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<p>1. Name of conveying party(ies): Kenneth L. Rock Yan Shi</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>3 Nature of Conveyance: <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input checked="" type="checkbox"/> Other Corrected Cover Sheet to correct Receiving Party's address Reel: 015248 Frame: 0167 and Assignor's Middle Initial</p> <p>Execution Date: <u>09/22/04</u></p>	<p>2. Name and address of receiving party(ies)</p> <p>Name: <u>University of Massachusetts</u> Internal Address: _____ Street Address: <u>1 Beacon Street</u> City: <u>Boston, MA</u> Country: <u>USA</u> Zip: <u>02108</u></p> <p>Additional name(s) & address(es) attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is: _____

A. Patent Application No (s):
10/846381

B. Patent No (s): _____


Additional numbers attached? Yes No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Debra J. Milasincic</u> <u>LAHIVE & COCKFIELD, LLP</u> Internal Address <u>Atty. Dkt.: UMY-064</u> Street Address: <u>28 State Street</u> City: <u>Boston</u> State: <u>MA</u> Zip: <u>02109</u></p>	<p>6. Total number of applications and patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41) \$ <u>40.00</u></p> <p><input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Authorized to be charged to credit card (Form 2038 enclosed)</p> <p>8. Deposit account number: <u>12-0080</u> (Attach duplicate copy of this page if paying by deposit account)</p>
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Cynthia L. Kanik, Ph.D.
Reg. No. 37,320
 Name of Person Signing  Signature April 5, 2005 Date

Total number of pages including cover sheet, attachments, and documents: 3

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Dated: April 5, 2005 Signature:  (Cynthia L. Kanik, Ph.D.)

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Form PTO-1595 (Rev. 06/04)
OMB No. 0551-0027 (exp. 6/30/2005)

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RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents of the new address(es) below

1. Name of conveying party(ies)/Execution Date(s):
Kenneth L. ROCK (09/22/2004) and Yan SHI (09/22/2004)

Execution Dates in parentheses after inventor name
Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of Conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Government Interest Assignment
 Executive Order 9424, Confirmatory License
 Other

2. Name and address of receiving party(ies)
Name: The University of Massachusetts an agency of the Commonwealth of Massachusetts
Internal Address:
Street Address: 365 Plantation Street
City: Worcester
State: Massachusetts
Country: United States of America Zip: 01666
Additional name(s) & address(es) attached? Yes No


4. Application or patent number(s):
A. Patent Application No. (s)
10/846381
Additional numbers attached? Yes No

This document is being filed together with a new application
B. Patent No. (s)


5. Name and address to whom correspondence concerning document should be mailed
Name: Debra J. Milasincic, Esq.
LAHIVE & COCKFIELD, LLP
Internal Address: Atty. Dist.: UMY-064
Street Address: 28 State Street
City: Boston
State: MA Zip: 02109
Phone Number: (617) 227-7400
Fax Number: (617) 742-4214
Email Address:

6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 1.21(n) & 3.41) \$ 40.00
 Authorized to be charged by credit card
 Authorized to be charged to deposit account
 Enclosed
 None required (government interest not affecting fee)

8. Payment information:
a. Credit Card Last 4 Numbers: _____
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b. Deposit Account Number: 12-0080
Authorized User Name: Cynthia L. Kanik, Esq.

9. Signature:

Cynthia L. Kanik, Ph.D. Reg. No. 37,920
Name of Person Signing

October 14, 2004
Date
Total number of pages including cover sheet, attachments, and documents: 3

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, MS Assignment Recordation Services, facsimile no. (703) 306-5985, on the date shown below.
Date: October 14, 2004
Signature:  (Cynthia L. Kanik, Ph.D.)

U.S. PATENT AND TRADEMARK OFFICE

ASSIGNMENT BY INVENTORS

WE, Kenneth L. ROCK and Yan SHI, of Chestnut Hill, Massachusetts and Auburn, Massachusetts, respectively, in consideration of One Dollar and other valuable consideration paid to us by:

The University of Massachusetts

a university of Massachusetts, having its principal place of business at 365 Plantation Street, Worcester, Massachusetts 01655, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said:

The University of Massachusetts

its successors and assigns, the entire interest for the United States of America and all foreign countries including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in:

ENDOGENOUS ADJUVANT MOLECULES AND USES THEREOF

described in an application

Executed by us of even date herewith and about to be filed

or

Application No. 10/846381, filed on May 13, 2004

This application claims the benefit of the following provisional application(s):

Provisional Application No. 60/470396, filed on May 13, 2003

in the United States Patent and Trademark Office, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuing, reissue or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said corporation and its successors and assigns but at its or their expense and charges, hereafter to execute all applications, amended specifications, deed or other instrument, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and in all other countries to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

And we do further hereby grant the attorneys/agents of Lahive & Cockfield, LLP, the power to insert on this Assignment the filing date and/or application number of said application.


Kenneth L. Rock

Date: 9-22-04

United States of America)
State of Massachusetts) ss.:
County of Worcester)

On this 22nd day of September, 2004 before me, the
the undersigned notary public, personally appeared Kenneth L. Rock
(name of document signer), proved to me through satisfactory evidence of identification,
which was work affiliation, to be the person whose name
is signed on the preceding or attached document in my presence.

Kathleen V. Zelay (official signature and seal of notary)

My commission expires 10/25/2007


Yan Shi

Date: 09-22-04

United States of America)
State of Massachusetts) ss.:
County of Worcester)

On this 22nd day of September, 2004 before me, the
the undersigned notary public, personally appeared Yan Shi
(name of document signer), proved to me through satisfactory evidence of identification,
which was work affiliation, to be the person whose name
is signed on the preceding or attached document in my presence.

Kathleen V. Zelay (official signature and seal of notary)

My commission expires 10/25/2007