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P08A/REV03 102894867 EINANCE SECTION Tab settings -To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): Paul K. Chu Liuhe Li Name: City University of Hong Kong Address: Tat Chee Avenue, Kowloon Tong, Kowloon ☐ Yes ☒ No Additional names(s) of conveying party(ies) 3. Nature of conveyance: ☐ Merger ☐ Change of Name City: Hong Kong State/Prov.: ☐ Security Agreement Country: China □ Other ZIP: Execution Date: (PKC) 10/29/04; (LL)11/02/04 Additional name(s) & address(es) ☐ Yes ⊠ No 4. Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: Patent Application No. Filing date B. Patent No.(s) 10/891,309 July 14, 2004 ☐ Yes 🗵 No Additional numbers 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Martha L. Boden, Esq. 7. Total fee (37 CFR 3.41):.....\$ 40.00 Registration No. 39,115 Enclosed - Any excess or insufficiency should be credited or debited to deposit account Address: Heslin Rothenberg Farley & Mesiti P.C. Authorized to be charged to deposit account 5 Columbia Circle 2/03/2004 MGETACHE 00000087 10891309 8. Deposit account number: FC:8021 40.00 D City: Albany State/Prov.: New York 08-1935 Country: USA ZIP: 12203 (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. November 29, 2004 Martha L. Boden, Esq. Name of Person Signing Date

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ASSIGNMENT Page 1 of 2

WHEREAS, we, Paul K. Chu, a citizen of the United States, residing at City University of Hong Kong, Tat Chee Avenue, Kowloon Tong, Kowloon, Hong Kong, China; and Liuhe Li, a citizen of China, residing at Mechanical Engineering and Automation Department, 702, Beijing University of Aeronautics and Astronautics, Beijing 10083, People's Republic of China; have invented certain new and useful improvements in APPARATUS AND METHOD FOR FOCUSED ELECTIC FIELD ENHANCED PLASMA-BASED ION IMPLANTATION, which are the subject of United States Patent Application Serial No. 10/891,309, filed on July 14, 2004; and

WHEREAS, City University of Hong Kong, a non-profit organization located at Tat Chee Avenue, Kowloon Tong, Kowloon, Hong Kong, China, is desirous of obtaining the entire right, title and interest in, to and under said improvements and said application;

NOW, THEREFORE, in exchange for good and valuable consideration to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, Paul K. Chu and Liuhe Li, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto City University of Hong Kong, its successors, legal representatives and assigns, the entire right, title and interest in, to and under said improvements, and said application and all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to City University of Hong Kong, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND WE HEREBY covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith;

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ASSIGNMENT

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AND WE HEREBY further covenant and agree that we will communicate to City University of Hong Kong, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid City University of Hong Kong, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

SIGNED this 29 day of Och	<u>ber</u> 2004.
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	Paul K. Chu
Witness	V
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Witness	
None	
SIGNED this 2 day of NOV	2004.
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RECORDED: 12/02/2004

Witness