

RECC

12-08-2004

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAttorney Docket No.  
15030 B (4655 SF)

Tab settings

102896777

To the Honorable Commissioner of Patents and Trademarks: Please return the enclosed original documents or copy thereof.

1. Name of conveying party(ies): Tai Yang LUH

11-29-04

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: October 25, 2004

2. Name and address of receiving party(ies):

Name: Ding Li Metal Industrial Co., Ltd.

Internal Address: No. 532, Sec. 1, Changlon

Road, Toubien Li, Taiping City, Taichung

Hsien 411 Taiwan

Street Address: No. 532, Sec. 1, Changlon  
Road, Toubien Li, Taiping City, Taichung  
Hsien 411 Taiwan

City: Taichung Hsien State: TW ZIP: 411

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CHARLES E. BAXLEY

Internal Address: 90 John Street-3rd Floor

New York, N.Y. 10038-3243

12/01/2004 AADU01 00000070 29218176

92 FC:8021 40.00 DP

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New York, N.Y. 10038-3243

City: New York State: NY ZIP: 10038

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

02-1435

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

CHARLES E. BAXLEY

Name of Person Signing

Signature

November 29, 2004

Date

Total number of pages comprising cover sheet:

3

# ASSIGNMENT

Attorney Docket No.  
15030 B (4655 SF)

- (1) Tai Yang LUH
- (2) \_\_\_\_\_
- (3) \_\_\_\_\_
- (4) \_\_\_\_\_
- (5) \_\_\_\_\_

(1-5) Insert Name(s) of  
Inventor(s).

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(6) Insert name of Assignee

(6) Ding Li Metal Industrial Co., Ltd.

(7) Insert address of Assignee

(7) No. 532, Sec. 1, Changlon Road, Toubien Li,  
Taiping City, Taichung Hsien 411 Taiwan

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

(8) Insert Identification of  
Invention, such as Title,  
Case Number or Foreign  
Application Number

(8) BEACH MOTOR VEHICLE

Foreign  
Application or  
Case No.

for which the undersigned has (have) executed an application for patent in the United States of America

(9) Insert Date of Signing of  
Application

(9) on October 25, 2004

(10) Alternative identification  
for filed applications

(10) U.S. application Serial Number \_\_\_\_\_,  
filed \_\_\_\_\_

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient or essential to its full protection and title in and to the invention hereby transferred.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division or re-issue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

4) The undersigned agree(s) to communicate to the Assignee or representatives thereof any facts known to me (us) respecting the invention and improvements thereof, and will, upon request, but without expense to me (us), testify in any legal proceedings regarding the invention.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) the firm of H.B.D.H. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

7) This Assignment shall be binding upon my (our) heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors and/or assigns of the Assignee.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

- (1) Date Oct. 25, 2004 Name of Inventor LUH, TAI - YANG
- (2) Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_
- (3) Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_
- (4) Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_
- (5) Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_
- Date \_\_\_\_\_ Witness \_\_\_\_\_
- Date \_\_\_\_\_ Witness \_\_\_\_\_

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: Ding Li Metal Industrial Co., Ltd. Attorney's 15030 1  
 Serial or Patent No.: Not Yet Assigned Docket No.: (4655  
 Filed or Issued: Simultaneously Herewith SF)  
 For: BEACH MOTOR VEHICLE

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
 (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☒ the owner of the small business concern identified below:  
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Ding Li Metal Industrial Co., Ltd.  
 ADDRESS OF CONCERN No. 532, Sec. 1, Changlon Road, Toubien Li,  
Taiping City, Taichung Hsien 411 Taiwan

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under \$41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year; and concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above entitled invention described in

- ☒ the specification filed herewith  
☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed in the ☐ Appendix hereto, and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Tai Yang LUH  
 TITLE OF PERSON OTHER THAN OWNER president  
 ADDRESS OF PERSON SIGNING No. 532, Sec. 1, Changlon Road, Toubien Li,  
Taiping City, Taichung Hsien 411 Taiwan

SIGNATURE LUH, TAI-YANG DATE October 25, 2004