



12-14-2004  
102900599

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Joshua Makower  
John Y. Chang  
Julia D. Vranj  
Amrish Jayprakash Walke

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

ExploraMed NC1, Inc.  
2570 W. El Camino Real, Suite 310  
Mountain View, CA 94040

A Delaware corporation.

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: October 20, 2004

4. Application number(s) or patent number(s): 10/912,578

If this document is being filed together with a new application, the filing date of the application is: [Inventor Declaration Execution Date]

A. Patent Application No.(s):

[Application No.]

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Robert D. Buyan  
Stout, Uxa, Buyan & Mullins, LLP  
4 Venture, Suite 300  
Irvine, CA 92618

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$40

☒ Enclosed (Check No. 3623)

☐ Authorized to be charged to deposit account if fee is insufficient.

8. Deposit account number: 50-0878

(Attach duplicate copy of this page if paying by deposit account.)

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9. Statement and signature.

Attorney Docket No. EXPMD-021B

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Robert D. Buyan

Name of Person Signing

Signature

December 6, 2004

Date

Total number of pages including cover sheet, attachments and document: [Total No. pages 7]

12/10/2004 MAHME1 00000052 10912578

05 FC:8021

40.00 OP

## ASSIGNMENT

Name(s) of Inventor(s): Joshua Makower, John Y. Chang, Julia D. Vransy and Amrish Jayprakash Walke

Name of Assignee: ExploraMed NC1, Inc.

State of Incorporation of Assignee: Delaware

Address of Assignee: 2570 W. El Camino Real, Suite 310, Mountain View, CA 94040

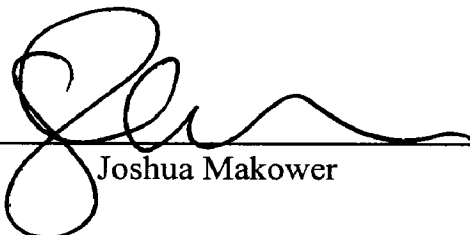
Title of Application: Implantable Devices and Methods for Delivering Drugs and Other Substances to Treat Sinusitis and Other Disorders

Application No.: 10/912,578 Date of Filing of Application: August 4, 2004

1. In consideration of the sum of \$1.00 and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned agree(s) to sell, assign and transfer and does (do) hereby sell, assign and transfer to the above-named Assignee, its successors and assigns, the entire and exclusive right, title and interest in and to the inventions claimed and described in the above-identified patent application in the United States and throughout the world, and in and to any applications for patent and patents which may be granted and issued thereon in any and all countries, and in and to any continuations, divisions, reissues and extensions of said application(s) and patent(s) and all international priority rights associated therewith. The undersigned agree(s) to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.
2. The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.
3. The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
4. The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.
5. The undersigned hereby represent(s) and warrant(s) that no other assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.
6. The undersigned hereby authorize and request the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the Assignee, as Assignee of the entire interest, and hereby covenant that they have full right to convey the entire interest herein assigned, and that they have not executed any agreement in conflict herewith.

7. The undersigned hereby grant Frank J. Uxa, Reg. No. 25,612; Donald E. Stout, Reg. No. 34,493; Robert D. Buyan, Reg. No. 32,460; Kenton R. Mullins, Reg. No. 36,331; Jo Anne M. Ybabien, Reg. No. 42,243; Linda Allyson Fox, Reg. No. 38,883; and Greg S. Hollrigel, Ph.D., Registered Patent Agent No. 32,337, all of the firm of STOUT, UXA, BUYAN & MULLINS, LLP, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Signature of Inventor: \_\_\_\_\_

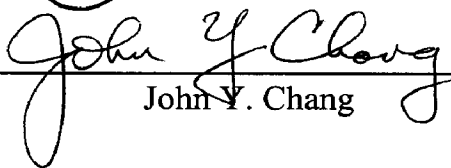


Joshua Makower

Date: \_\_\_\_\_

10/18/04

Signature of Inventor: \_\_\_\_\_

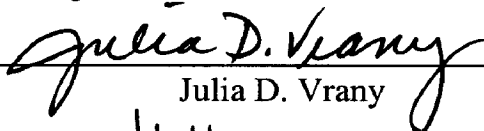


John Y. Chang

Date: \_\_\_\_\_

10/20/04

Signature of Inventor: \_\_\_\_\_

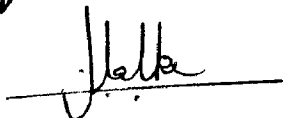


Julia D. Vransy

Date: \_\_\_\_\_

10/18/04

Signature of Inventor: \_\_\_\_\_



Amrish Jayprakash Walke

Date: \_\_\_\_\_

10/20/04

ALL-PURPOSE NOTARIAL ACKNOWLEDGMENT

State of California

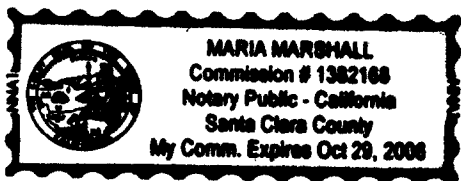
County of Santa Clara

On October 18, 2004 before me, Maria Marshall, Notary Public  
(Date) (Name, Title of Officer)

personally appeared Joshua Makower

☒ personally known to me  
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☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

Maria Marshall

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DESCRIPTION OF ATTACHED DOCUMENT

Assignment

TITLE OR TYPE OF DOCUMENT

Invention/Patent Assignment

2 8/4/04  
NUMBER OF PAGES DATE OF DOCUMENT

Yang Vany, Walke  
SIGNER (OTHER THAN NAMED ABOVE)

PATENT

REEL: 016058 FRAME: 0648

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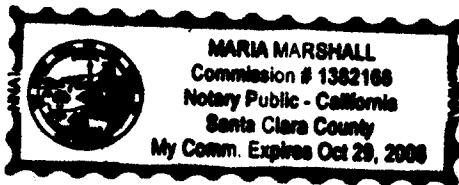
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Makower, Chang, Walker  
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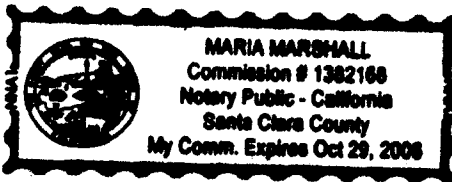
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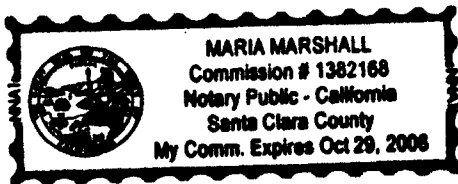
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