

12-14-2004

OMB No. 0651-0011 (exp. 4/94)

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12.10.04



To the Honorable Commissioner of Patents

102900909

cuments or copy thereof.

1. Name of conveying party(ies):

Bernd SCHULTHEIS, Harry ENGELMANN and
Clemens OTTERMANN

2. Name and address of receiving party(ies)

Name: SCHOTT AG

Internal Address:

Street Address: Hattenbergstr. 10

DE-55122 Mainz, Germany

City: State: Zip:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 17 November 2004

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/914,628

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Douglas H. Pauley

Internal Address: Pauley Petersen & Erickson

Street Address: 2800 West Higgins Road

Suite 365

City: Hoffman Estates State: IL Zip: 60195

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Douglas H. Pauley

Name of Person Signing

Signature

06 DEC 2004

Date

Reg. No. 33,295

Total number of pages including cover sheet, attachments, and document:

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Attorney

Docket No.:

VO-689

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I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Assignment Recordation Services, Director of the US Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on 06 DEC 2004.

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ASSIGNMENT

WHEREAS, We the following inventors

1. Name: Bernd SCHULTHEIS
 Residence: Schwabenheim, Germany
 Post Office Address: Silvanerweg 2
 DE-55270 Schwabenheim, Germany
 Citizenship: Germany
2. Name: Harry ENGELMANN
 Residence: Ingelheim, Germany
 Post Office Address: Eisenacher Str. 12
 DE-55218 Ingelheim, Germany
 Citizenship: Germany
3. Name: Clemens OTTERMANN
 Residence: Hattersheim, Germany
 Post Office Address: Eppsteiner Str. 4
 DE-65795 Hattersheim, Germany
 Citizenship: Germany

hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements in an invention entitled:

**METHOD FOR PRODUCING LIGHT-SCATTERING
STRUCTURES ON FLAT OPTICAL WAVEGUIDES**

which is described and claimed in an application for Letters Patent of the United States having Serial No. 10/914,628, a Filing Date of 09 August 2004, and naming as inventors Bernd SCHULTHEIS, Harry ENGELMANN and Clemens OTTERMANN; said improvements as described and claimed in said application for Letters Patent of the United States, being hereinafter referred to as said "Invention"; and

WHEREAS, SCHOTT AG, a corporation duly organized and existing under and by virtue of the laws of the Country of Germany and having its principal office and place of business at Hattenbergstr. 10, DE-55122 Mainz, Germany, hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNORS' entire rights, title and interest in and to said Invention, and in, to and under Letters Patent therefor in the United States;

ASSIGNMENT

NOW THEREFORE BE IT KNOWN THAT, for and in consideration of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNORS have sold, assigned, transferred and set over and by these presents do hereby sell, assign, transfer and set over to and unto ASSIGNEE, its successors and assigns ASSIGNORS' entire rights, title and interest in and to said Invention, and in, to and under the above-identified application and all other applications for Letters Patent of the United States, and in, to and under all divisions, reissues, continuations, extensions and reexaminations thereof, and covenant that ASSIGNORS have the full right so to do; and the Commissioner of Patents is hereby authorized and requested to issue all United States Letters Patent on said Invention included herein to ASSIGNEE, as assignee of ASSIGNORS' entire interest.

ASSIGNORS further agree to communicate to ASSIGNEE or its representatives or agents all facts and information known or available to ASSIGNORS regarding said Invention including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other proceedings; to execute and deliver, on request, all lawful papers including original, divisional, continuing and reissue applications, renewals, assignments, powers of attorney, declarations, oaths, affidavits, depositions, etc.; and to generally do everything possible to aid ASSIGNEE, its successors and assigns, but at the expense of ASSIGNEE, in obtaining and enforcing proper protection for said Invention in the United States.

X 15. Nov. 2004
Date

X 
Bernd SCHULTHEIS

X 16. Nov. 2004
Date

X 
Harry ENGELMANN

X 17 Nov. 2004
Date

X 
Clemens OTTERMANN