

FORM PTO-1595

U.S. DEPARTMENT OF COMMERCE

1-31-92

RECORDATION FORM COVER SHEET

Patent and Trademark Office

Docket N^o P01-1987**PATENTS ONLY**

1. Name of conveying party(ies):

Kwok Lui
Dan Ho
David Willheim
Salvatore Bondi

Additional name(s) of conveying party(ies) attached?

☐ YES ☒ NO

2. Name and address of receiving party(ies):

Network Appliance, Inc.
495 East Java Drive
Sunnyvale, CA 94089

Additional name(s) and Address(es) attached?

☐ YES ☒ NO

3. Nature of conveyance:

☒ Assignment ☐ Merger ☐ Security Agreement
☐ Change of Name ☐ Other _____

Execution Date: March 4, 2005, March 4, 2005, March 10, 2005 and March 4, 2005

4. Application number(s) or patent number(s):

Serial N^o 11/072,622 Filed: March 4, 2005

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

11/072,622

B. Patent No.(s)Additional numbers attached: ☐ YES ☒ NO

5. Name and address of party to whom
correspondence concerning document should be
mailed:

Customer No. 48232

SUITER • WEST PC LLO

Sean Patrick Suiter

14301 FNB Parkway, Suite 220

Omaha, Ne 68154-5299

6. Total number of applications and patents
involved: **ONE**

7. Total fee (37 CFR 3.41) **\$ 40.00**

☒ Authorized to be charged to deposit
account 19-4882.

(Attach duplicate copy of this page if paying by deposit account).

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached
copy is a true copy of the original document.



Chad W. Swantz, Reg. No. 46,329

DATE: April 5, 2005

Total number of pages including cover sheet: **SEVEN (7)**

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PATENT
REEL: 016014 FRAME: 0588

CH \$40.00 194882 11072622

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Please return signed/Recorded to:

CUSTOMER NO. 48232

Sean Patrick Suiter

SUITER • WEST PC LLO

14301 FNB Parkway, Suite 220

Omaha, NE 68154-5299

ASSIGNMENT OF U.S. PATENT APPLICATION
(sole or joint inventor(s))

WHEREAS, the undersigned, to wit:

- 1) Kwok Lui
- 2) Dan Ho

X one:(a) X which was executed and is being filed herewith in the United States Patent and Trademark Office, titled STORAGE SYSTEM CHASSIS AND COMPONENTS

(b) which was filed on and titled

(c) which was filed on and known as Application No. , titled

AND WHEREAS, Network Appliance, Inc. (hereinafter ASSIGNEE), a corporation organized and existing under the laws of Delaware, and having its principal place of business at 495 East Java Drive, Sunnyvale, CA 94089, is desirous of acquiring our entire right, title and interest in and to the invention, and in and to the said application and any Patent that may issue thereon;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR does hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives its entire right, title and interest in and to said invention and in and to said application and all patents which may be granted therefore, and all divisions, reissues, substitutions, continuations, continuations-in-part and extensions thereof; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as ASSIGNOR's interest is concerned, to the said ASSIGNEE of ASSIGNOR's entire right, title and interest.

ASSIGNOR also hereby sells and assigns to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and

ASSIGNOR further agrees to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

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ASSIGNOR hereby further agrees to communicate with said ASSIGNEE, or its successors, assigns and legal representatives, any facts known to us respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

ASSIGNOR hereby grant(s) the firm of Suiter • West PC LLO or its agents the power to insert on this assignment any further identification, including but not limited to application number(s) and filing dates, which may be necessary or desirable in order to comply with any rule or request of the United States Patent and Trademark office for the purposes of recording this document.

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Signed at Sunnyvale, in the County of Santa Clara, State of California,
this 4 day of March, 2005

[Signature]
Kwok Lui

STATE OF CALIFORNIA)

COUNTY OF Santa Clara)

On March 4, 2005 [date], before me, Angela Arzate Notary Public
[Name and Title of Officer, e.g. Jane Doe, Notary Public], personally appeared Kwok Lui,

- • personally known to me
- • proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged
to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by
his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the
person(s) acted, executed the instrument.

WITNESS my hand and official seal.

(SEAL)

[Signature]
Notary Public



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Signed at Sunnyvale, in the County of Santa Clara, State of California,
this 4 day of March, 2005.

[Signature]
Dan Ho

STATE OF CALIFORNIA)

COUNTY OF Santa Clara)

On March 4, 2005 [date], before me, Angela Arzaga, Notary Public
[Name and Title of Officer, e.g. Jane Doe, Notary Public], personally appeared Dan Ho,

- • personally known to me
- • proved to me on the basis of satisfactory evidence

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to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by
his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the
person(s) acted, executed the instrument.

WITNESS my hand and official seal.

(SEAL)



[Signature]
Notary Public

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Signed at Sunnyvale, in the County of Santa Clara State of California,
this 10 day of March, 2005



David Willheim

STATE OF CALIFORNIA)

COUNTY OF Santa Clara)

On March 10, 2005 [date], before me, Angela Arzate, Notary Public
[Name and Title of Officer, e.g. Jane Doe, Notary Public], personally appeared David Willheim,

- • personally known to me
- • proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged
to me that ~~he~~/she/they executed the same in ~~his~~/her/their authorized capacity(ies), and that by
~~his~~/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the
person(s) acted, executed the instrument.

WITNESS my hand and official seal.

(SEAL)



Notary Public



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Signed at Sunnyvale, in the County of Santa Clara State of California,
this 4 day of March, 2005.



Salvatore Bondi

STATE OF CALIFORNIA)

COUNTY OF Santa Clara)

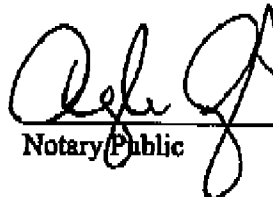
On March 4, 2005 [date], before me, Angela Arzate, Notary Public
[Name and Title of Officer, e.g. Jane Doe, Notary Public], personally appeared Salvatore Bondi,

- • personally known to me
- • proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged
to me that ~~he~~/she/they executed the same in ~~his~~/her/their authorized capacity(ies), and that by
~~his~~/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the
person(s) acted, executed the instrument.

WITNESS my hand and official seal.

(SEAL)


Notary Public