

11-16-2004

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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Toshio KODAMA, Masakatsu HASUDA
Toshiaki FUJII, Kouji IWASAKI
Yasuhiko SUGIYAMA, Yasuyuki TAKAGI

Execution Date(s) NOVEMBER 5, 2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: SII NanoTechnology Inc.

Internal Address: _____

Street Address: 8, Nakase 1-chome, Mihama-ku

City: Chiba-shi, Chiba

State: _____

Country: Japan Zip: 261-8507

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

10/629,217

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Bruce L. Adams Esq.

Internal Address: _____

Street Address: Adams & Wilks

50 Broadway - 31st Floor

City: New York

State: NY Zip: 10004

Phone Number: (212) 809-3700

Fax Number: (212) 809-3704

Email Address: _____

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account --
☐ Enclosed ANY DEFICIENCY IN ENCLOSED FEE
☐ None required (government interest not affecting title)

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b. Deposit Account Number 01-0268

Authorized User Name Adams & Wilks

9. Signature:

Signature

November 8, 2004

Date

11/15/2004
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BYRME 00000102 10629217

Bruce L. Adams

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

MAILING CERTIFICATE ON
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PATENT
REEL: 015977 FRAME: 0656

ASSIGNMENT

WHEREAS, we, Toshio KODAMA, MASAKATSU HASUDA, Toshiaki FUJII, Kouji IWASAKI, Yasuhiko SUGIYAMA and Yasuyuki TAKAGI residing at c/o SII NanoTechnology Inc., 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba, Japan have invented new and useful improvements in

ION BEAM APPARATUS, ION BEAM PROCESSING METHOD AND SAMPLE

HOLDER MEMBER

for which we have prepared an application for Letters Patent of the United States of America;

AND WHEREAS, SII NanoTechnology Inc., located and doing business at 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba, Japan, is desirous of acquiring an interest therein and in the Letters Patent to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern, that for and in consideration of Ten Dollars (\$10.00) and other good and valuable consideration to us in hand paid, the receipt of which is hereby acknowledged, we have assigned, sold and transferred and by these presents do hereby assign, sell and transfer unto the said SII NanoTechnology Inc. for the territory of the United States of America, our entire right, title and interest in and to the said invention, and in and to the said application, prepared and executed by us on ^{9/05/03, 9/24/03, 9/24/03, 9/24/03,}~~9/24/03~~ and ~~9/24/03~~, respectively, filed July 29, 2003, Serial No. 10/629,217, preparatory to obtaining Letters Patent thereon and in and to any and all divisions, reissues and continuations thereof, and in and to any Letters Patent that may be granted thereon; said invention, application and Letters Patent to be held and enjoyed by the said SII NanoTechnology Inc., its legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment, sale and transfer not been made.

AND, we hereby covenant and agree that we will at any time upon the request and at the expense of said assignee execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to perfect the title to said invention and to obtain Letters Patent therefor, and we hereby authorize and request the Honorable Commissioner of Patents and Trademarks to issue the said Letters Patent to the said ~~XXXXXXXXXXXXXXXXXXXX~~ SII NanoTechnology Inc. in accordance with this assignment.

Executed this 5th day of NOVEMBER, 2004 at

Witness:

Masaki Hori
Masaki Hori

Toshio Kodama (L.S.)
Toshio KODAMA

Masakatsu Hasuda (L.S.)
Masakatsu HASUDA

Toshiaki Fujii (L.S.)
Toshiaki FUJII

Kouji Iwasaki (L.S.)
Kouji IWASAKI

Yasuhiko Sugiyama (L.S.)
Yasuhiko SUGIYAMA

Yasuyuki Takagi (L.S.)
Yasuyuki TAKAGI