaware (assignee) desires to obtain the entire right, title and interest in, to and under said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application; and in any applications filed in any foreign country based thereon, including the right to file said foreign applications and to claim priority under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorizes and requests the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agrees, without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers

required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has hereunto set his hand and seal on the date after his signature.

(L.S.) Delian Yang 10/15, 2003

State of California  
County of Los Angeles ss:

On this 15<sup>th</sup> day of Oct., 2003, before me personally appeared DELIANG YANG, to me personally known, and to me known to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

(Seal)

June G. Gennaria  
Notary Public, State of California  
MY COMMISSION EXPIRES: OCT 29, 2003

