

Form **PTO-1595** (Rev. 09/04)
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1. Name of conveying party(ies)/Execution Date(s): Richard Franck Execution Date(s): <u>March 22, 2005</u> Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies) Name: <u>The Research Foundation of The City University of New York</u> Internal Address: _____ Street Address: <u>555 West 57 Street</u> City: <u>New York</u> State: <u>New York</u> Country: <u>United States of America</u> Zip: <u>10019</u> Additional name(s) & address(es) attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
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5. Name and address to whom correspondence concerning document should be mailed: Name: <u>S. Peter Ludwig</u> <u>DARBY & DARBY P.C.</u> Internal Address: <u>Atty. Dkt.: 05986/100M307-US1</u> Street Address: <u>P.O. Box 5257</u> City: <u>New York</u> State: <u>NY</u> Zip: <u>10150-5257</u> Phone Number: <u>(212) 527-7770</u> Fax Number: <u>(212) 753-6237</u> Email Address: <u>pludwig@darbylaw.com</u>	6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 1.21(h) & 3.41) \$ <u>40.00</u> <input type="checkbox"/> Authorized to be charged by credit card <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title)
8. Payment Information a. Credit Card Last 4 Numbers _____ Expiration Date _____ b. Deposit Account Number <u>04-0100</u> Authorized User Name <u>Irina E. Vainberg, Ph.D.</u>	
9. Signature: <div style="text-align: center; margin-top: 20px;"> Signature </div> <div style="text-align: right; margin-top: 10px;"> <u>March 31, 2005</u> Date </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div> <u>Irina E. Vainberg, Ph.D. - 48,008</u> Name of Person Signing </div> <div style="text-align: right;"> Total number of pages including cover sheet, attachments, and documents: 4 </div> </div>	

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ASSIGNMENT

I, Richard W. Franck, a citizen of US, residing at 5 Mary Lane; Riverside,
Connecticut 06878;

and each of us, if more than one person is identified above (hereinafter "ASSIGNOR") in
consideration of the sum of Ten Dollars (\$10.00), or the equivalent thereof, and other good and
valuable consideration, the sufficiency of which and receipt of which are hereby acknowledged,
paid to ASSIGNOR by


The Research Foundation of The City University of New York

a private not-for-profit educational organization chartered by the State of New York, with
offices at 555 West 57 Street, New York, New York 10019 (hereinafter "ASSIGNEE"), do
hereby sell and assign to said ASSIGNEE, its successors and assigns, the below indicated right,
title, and interest, throughout the world in and to my invention entitled:

**USE OF SYNTHETIC GLYCOLIPIDS AS UNIVERSAL ADJUVANTS FOR VACCINES
AGAINST CANCER AND INFECTIOUS DISEASES**

invented by me and described in Patent Application No. 10/962,374, filed on October 8, 2004,
in United States of America; and all patents, divisions, reissues, continuations and any
extensions thereof and rights of priority therein, said interest being my entire ownership interest
in the same, to be held and enjoyed by said ASSIGNEE, its successors, assigns, or other legal
representatives, to the full end of the term thereof, as fully and entirely as the same would have
been held and enjoyed by me if this assignment and sale had not be made;

And for the consideration aforesaid, I hereby covenant and agree to and with said
ASSIGNEE, its successors and assigns, that whenever ASSIGNEE, its counsel or
representative, or the counsel or representative of its successors or assigns, shall advise that an
amendment to, or a division of, or any other proceeding or action in connection with an
application concerning said invention, including interference proceedings, is lawful and
desirable, or that a reissue or continuation or extension of such application or patent issuing
therefrom is lawful and desirable, I will sign all papers and drawings, take all rightful oaths and

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affidavits, and do all acts necessary or required to be done for the procurement of all lawful rights associated with the Invention, or for the reissue or continuation or extension of the same, will do all acts necessary or required to secure in said ASSIGNEE, its successors or assigns, the title to and full benefit of all rights hereby assigned, without charge to said ASSIGNEE or its successors or assigns, but at its or their expense; and I hereby appoint every present or future officer of said ASSIGNEE as my agent to sign all such papers and to do all such necessary acts on my behalf, to the fullest extent permitted by law;

And I hereby authorize and request the Commissioner of Patents and Trademarks and any other granting authority to issue any Letters Patent resulting from said Invention and application(s) concerning same to said ASSIGNEE.

This assignment shall have an effective date of .

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that I have signed this document as my own free act and that all of the foregoing is true and correct.

Dated: Mar. 22 2005


Richard Franck, Inventor

ACCEPTANCE BY ASSIGNEE

I hereby accept this assignment on behalf of said ASSIGNEE. I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that I am an officer of the above-identified ASSIGNEE, that I have signed this document on behalf of ASSIGNEE with the full authority of its board of directors, and that all the foregoing is true and correct.

Dated: _____

By:  _____

Name:

Title:

**RICHARD F. ROTHBARD
PRESIDENT**