12-2-04

FORM PTO-1619A 1) F SAME OBST-0027 TO THE OBST-0027	12-06-2004	U.S. Department of Comm Patent and Trademark Off PATENT
2004 DEC -2 PM 3: 07		
EDIA NOE OFOTION	—102894958 CORDATION FORM CU	VER JHEET
TO: The Commissioner of Patents and Tr	PATENTS ONI rademarks: Please record the	attached original document(s) or copy(ies).
Submission Type	Conveyance Ty	pe
X New	X Assignment	Security Agreement
Resubmission (Non-Recordation) Document ID#	License	Change of Name
Correction of PTO Error Reel # Frame #	Merger	Other
Corrective Document	(For U	U.S. Government Jse ONLY by U.S. Government Agencies)
Reel # Frame #	De	epartmental File Secret File
Conveying Party(ies)	Mark if additional	al names of conveying parties attached Execution Dat Month Day Y
Name (line 1) Breault, Richard D.		10152002
Name (line 2)		
Second Party Name (line 1)		Execution Date Month Day
Name (line 2)		
Receiving Party	N	Mark if additional names of receiving parties attached
Name (line 1) UTC FUEL CELLS, LLC		if document to be r is an assignment a receiving party is n
Name (line 2)		domiciled in the Un States, an appoint
Address (line 1) 195 Governors Highway		of a domestic representative is at (Designation must
Address (line 2)		separate document Assignment.)
Address (line 3) South Wihdsor	СТ	06074
Domestic Representative Name	and Address Enter	Zip Code for the first Receiving Party only.
Name Chisholm, Malcolm J.		
Address (line 1) P.O. Box 278		
Address (line 2) 220 Main Street		
Address (line 3) Lee, MA 01238		
Address (line 4)		
	FOR OFFICE USE ONL'	Υ

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments , Washington, D.C. 20231

PATENT REEL: 016035 FRAME: 0087

FORM PTO-16 Expires 06/30/99 OMB 0651-0027	519B	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT		
Corresponden	t Name and Address Ar	ea Code and Telephone Numbe	r 413 243 0551		
Name Ch	nisholm, Malcolm J.	· · · · · · · · · · · · · · · · · · ·			
Address (line 1) P.	O. Box 278				
Address (line 2) 22	20 Main Street				
Address (line 3)	ee, MA 01238				
Address (line 4)					
_	nter the total number of pages on national nations any attachments.	of the attached conveyance doc	ument # 2		
• •	umber(s) or Patent Number		t if additional numbers attached		
	Patent Application Number or the Patent t Application Number(s)	·	ers for the same property). ent Number(s)		
10/274,747	Application Number(s)	Fau	ent Number(s)		
	In Standard Programme Branch Annual Programme Branch Branch Annual Programme Branch B		eation was Month Day Year		
	eing filed together with a <u>new</u> Patent App amed executing inventor.	plication, enter the date the patent applic	ation was moriti Day 16a		
Patent Cooper	ration Treaty (PCT)	т	РСТ		
	PCT application number				
	a U.S. Application Number PC t been assigned.	T PCT	PCT		
Number of Pro	perties Enter the total nu	umber of properties involved.	# 1		
Fee Amount	Fee Amount for P	roperties Listed (37 CFR 3.41):	\$ 40.00		
Method of	Payment: Enclosed	,			
Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.) Deposit Account Number: # 50-1307					
	·	rization to charge additional fees:			
Statement and			Yes No X		
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.					
Malcolm J. Chis	sholm, Jr.	Malch Chihl	11292004		
Name of	Person Signing	Signature	Date		

PATENT REEL: 016035 FRAME: 0088

ASSIGNMENT

Serial No. 10/274,747Filing Date 10/21/3002

WHEREAS, RICHARD D. BREAULT, a citizen of the United States of America, residing at 153 Pleasant Street, North Kingstown, RI 02852, has invented certain new and useful improvements in a "HIGH WATER PERMEABILITY PROTON EXCHANGE MEMBRANE" invention identified as Attorney Docket No. C-2721, and described in a patent application executed by the undersigned, being owner of all right, title, and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UTC FUEL CELLS, LLC, of South Windsor, Connecticut, a limited liability company registered with the State of Delaware (assignee) desires to obtain the entire right, title and interest in, to and under said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application; and in any applications filed in any foreign country based thereon, including the right to file said foreign applications and to claim priority under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorizes and requests the issuing authority to issue any and all patents on application or applications to said assignee or its successors and assigns.

The undersigned further agrees, without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers

Page 1 of 2

required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has hereunto set his hand and seal on the date after his signature.

(L.S.) Rechard D. Breault 10/15, 2002

State of <u>Connecticut</u>

County of <u>Hart Ford</u> ss: South Windsor

On this 15th day of Ctober, 2002, before me personally appeared RICHARD D. BREAULT, to me personally known, and to me known to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

(Seal) Notary Public, State of

Notary Public, State of ______ MY COMMISSION EXPIRES:

MY COMMISSION EXPIRES:

DARLENE S. SUTTON
NOTARY PUBLIC
My Commission Expires May 31, 2007

Page 2 of 2

RECORDED: 12/02/2004

PATENT REEL: 016035 FRAME: 0090