

Form PTO-1595 (Rev. 09/04)
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1125544-0002

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Thomas S. Vales

Execution Date(s) March 10, 2005Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance:**

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)Name: The MuniCenter

Internal Address: _____

Street Address: 540 Madison AvenueFourth FloorCity: New YorkState: New YorkCountry: USZip: 10022Additional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application or patent number(s):**☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/045,937

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**Name: White & Case LLPInternal Address: Patent DepartmentStreet Address: 1155 Avenue of the AmericasCity: New YorkState: New YorkZip: 10036Phone Number: 212-819-8200Fax Number: 212-354-8113Email Address: SWeingaertner@whitecase.com**6. Total number of applications and patents involved:**one (1)**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40**

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 23-1703Authorized User Name Scott T. Weingaertner**9. Signature:**

Signature

Date

Scott T. Weingaertner, Reg. No. 37,756

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5896, or mailed to:
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ATTORNEY DOCKET NO.: 1125544-0002

ASSIGNMENT

Now, therefore, for good and valuable consideration, receipt and sufficiency of which is hereby acknowledged, I/we Thomas S. Vales of Dobbs Ferry, New York, USA, hereafter referred to as ASSIGNOR(S),

Hereby sell(s), assign(s) and transfer(s) to ASSIGNEE **The MuniCenter**, a company created and existing under the laws of the State of Delaware, USA, having an office for the transaction of business at 540 Madison Avenue, 4th Floor, New York, New York 10022, USA, and the successors, assigns and legal representatives of the ASSIGNEE, the entire right, title and interest for the United States and its territorial possessions, and in all foreign countries, in and to the inventions and any and all improvements thereon which are the subject of an application entitled:

COMPUTER SYSTEM FOR EVALUATING FIXED INCOME TRADE OPPORTUNITIES

And which is disclosed in:

- (a) ☐ U.S. patent application executed on even date herewith;
- (b) ☐ U.S. patent application executed on _____;
- (c) ☒ U.S. application no. **11/045,937** filed on **January 28, 2005**;
- (d) ☐ International application no. _____ filed on _____.

And in and to the above application and any legal equivalent thereof in a foreign country, including the right to claim priority, and in and to any division, continuation or continuation-in-part, renewal or substitute thereof, and in and to all resulting Letters Patents or any reissue or reexamination thereof, to have and to hold the same to the full end of the term or terms for which any and all of said Letters Patent may be granted;

ASSGNOR(S) authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said ASSIGNEE or its successors and assigns;

ASSIGNOR(S) hereby covenant(s) that ASSIGNOR(S) has/have the full power to make this assignment, and that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment; and

Without further payment or compensation by the ASSIGNEE or its successors and assigns, ASSIGNOR(S) further covenant(s) and agree(s) to communicate to said ASSIGNEE or its representatives, agents, its successors or assigns, any facts relating to said invention or inventions including evidence for purposes of interference or other administrative or legal proceedings whenever requested; to testify in any interference, legal or administrative proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said ASSIGNEE, its successors or assigns and nominees to secure, obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

DOCKET NO.: 1125544-0002

Any attorney of record is authorized and requested by the execution of this assignment to insert into this assignment any further information necessary for recordation of this document.

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date below written.

.....
Signature of Assignor: Thomas S. Vales
WITNESSED BY:

.....
Signature of Witness
Name of Witness
(Type or print clearly)
PAUL N. PALMER

3-10-05
.....
Date of Assignor's Signature

.....
Signature of Witness
Name of Witness
(Type or print clearly)
EDWARD T. HOLLERAN

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date below written.

.....
Signature of Assignor
WITNESSED BY:

.....
Signature of Witness
Name of Witness
(Type or print clearly)

.....
Date of Assignor's Signature

.....
Signature of Witness
Name of Witness
(Type or print clearly)

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Signature of Witness
Name of Witness
(Type or print clearly)

Additional signature pages attached: ☐ Yes ☐ No