

Form PTO-1595 (Rev. 09/04)  
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

# RECORDATION FORM COVER SHEET

## PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):  
RAFAIL ZUBOK (04/04/2005), MICHAEL W.  
DUDASIK (03/31/2005), AND JOSEPH P.  
ERRICO (03/30/2005)

Execution Date(s): in parentheses after inventor name

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

### 2. Name and address of receiving party(ies)

Name: SPINECORE, INC.

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

475 SPRINGFIELD AVE, 4TH FLOOR

City: SUMMIT

State: NEW JERSEY

Country: United States of America Zip: \_\_\_\_\_

Additional name(s) & address(es) attached: ☐ Yes ☒ No

### 4. Application or patent number(s):

A. Patent Application No.(s)

10/993,907

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Kevin M. Kocun, Patent Agent  
LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.: SPINE 3.0-455 CIP CONT IX

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: (908) 518-6337

Fax Number: (908) 654-7866

Email Address: mdoherty@ldlkm.com

### 6. Total number of applications and patents involved:

1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

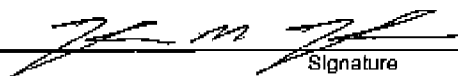
- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 12-1095  
Authorized User Name Michael J. Doherty

### 9. Signature:



Signature

Kevin M. Kocun, Patent Agent  
- 54,230

Name of Person Signing

April 8, 2005

Date

Total number of pages including cover sheet, attachments, and documents:

4

CH \$40.00 121095 10993907

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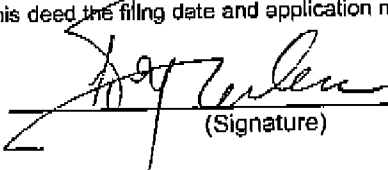
<b>ASSIGNMENT OF UTILITY APPLICATION</b>	Docket Number (Optional) SPINE 3.0-455 CIP CONT IX
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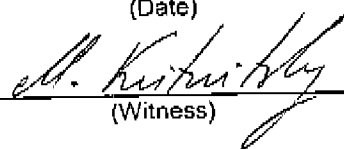
Whereas, I, Rafail Zubok of  
222 Spruce Street; Midland Park, New Jersey 07432  
 hereafter referred to as assignor, have with others invented certain new and useful improvements in  
**INSTRUMENTATION AND METHODS FOR USE IN IMPLANTING A CERVICAL DISC  
 REPLACEMENT DEVICE**  
☒ for which an application for a United States Letters Patent was filed on November 19, 2004  
 Application Number 10/993,907  
☐ for which an application for a United States Letters Patent was executed by me on \_\_\_\_\_  
 and \_\_\_\_\_

Whereas, SpineCore, Inc.  
 a corporation of \_\_\_\_\_ herein referred to as "assignee" whose mailing address is  
475 Springfield Ave., 4th Floor, Summit, New Jersey 07901  
 is desirous of acquiring the entire right, title and interest in the same;  
 NOW, THEREFORE, in consideration of the sum one dollars (\$ 1.00 ), the receipt whereof  
 of \_\_\_\_\_  
 is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said  
 assignee my entire right, title and interest for the United States of America and all other countries in and to said  
 invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue  
 applications and patents applied for or granted therefor in the United States of America and all other countries, for  
 said invention, including without limitation all applications and patents for said invention claiming priority or benefit  
 of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit  
 and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said  
 improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the  
 undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further  
 remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters  
 Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said  
 assignee or its representatives all facts known to the undersigned respecting said improvements, whenever  
 requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may  
 become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid  
 assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the  
 expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application  
 when known.

4/4/05  
 (Date)

  
 (Signature)

  
 (Witness)

Michael Kvitnitsky  
 (Typed Name of Witness)

☒ \*Total of 3 forms are submitted.

former PTO/SB/15 (8-00) Modified LDLKM

**ASSIGNMENT OF UTILITY APPLICATION**

Docket Number (Optional)

SPINE 3.0-455 CIP CONT IX

Whereas, I, Michael W. Dudasik of  
29 Daily Street, Nutley, New Jersey 07110

hereafter referred to as assignor, have with others invented certain new and useful improvements in  
**INSTRUMENTATION AND METHODS FOR USE IN IMPLANTING A CERVICAL DISC  
 REPLACEMENT DEVICE**

☒ for which an application for a United States Letters Patent was filed on November 19, 2004  
 Application Number 10/993,907

☐ for which an application for a United States Letters Patent was executed by me on \_\_\_\_\_  
 and \_\_\_\_\_

Whereas, SpineCore, Inc.

a corporation of \_\_\_\_\_ herein referred to as "assignee" whose mailing address is

475 Springfield Ave. 4th Floor, Summit, New Jersey 07901

is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum  
 of one dollars (\$ 1.00 ), the receipt whereof

is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

3/31/05

(Date)

[Signature]

(Witness)

Timothy J. Bortree

(Typed Name of Witness)

☒ \*Total of 3 forms are submitted.

former PTO/SB/15 (8-00) Modified LDLK&amp;M

<b>ASSIGNMENT OF UTILITY APPLICATION</b>	Docket Number (Optional) <b>SPINE 3.0-455 CIP CONT IX</b>
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Whereas, I, Joseph P. Errico of  
29 Deer Path Circle; Green Brook, New Jersey 08812  
hereafter referred to as assignor, have with others invented certain new and useful improvements in  
**INSTRUMENTATION AND METHODS FOR USE IN IMPLANTING A CERVICAL DISC  
REPLACEMENT DEVICE**

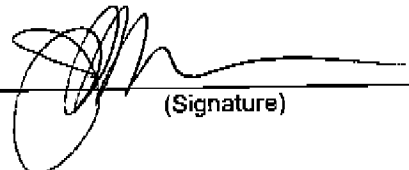
☒ for which an application for a United States Letters Patent was filed on November 19, 2004  
Application Number 10/993,907


☐ for which an application for a United States Letters Patent was executed by me on \_\_\_\_\_  
and \_\_\_\_\_

Whereas, SpineCore, Inc.  
a corporation of \_\_\_\_\_ herein referred to as "assignee" whose mailing address is  
475 Springfield Ave. 4th Floor, Summit, New Jersey 07901  
is desirous of acquiring the entire right, title and interest in the same;  
NOW, THEREFORE, In consideration of the sum  
of one dollars (\$ 1.00 ), the receipt whereof  
is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said  
assignee my entire right, title and interest for the United States of America and all other countries in and to said  
invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue  
applications and patents applied for or granted therefor in the United States of America and all other countries, for  
said invention, including without limitation all applications and patents for said invention claiming priority or benefit  
of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit  
and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said  
improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the  
undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further  
remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters  
Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said  
assignee or its representatives all facts known to the undersigned respecting said improvements, whenever  
requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may  
become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid  
assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the  
expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application  
when known.

3/30/05  
(Date)

  
(Signature)

  
(Witness)

Timothy J. Bortree  
(Typed Name of Witness)

☒ \*Total of 3 forms are submitted.

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