

11-22-2004

Form PTO-1595

(Rev. 10/02)

RE

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 6/30/2005)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Itaru KITAJIMA, Kazutoshi
WATANABE, Shigeru WAKIYAMA,
Masatoshi YASUTAKE and Akira
INOUEAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Execution Date: October 12, 2004

2. Name and address of receiving party(ies)

Name: SLI NanoTechnology Inc.

Internal Address: _____

Street Address: 8, Nakase 1-chome, Mihama-ku
Chiba-shi

City: Chiba State: JAPAN Zip: 261-8507

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) _____

10/925,049

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bruce L. Adams

Internal Address: _____

Street Address: Adams & Wilks
50 Broadway-31st Floor

City: New York State: NY Zip: 10004

6. Total number of applications and patents involved: ☒ 1

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

ANY DEFICIENCY IN ENCLOSED FEE

8. Deposit account number:

01-0268

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9. Signature.

Bruce L. Adams
Name of Person Signing

Signature

November 15, 2004
DateTotal number of pages including cover sheet, attachments, and documents: ☒ 3Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231MAILING CERTIFICATE ON
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(40.00 DP)

ASSIGNMENT

WHEREAS, we, Itaru KITAJIMA, Kazutoshi WATANABE, Shigeru WAKIYAMA, Masatoshi YASUTAKE and Akira INOUE residing at c/o SII NanoTechnology Inc., 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba, Japan have invented new and useful improvements in
SCANNING PROBE MICROSCOPE AND SCANNING METHOD

for which we have prepared an application for Letters Patent of the United States of America;

AND WHEREAS, SII NanoTechnology Inc., located and doing business at 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba, Japan, is desirous of acquiring an interest therein and in the Letters Patent to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern, that for and in consideration of Ten Dollars (\$10.00) and other good and valuable consideration to us in hand paid, the receipt of which is hereby acknowledged, we have assigned, sold and transferred and by these presents do hereby assign, sell and transfer unto the said SII NanoTechnology Inc. for the territory of the United States of America, our entire right, title and interest in and to the said invention, and in and to the said application, prepared and executed by us on October 12, 2004, filed August 24, 2004, Serial No. 10/925,049, preparatory to obtaining Letters Patent thereon and in and to any and all divisions, reissues and continuations thereof, and in and to any Letters Patent that may be granted thereon; said invention, application and Letters Patent to be held and enjoyed by the said SII NanoTechnology Inc., its legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment, sale and transfer not been made.


AND, we hereby covenant and agree that we will at any time upon the request and at the expense of said assignee execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to perfect the title to said invention and to obtain Letters Patent therefor, and we hereby authorize and request the Honorable Commissioner of Patents and Trademarks to issue the said Letters Patent to the said SII NanoTechnology Inc. in accordance with this assignment.

Executed this 12th day of October, 2004 at _____.

Witness:




Masaki HORI

 (L.S.)
 Itaru KITAJIMA

 (L.S.)
 Kazutoshi WATANABE

 (L.S.)
 Shigeru WAKIYAMA

 (L.S.)
 Masatoshi YASUTAKE

 (L.S.)
 Akira INOUE