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10/499722 + 1

Docket No.: 1093-105 PCT/US

FORM PTO-1595 (Modified)

12-01-2004

set

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



To The Honorable Commissioner c  
thereof.

102892369

rd the attached original documents or copy

1. Name of conveying party(ies):

1. **Andreas SCHILLING**  
2. **Wayne Robert TOMPKIN**  
3. **René STAUB**

8-9-04

2. Name and address of receiving party(ies):

Name: **OVD Kinegram AG**

Internal Address: **Zählerweg 12**  
**5301 Zug**  
**SWITZERLAND**

Additional names(s) of conveying party(ies) \_ Yes ☒ No

Additional names(s) & address(es)? \_ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: **July 26, 2004**

10/499722

4. Application number(s) or registration numbers:

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s):

08/12/2004 MKAYPAGH 00000052 10499722  
01 FC:0021 40.00

10/499,722

Additional numbers attached: \_ Yes ☒ No

5. Name and address of party to whom correspondence  
concerning document should be mailed:

Name: **Charles R. Hoffmann, Esq.**

Internal Address: **Hoffmann & Baron, LLP**

**6900 Jericho Turnpike**

**Syosset, New York 11791**

6. Total number of applications and patents involved: **1**

7. Total Fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be  
credited or debited to deposit account.

☐ Authorized to be charged to deposit account.

8. Deposit account no: **08-2461**

**DO NOT USE THIS SPACE**

9. Statement and signature,

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true  
copy of the original document.

**Kevin E. McDermott (Reg. No. 35,946)**

Name of Person Signing

*Kevin E. McDermott* 8/6/04  
Signature Date

Total number of pages including cover sheet, attachments, and document:

**4**

Attorney's Docket No.: 1093-105 PCT/US

**PATENT**

For: ☐ U.S. and/or ☐ Foreign Rights  
For: ☐ U.S. Application;  
☐ U.S. Provisional Application;  
☐ U.S. Patent; or  
☐ PCT Application  
By: ☐ Inventors or ☐ Present Owners

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

<u>SCHILLING Andreas</u>	<u>TOMPKIN Wayne Robert</u>	<u>STAUB René</u>
<u>Name</u>	<u>Name</u>	<u>Name</u>
<u>Flurstrasse 20</u>	<u>Oesterliwaldweg 2</u>	<u>Hofmatt 24</u>
<u>6332 Hagendorn (ZG),</u>	<u>5400 Baden,</u>	<u>6332 Hagendorn,</u>
<u>Switzerland</u>	<u>Switzerland</u>	<u>Switzerland</u>
<u>Address</u>	<u>Address</u>	<u>Address</u>
<u>German</u>	<u>US</u>	<u>Swiss</u>
<u>Nationality</u>	<u>Nationality</u>	<u>Nationality</u>
<u>Name</u>	<u>Name</u>	<u>Name</u>
<u>Address</u>	<u>Address</u>	<u>Address</u>
<u>Nationality</u>	<u>Nationality</u>	<u>Nationality</u>

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on \_\_\_\_\_

Reel  
Frame

Assignment of Invention – Page 1 of 4

**PATENT**  
**REEL: 016023 FRAME: 0103**

hereby sells, assigns and transfers to

ASSIGNEE:

OVD Kinegram AG

(Type or print name of ASSIGNEE)

Zählerweg 12

5301 Zug

Switzerland

Address

Swiss

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)



the entire right, title and interest



an undivided \_\_\_\_\_ percent (\_\_\_\_%) interest

for the United States and its territorial possessions



and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

Diffraction security element

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

(a)



U.S. patent application executed on even date herewith

(b)



U.S. patent application executed on

(c)



U.S. provisional application naming the above inventor(s) for the above-identified invention.

☐ Express Mail label No.: \_\_\_\_\_, mailed:



To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known

(d)



U.S. application Serial No. \_\_\_\_\_ filed on

(e)



International application No. PCT/EP02/12245

(f)



U.S. Patent No. \_\_\_\_\_ issued

- ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

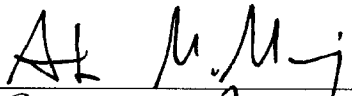
ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.


IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

**WARNING:** Date of signing must be the **same** as the date of execution of the application if item (a) was checked above.

Date: X 26/07/2004  
Date of signing

X   
Andreas Schilling

Date: X 26/07/2004  
Date of signing

X   
Wayne Robert Tompkin

Date: X 26/07/2004  
Date of signing

X   
René Staub

Date: X  
Date of signing

X

Date: X  
Date of signing

X

Date: X  
Date of signing

X

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

Assignment of Invention – Page 3 of 4