

D 7

11-18-2004

Docket No. 33432/US/2

FORM PTO 1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name(s) of conveying party(ies):

Philip E. Soran, John P. Guider, Lawrence E. Aszmann, Michael J. Klemm

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: October 22, 2004 and October 26, 2004

2. Name and address of receiving party(ies)

Name: Compellent Technologies

Internal Address: \_\_\_\_\_

Street Address: 12982 Valley View Road

City: Eden Prairie State: MN ZIP: 55344

Country: US

Additional name(s) & address(es) attached ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/918,329

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Min (Amy) S. Xu

Internal Address: **Customer Number 25763**  
Dorsey & Whitney LLP  
Intellectual Property Department  
Street Address: Suite 1500, 50 South Sixth Street  
City: Minneapolis State: MN ZIP 55402-1498

6. Total Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41). . . x \$40. . . . . \$40.00

- ☒ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit Account number: 04-1420

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Min (Amy) S. Xu

Name of Person Signing  
Reg. No. 39,536

[Signature]  
Signature

Nov. 8, 2004

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services  
Director of the US Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

FACSIMILE NO.: 703.306.5995

40.00  
00000197 1091329  
11/17/2004 EC00PER  
01 FC:8021

# ASSIGNMENT

[Entity]

Attorney Docket No.: 33432/US/2

Page 1 of 3

First Named Inventor: Philip E. Soran

Title: Virtual Disk Drive System and Method

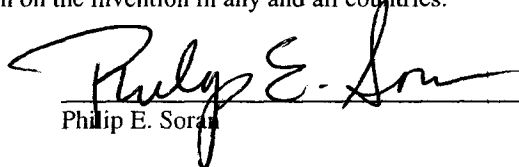
WHEREAS, I, Philip E. Soran, a resident of Eden Prairie, MN, and I, John P. Guider, a resident of North Oaks, MN, and I Lawrence E. Aszmann, a resident of Prior Lake, MN, and I, Michael J. Klemm, a resident of Minnetonka, MN, have invented certain new and useful improvements as described in an application entitled **Virtual Disk Drive System and Method** for Letters Patent of the United States, the application being identified by the Attorney Docket Number set forth above and/or being identified in the United States Patent and Trademark Office by Application No. 10/918,329 filed August 13, 2004; and

WHEREAS, Compellent Technologies, a corporation organized and existing under the laws of the State of Delaware, and having offices at 12982 Valley View Road, Eden Prairie, MN 55344 ("Assignee"), is desirous of acquiring the entire right, title and interest in and to the invention, the application, and any and all Letters Patent or similar legal protection, foreign or domestic, to be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I transfer to Assignee, its successors and assigns, my entire right, title, and interest in and to the invention, the above-identified patent application, corresponding domestic applications (including divisionals, continuations, and continuations-in-part), corresponding foreign applications, all Letters Patent or similar legal protection issuing thereon, and all rights and benefits under any applicable treaty or convention; and I authorize the Commissioner of Patents and Trademarks of the United States or foreign equivalent thereof to issue the Letters Patent or similar legal protection to the Assignee.

I authorize the Assignee, its successors and assigns, to insert this instrument the filing date and application number of the application when ascertained. I authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries.

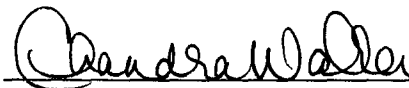
I represent to the Assignee, its successors and assigns, that I have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I, my executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries.

  
Philip E. Soran

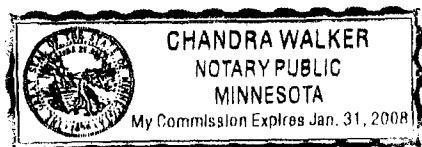
## CERTIFICATE OF ACKNOWLEDGMENT

STATE OF MINNESOTA )  
 ) : SS.  
COUNTY OF HENNEPIN )

Subscribed and sworn to before me, a Notary Public, this 26 day of OCTOBER, 2004.

  
Notary Public

(SEAL)



# ASSIGNMENT


[Entity]

Attorney Docket No.: 33432/US/2

Page 2 of 3

First Named Inventor: Philip E. Soran


Title: Virtual Disk Drive System and Method

  
John P. Guider

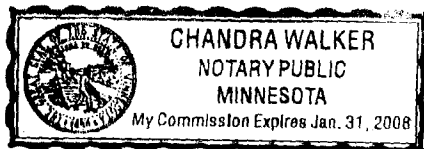
## CERTIFICATE OF ACKNOWLEDGMENT

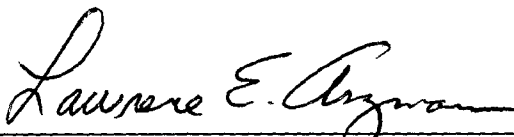
STATE OF MINNESOTA )  
COUNTY OF HENNEPIN ) : SS.

Subscribed and sworn to before me, a Notary Public, this 26 day of OCTOBER, 2004.

  
Notary Public

(SEAL)

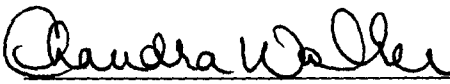


  
Lawrence E. Aszmann

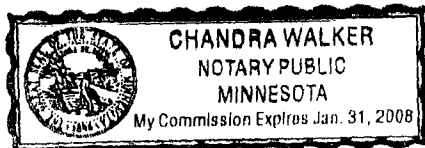
## CERTIFICATE OF ACKNOWLEDGMENT

STATE OF MINNESOTA )  
COUNTY OF HENNEPIN ) : SS.

Subscribed and sworn to before me, a Notary Public, this 22 day of OCTOBER, 2004.

  
Notary Public

(SEAL)



# ASSIGNMENT

[Entity]

Attorney Docket No.: 33432/US/2

Page 3 of 3

First Named Inventor: Philip E. Soran

Title: Virtual Disk Drive System and Method

Michael J. Klemm  
Michael J. Klemm

## CERTIFICATE OF ACKNOWLEDGMENT

STATE OF MINNESOTA )

COUNTY OF HENNEPIN ) : SS.

Subscribed and sworn to before me, a Notary Public, this 22 day of OCTOBER, 2004.

Chandra Walker  
Notary Public

(SEAL)

