


Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

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1. Name of conveying party(ies)/Execution Date(s): Moriya Tsuji (03/20/2005) and John Schmieg (03/20/2005) Execution Date(s): In parentheses after inventor name Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies) Name: <u>New York University</u> Internal Address: _____ Street Address: _____ 70 Washington Square South City: <u>New York</u> State: <u>New York</u> Country: <u>United States of America</u> Zip: <u>10012</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
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5. Name and address to whom correspondence concerning document should be mailed: Name: <u>S. Peter Ludwig</u> <u>DARBY & DARBY P.C.</u> Internal Address: <u>Atty. Dkt.: 05986/100M307-US1</u> Street Address: <u>P.O. Box 5257</u> City: <u>New York</u> State: <u>NY</u> Zip: <u>10150-5257</u> Phone Number: <u>(212) 527-7770</u> Fax Number: <u>(212) 753-6237</u> Email Address: <u>pludwig@darbylaw.com</u>	6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 1.21(h) & 3.41) \$ <u>40.00</u> <input type="checkbox"/> Authorized to be charged by credit card <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title)
8. Payment Information a. Credit Card Last 4 Numbers _____ Expiration Date _____ b. Deposit Account Number <u>04-0100</u> Authorized User Name <u>Irina E. Vainberg, Ph.D.</u>	
9. Signature: <div style="text-align: center;">  _____ Signature </div> <div style="text-align: right;"> _____ Date </div> <div style="text-align: center;"> _____ Name of Person Signing </div> <div style="text-align: right;"> _____ Total number of pages including cover sheet, attachments, and documents: 4 </div>	

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PATENT
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Dated: _____

ASSIGNMENT

I, Moriya Tsuji, a citizen of Japan, residing at 490 Second Avenue; New York, New York 10016; and

I, John Schmieg, a citizen of US, residing at 564 First Avenue; New York, New York 10016;

and each of us, if more than one person is identified above (hereinafter "ASSIGNOR") in consideration of the sum of Ten Dollars (\$10.00), or the equivalent thereof, and other good and valuable consideration, the sufficiency of which and receipt of which are hereby acknowledged, paid to ASSIGNOR by

New York University

a university organized under the laws of New York, located at 70 Washington Square South, New York, New York 10012 (hereinafter "ASSIGNEE"), do hereby sell and assign to said ASSIGNEE, its successors and assigns, the below indicated right, title, and interest, throughout the world in and to my Invention entitled:

**USE OF SYNTHETIC GLYCOLIPIDS AS UNIVERSAL ADJUVANTS FOR VACCINES
AGAINST CANCER AND INFECTIOUS DISEASES**

invented by me and described in Patent Application No. 10/962,374, filed on October 8, 2004, in United States of America; and all patents, divisions, reissues, continuations and any extensions thereof and rights of priority therein, said interest being my entire ownership interest in the same, to be held and enjoyed by said ASSIGNEE, its successors, assigns, or other legal representatives, to the full end of the term thereof, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not be made;

And for the consideration aforesaid, I hereby covenant and agree to and with said ASSIGNEE, its successors and assigns, that whenever ASSIGNEE, its counsel or representative, or the counsel or representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action in connection with an

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05986/100M307-US1

PATENT
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application concerning said Invention, including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of such application or patent issuing therefrom is lawful and desirable, I will sign all papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of all lawful rights associated with the Invention, or for the reissue or continuation or extension of the same, will do all acts necessary or required to secure in said ASSIGNEE, its successors or assigns, the title to and full benefit of all rights hereby assigned, without charge to said ASSIGNEE or its successors or assigns, but at its or their expense; and I hereby appoint every present or future officer of said ASSIGNEE as my agent to sign all such papers and to do all such necessary acts on my behalf, to the fullest extent permitted by law;

And I hereby authorize and request the Commissioner of Patents and Trademarks and any other granting authority to issue any Letters Patent resulting from said Invention and application(s) concerning same to said ASSIGNEE.

This assignment shall have an effective date of .

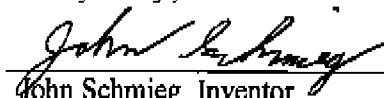
I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that I have signed this document as my own free act and that all of the foregoing is true and correct.

Dated: March 20, 2005

Dated: 3/20/2005



Moriya Tsuji, Inventor



John Schmieg, Inventor


03/30/2005 11:38

NO. 722 PAGE 002

ACCEPTANCE BY ASSIGNEE

I hereby accept this assignment on behalf of said ASSIGNEE. I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that I am an officer of the above-identified ASSIGNEE, that I have signed this document on behalf of ASSIGNEE with the full authority of its board of directors, and that all the foregoing is true and correct.

Dated: 3/30/05

By: 
Name:
Title: **Abram M. Goldfinger**
Executive Director
Industrial Liason/
Technology Transfer

FAX TRANSMISSION

DATE: March 31, 2005

PTO IDENTIFIER: **Application Number** 10/962,374-Conf. #9380
Patent Number

Inventor: Moriya Tsuji et al.

MESSAGE TO: USPTO PTAS System

FAX NUMBER: (703) 306-5995

FROM: DARBY & DARBY P.C.

Irina E. Vainberg, Ph.D.

PHONE: (212) 527-7634

Attorney Dkt. #: 05986/100M307-US1

PAGES (Including Cover Sheet): 7

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Fee Summary Sheet (1 page)
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Recordation Form Cover Sheet (1 page)
Assignment (Moriya Tsuji and John Schmieg to NYU) (3 pages)

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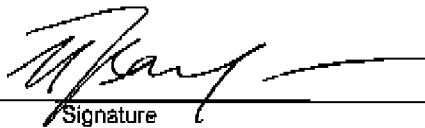
Application No. (if known): 10/062,374

Attorney Docket No.: 05986/100M307-US1

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Fee Summary Sheet (1 page)

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Assignment (Moriya Tsuji and John Schmieg to NYU) (3 pages)

FEE SUMMARY SHEET**Transmittal -- Recordation Form Cover Sheet (PTO 1595)**

Date: March 30, 2005
Time: 3:09 PM
Docket: 05986/100M307-US1

Filing Date: October 8, 2004
Application No: 10/962,374
Total Fee: \$ 40.00

Code	Amount	37 CFR	Fee Description	Listed on
8021	40.00	1.21(h)	Recording each patent assignment, agreement or other paper, per property	Transmittal -- Recordation Form Cover Sheet (PTO 1595)

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