

11/15/04

11-17-2004

Docket No. RD-28075-2

FORM PTO 1595  
1-31-92



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

102882932

To the Honorable Commissioner of

Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Holly Ann Comanzo  
Robert C. Haushalter

2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address: \_\_\_\_\_

Street Address: 1 River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) of conveying party(ies) attached?  Yes  No

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other

Execution Date: Oct 14, 2004 Oct 24, 2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

Oct 14, 2004 Oct 24, 2004

A. Patent Application No.(s)  
09/681,670

B. Patent No.(s) 6,613,248

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric Company  
Internal Address: GEGR Patent Docket Rm.  
Bldg. K-1 4A59 -Ross

Street Address: One Research Circle

City: Niskayuna State: NY ZIP: 12309

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

07-0868

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Toan P. Vo  
Name of Person Signing

[Signature]  
Signature

November 8, 2004

Total number of pages including cover sheet, attachments and document 4

OMB No. 0651-0011 (8/2004)

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Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
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Alexandria, VA 22313-1450

11/16/2004 ECDOPER 00000151 070868 09681670

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7/04

**ASSIGNMENT**

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we,

**Holly Ann Comanzo** of Niskayuna, New York  
**Robert C. Haushalter** of Los Gatos, California

hereby sell and assign to **General Electric Company, a New York Corporation**, (hereinafter referred to as Assignee) having an address at 1 River Road, Schenectady, New York 12345, USA, its successors and assigns my (our) entire respective right(s), title(s) and interest(s) in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled:

**TITLE OF THE INVENTION: QUANTUM-SPLITTING OXIDE-BASED PHOSPHORS AND METHOD OF PRODUCING THE SAME**

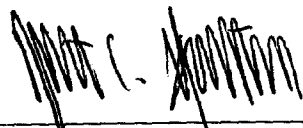
executed concurrently herewith,

filed on May 18, 2001 having Serial Number 09/681670 (Now US Patent 6,613,248)

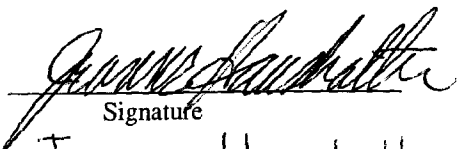
and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Assignee, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Assignee, I/we will communicate to said Assignee or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Assignee, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.




INVENTOR 2

Signature:   
Robert C. Haushalter

Date: 24 OCT 2004

Witnessed by:   
Signature  
Jeanne Haushalter  
Printed Name of Witness

Date: 10/24/04

Witnessed by:   
Signature  
SRINIVAS VETCHA  
Printed Name of Witness

Date: 10/27/04