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# RECORDATION FORM COVER SHEET PATENTS ONLY

Docket #43-98

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)/Execution Date(s):**

AgResearch Limited

Execution Date(s) 11/03/2004Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance:**☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Government Interest Assignment☐ Executive Order 9424, Confirmatory License☐ Other \_\_\_\_\_**2. Name and address of receiving party(ies)**Name: Grasslanz Technology Limited

Internal Address: \_\_\_\_\_

Street Address: Private Bag 11008Tennent DriveCity: Fitzherbert WestState: Palmerston NorthCountry: New Zealand

Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application or patent number(s):**☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

6,072,107

6,111,170

Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**Name: Gary B. ChapmanInternal Address: GREENLEE, WINNER AND  
SULLIVAN, P.C.Street Address: 4875 Pearl East Circle

Suite 200

City: BoulderState: ColoradoZip: 80301Phone Number: (303) 499-8080Fax Number: (303) 499-8089Email Address: winner@greenwin.com**6. Total number of applications and patents involved:**2**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 80**☐ Authorized to be charged by credit card☒ Authorized to be charged to deposit account☐ Enclosed☐ None required (government interest not affecting title)**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_

Expiration Date \_\_\_\_\_

b. Deposit Account Number 07-1969Authorized User Name Greenlee, Winner and Sullivan**9. Signature:**Gary B. Chapman  
Signature30 March 2005

Date

Gary B. Chapman, Reg. No. 51,279

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5895, or mailed to:  
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## FILE COPY

20<sup>th</sup> October 2004

### TO WHOM IT MAY CONCERN:

This document serves to give notice and authority for the transfer of ownership of the Intellectual Property described on the attached 'Schedule of Intellectual Property Currently Registered in the Name of \*AgResearch Limited to be Assigned'. ('The Schedule').

The ownership of the Intellectual Property so described in 'The Schedule' attached is transferred to:

Grasslanz Technology Limited, Private Bag 11008, Tennent Drive, Fitzherbert West, Palmerston North, New Zealand.

effective from 1<sup>st</sup> July 2004.

Grasslanz is an Incorporated Company (No. 1368159) under the New Zealand Companies Act 1993 and a wholly owned subsidiary company of AgResearch Limited.

*\*Previously The New Zealand Pastoral Agriculture Research Institute Limited and formerly Department of Scientific and Industrial Research (DSIR).*

Dated this 3<sup>rd</sup> day of November 2004

Signed [Signature]  
Director/Authorised Signatory for AgResearch Limited

AgResearch Limited

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Schedule page 1 of 1

**'Schedule of Intellectual Property Currently Registered in the name  
of AgResearch Limited to be Assigned'**

**Patent Title.****Grant/Application No.**Ryegrass endophytes  
AR1,12,22,50,52,56

US 6,072,107 (17484)

Tall Fescue endophytes  
AR501,502,510,542,572,577,584

US 6,111,170 (17483)

**Trade Mark****Class****Registration/Application No.**

MaxQ

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