

RECORD

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FORM PTO-1595 U.S.

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)



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DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Commissioner for Patents: Please record the attached original document or copy thereof.

1. Name of conveying party:

Danila VALMORI  
Maha AYYOUBAdditional names of conveying parties attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ ASSIGNMENT☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: November 21, 2004

2. Name and address of receiving party:

Name: Ludwig Institute for Cancer  
Research

Internal Address:

Street Address: 605 Third Avenue  
New York, NY 10158Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

4. Application number:

A. Patent Application No.

10/936,888

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence  
Concerning document should be mailed:Name: John R. Van Amsterdam  
Address: Wolf, Greenfield & Sacks, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, Massachusetts 02210

12/13/2004 SZEWDIE1 00000038 10936888

03 EC:8021

40.00 DP

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41)

\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

The Director is authorized to charge:

8. Deposit Account No. 23/2825

DO NOT USE THIS SPACE

9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*John R. Van Amsterdam  
Reg. No. 40,212

Name of Person Signing

Signature

December 7, 2004

Date

Total number of pages including cover sheet, attachments, and document: [2]

Mail documents to be recorded with required cover sheet information to (modify as appropriate):

Mail Stop Assignment Recordation Services  
Director - U.S. Patent and Trademark Office  
PO Box 1450, Alexandria, VA 22313-1450

L0461.701.63US00

**ASSIGNMENT**

For good and valuable consideration, the sufficiency of which is hereby acknowledged, we, the undersigned, **Daniela VALMORI, Maha AYYOUB**, of New York, NY; hereby:

Sell, assign and transfer to **LUDWIG INSTITUTE FOR CANCER RESEARCH**, a Switzerland corporation having a place of business at 605 Third Avenue, New York, NY 10158, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on **September 9, 2004**, under Serial No. **10/936,888**, bearing attorney docket number **L0461.701.63US00**, and entitled **SSX-4 PEPTIDES PRESENTED BY HLA CLASS II MOLECULES**, and in and to said application and all non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent, utility models, industrial designs or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and any other jurisdiction on said inventions, including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said Assignee may apply for and receive Letters Patent and utility model and industrial design registrations for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model and industrial design applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me relating to said inventions and the history thereof, and generally by doing everything reasonably possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee;

Request the Honorable Director of Patents and Trademarks to issue said Letters Patent to said Assignee; and

Authorize and request Wolf, Greenfield & Sacks, P.C. to supply any missing patent/application identification information or correct any errors in the patent/application identification information provided above, whether discovered prior to or after recordation;

Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by us; and

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Assignment  
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Appoint the President or Chief Executive Officer of Assignee, or his or her successor, my attorney-in-fact to execute in my name and behalf any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments.

11/21/04  
Date

Daniela Valmori  
**Daniela VALMORI**

Address: Ludwig Institute Clinical Trial Center  
Div. of Medical Oncology Department of Medicine  
Columbia Univ. College of Physicians and Surgeons  
650 West 168th Street, Black Building Room 20-07  
New York, NY, 10032

Citizenship: Italy

On this \_\_\_\_ day of \_\_\_\_\_, 2004, before me, the undersigned notary public, personally appeared \_\_\_\_\_, proved to me through satisfactory evidence of identification, which were \_\_\_\_\_, to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief, and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

SEAL

Notary Public: \_\_\_\_\_

My commission expires: \_\_\_\_\_

11/21/04  
Date

Maha Ayyoub  
**Maha AYYOUB**

Address: Ludwig Institute Clinical Trial Center  
Div. of Medical Oncology Department of Medicine  
Columbia Univ. College of Physicians and Surgeons  
650 West 168th Street, Black Building Room 20-07  
New York, NY, 10032

Citizenship: Jordan

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My commission expires: \_\_\_\_\_

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