

RECOI

11-26-2004

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To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- a) John Feng
- b) Xuehua Wu
- c) Sanjai Parthasarathi
- d) Giovanni Bararossa

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Company: AVANEX CORPORATION
Address: 40919 Encyclopedia Circle
City/State/Zip: Fremont, CA 94538

Additional name(s) & address(es) attached? ☐ Yes ☒ No

16834 U.S. PTO
10/990688

111604

3. Nature of conveyance:

- ☒ Assignment ☐ Change of Name
- ☐ Security Agreement ☐ Merger
- ☐ Other:

Execution Dates: a), b) and c) 11/15/04; d) 11/16/04

4. Application number(s) or patent number(s)

Attorney Docket No. 24398-02

If this document is being filed together with a new application, the execution date of the application is : November 16, 2004

A. Patent Application No. NA

B. Patent No.(s): NA

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Donald L. Bartels
Company: Coudert Brothers LLP
Street Address: Two Palo Alto Square
3000 El Camino Real, Fourth Floor
City/State/Zip: Palo Alto, CA 94306-2121

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00
☒ Enclosed
☒ Authorized deficiencies be charged to Deposit Account

8. Deposit Account Number: 13-0201

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald L. Bartels 28,282
Name of Person Signing Reg. No.

Signature

November 16, 2004
Date

Total number of pages including cover sheet, attachments and document: 5

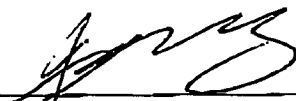
ASSIGNMENT

The undersigned, John Feng, residing at 104 Valley Oaks Loop, Union City, CA 94587, for good and valuable consideration, the receipt of which is hereby acknowledged, has assigned and does hereby assign to Avanex Coporation, a Delaware corporation, having a business location at 40919 Encyclopedia Circle, Fremont, CA 94538, its successors, assigns and legal representatives, the entire right, title and interest in and to all subject matter invented by me and disclosed in the United States Patent entitled:

SINGLE CHANNEL FOUR-PORT GROUP DELAY COMPENSATION EQUALIZER

(Attorney Docket No. 24398-02, filed on _____ as Application Serial No. _____) and in and to all Letters Patent and all convention and Treaty rights of all kinds, in all countries throughout the world, for all such subject matter. I agree to sign all documents necessary to secure all said Letters Patent and rights, and request issuance of all said Letters Patent to the above assignee in accordance with this Assignment.

Nov. 15, 2004



Name: John Feng

ASSIGNMENT

The undersigned, Xuehua Wu, residing at 104 Valley Oaks Loop, Union City, CA 94587, for good and valuable consideration, the receipt of which is hereby acknowledged, has assigned and does hereby assign to Avanex Coporation, a Delaware corporation, having a business location at 40919 Encyclopedia Circle, Fremont, CA 94538, its successors, assigns and legal representatives, the entire right, title and interest in and to all subject matter invented by me and disclosed in the United States Patent entitled:

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11/15/04, 2004

Xuehua Wu
Name: Xuehua Wu

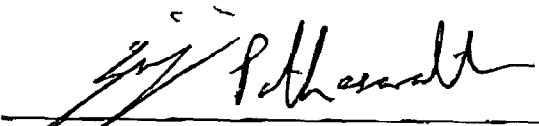
ASSIGNMENT

The undersigned, Sanjai Parthasarathi, residing at 1621 Havenhurst Drive, Los Altos, CA 94024, for good and valuable consideration, the receipt of which is hereby acknowledged, has assigned and does hereby assign to Avanex Coporation, a Delaware corporation, having a business location at 40919 Encyclopedia Circle, Fremont, CA 94538, its successors, assigns and legal representatives, the entire right, title and interest in and to all subject matter invented by me and disclosed in the United States Patent entitled:

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(Attorney Docket No. 24398-02, filed on _____ as Application Serial No. _____) and in and to all Letters Patent and all convention and Treaty rights of all kinds, in all countries throughout the world, for all such subject matter. I agree to sign all documents necessary to secure all said Letters Patent and rights, and request issuance of all said Letters Patent to the above assignee in accordance with this Assignment.

11/15/04, 2004


Name: Sanjai Parthasarathi


ASSIGNMENT

The undersigned, Giovanni Bararossa, residing at 12430 Curry Court, Saratoga, CA 95070, for good and valuable consideration, the receipt of which is hereby acknowledged, has assigned and does hereby assign to Avanex Coporation, a Delaware corporation, having a business location at 40919 Encyclopedia Circle, Fremont, CA 94538, its successors, assigns and legal representatives, the entire right, title and interest in and to all subject matter invented by me and disclosed in the United States Patent entitled:

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11 / 16, 2004


Name: Giovanni Bararossa