

11-18-2004



To the Honorable Commissioner of Patents and Trademarks

102883400

and one or more copies of the original documents or copy thereof.

1. Name of conveying party(ies):

Daniel Lubell  
Dennis Weissert

Additional name(s) of conveying party(ies) attached? No

2. Name and address of receiving party(ies)

Name: Capstone Turbine Corporation

Internal Address \_\_\_\_\_

Street Address: 21211 Nordhoff Street

City: Chatsworth ST: CA ZIP 91311

Additional name(s) & address(es) attached? No

3. Nature of conveyance:

Assignment

Execution Date: May 21, 2004

4. Application number(s) or patent number(s):

A. Patent Application No.(s): 10/862,136

B. Patent No.(s):

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: WADDEY & PATTERSON

Internal Address: BANK OF AMERICA PLAZA

SUITE 2020

Street Address: 414 UNION STREET

City: NASHVILLE State: TN Zip: 37219

Customer No. 23456

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):..... \$40.00

The fee is enclosed

8. Deposit account number:

23-0035

(Attach duplicate copy of this page if paying by deposit account.)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Lucian Wayne Beavers, Reg. No. 28,183

Name of Person Signing

Signature

Date

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Total number of pages including cover sheet, attachments, and document: 5

40.00 DP

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents, Mail Stop Assignment Recordation Services  
P.O. Box 1450  
Alexandria, VA 22313-1450

## ASSIGNMENT

In consideration of the payment of one (\$1.00) dollar, and for other good and valuable consideration, the receipt of which is hereby acknowledged, Daniel Lubell, Dennis Weissert ("Assignors") assign to Capstone Turbine Corporation of Chatsworth, CA ("Assignee"), their entire right, title, and interest in and to an invention entitled "ROTOR AND BEARING SYSTEM FOR A TURBOMACHINE" (the "Invention"), and in any and all patents, reissue patents, certificates of inventorship, design registrations, utility models, and the like, that may be granted in the U.S. or in any foreign country with respect to the Invention and improvements thereto, and in any application, including continuations, continuations-in-part, or divisions, that may be filed with respect to the Invention or improvements thereto, including pending U.S. Patent Application Serial Number 60/515,078, filed October 28, 2003 and pending U.S. Patent Application Serial Number 60/559,378, filed April 2, 2004.

Assignors grant to Assignee the right to add the following information regarding a U.S. patent application to be filed so that this assignment may be recorded in the United States Patent and Trademark Office.

ROTOR AND BEARING SYSTEM FOR A TURBOMACHINE  
(Title of Application)

10/862,136  
(Serial Number)

June 4, 2004  
(Filing Date)

Assignors will, without additional charge to Assignee but at Assignee's expense, cooperate with and assist Assignee such that Assignee may realize and fully enjoy all the rights assigned hereunder, including the preparation and execution of all papers needed by Assignee, and providing such information and assistance as may reasonably be required by Assignee in any administrative or legal proceeding to obtain or protect such rights.

Assignors represent and warrant that they have not publicly used or disclosed the Invention, and that they have not previously sold or offered to sell the Invention.

Assignors represent and warrant that they have not transferred, licensed, and/or assigned any interest in the Invention to anyone other than Assignee, and that they have not entered into any contract, assignment, or license conveying any right granted to Assignee hereunder, or that would otherwise conflict with this Assignment.

This Assignment and each of its terms shall be binding on each Assignor, his representatives, heirs and assigns, and shall inure to the benefit of Assignee, its successors, and assigns.

*Daniel Lubell*  
Daniel Lubell

5/21/04  
Date

STATE OF California  
COUNTY OF Los Angeles

Before me, April Lynn Wall, a Notary Public of the state and county aforesaid, personally appeared Daniel Lubell, with whom I am personally acquainted, or proved to me on the basis of satisfactory evidence, and who upon oath, acknowledged himself to be the within named joint Assignor, and that he executed the foregoing instrument for the purposes therein contained.

This 21<sup>ST</sup> day of May, 2004.

*April Lynn Wall*  
Notary Public

My commission expires: Aug. 3, 2006



Dennis Weissert  
Dennis Weissert

5-21-4  
Date

STATE OF California

COUNTY OF Los Angeles

Before me, April Lynn Wall, a Notary Public of the state and county aforesaid, personally appeared Dennis Weissert, with whom I am personally acquainted, or proved to me on the basis of satisfactory evidence, and who upon oath, acknowledged himself to be the within named joint Assignor, and that he executed the foregoing instrument for the purposes therein contained.

This 21<sup>ST</sup> day of May, 2004.

April Lynn Wall  
Notary Public

My commission expires: Aug. 3, 2006

