

Form PTO-1595 (Rev. 03/05)  
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE  
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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

John Ricci  
Harold Alexander  
John Grew

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) Nov 20, 2003; Nov 14, 2003; Nov 18, 2003

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Bio-Lok International, Inc.

Internal Address: \_\_\_\_\_

Street Address: 312 South Military Trail

City: Deerfield Beach

State: Florida

Country: USA Zip: 33442

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

A. Patent Application No.(s)  
10/338,076

☐ This document is being filed together with a new application.  
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Melvin K. Silverman

Internal Address: \_\_\_\_\_

Street Address: 500 West Cypress Creek Road, Suite 500

City: Fort Lauderdale,

State: Florida Zip: 33309

Phone Number: 954-351-7474

Fax Number: 954-351-7475

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved: 1****7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

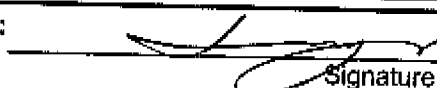
**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 502557

Authorized User Name Melvin K. Silverman

**9. Signature:**

  
Signature

4/14/2005  
Date

YI LI, Reg. No. 44211

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 502557 10338076

**ASSIGNMENT OF PATENT RIGHTS**

**From  
John Ricci  
(Assignor)**

**and**

**Harold Alexander  
(Assignor)**

**and**

**John Grew  
(Assignor)**

**To**

**BIO-LOK INTERNATIONAL, INC.  
(Assignee)**

**Prepared by Melvin K. Silverman, P.C.  
500 W. Cypress Creek Rd.  
Suite 500  
Ft. Lauderdale, FL 33309**

**PATENT  
REEL: 016070 FRAME: 0472**

## ASSIGNMENT

### Assignors

**WHEREAS** John L. Ricci is an individual and US citizen, residing in Middletown, New Jersey;

And

**WHEREAS** Harold Alexander is an individual and US citizen, residing in Short Hills, New Jersey.

And

**WHEREAS** John Grew is an individual and US citizen, residing in Jersey City, New Jersey.

### Assignee

**WHEREAS** Bio-Lok International, Inc. is a corporation organized and existing under the laws of the State of Delaware with its principal place of business at 312 S. Military Trail, Deerfield Beach, FL 33442.

### Definitions:

Invention: US Application Serial No. 10/338,076 namely, Bio-Reactor and Cell Culture Surface with Microgeometric Surfaces, filed 01/07/03 which claims the benefit of **Application No. 60/346,497** filed 01/09/02.

### Recitals:

**WHEREAS** John L. Ricci, Harold Alexander and John Grew have invented a Bio-Reactor and Cell Culture Surface with Microgeometric Surfaces.

**WHEREAS** Bio-Lok International, Inc. is desirous of acquiring from John L. Ricci, Harold Alexander and John Grew, their particular rights, title to and interest in this Invention including its successors, and to any Letters Patent that may be issued by the U.S. Patent and Trademark Office in relation thereto and by any foreign Patent Office.

**THEREFORE**, in consideration of ten dollars and other good and valuable consideration, the receipt of which is acknowledged:

1. John L. Ricci, Harold Alexander and John Grew convey their particular right, title to and interest in:
  - (a) the Invention;
  - (b) the Application for Letters Patent in the United States relating to the Invention;
  - (c) any and all Letters Patent that might be issued in the United States and elsewhere relating to the Invention;
  - (d) any and all divisionals, continuations, continuations-in-part of any Application in relation to the Invention;
  - (e) any reissues or extensions of Letters Patent in relation to the Invention; and
  - (f) all rights under any International Convention or Agreement applicable to the Invention,to Bio-Lok International, Inc.
2. John L. Ricci, Harold Alexander and John Grew warrant that they are the lawful owners of their particular right, interest to and title in the Invention and that they have granted no other assignments of rights or licenses granted in relation to their particular interest in the Invention.
3. John L. Ricci, Harold Alexander and John Grew warrant that they have has full right, authority and power to assign, convey and otherwise transfer their particular title, right and interest in the Invention and that their particular title to, right and interest in the Invention is assigned, transferred or conveyed free and clear of all liens, encumbrances and adverse claims.
4. This Assignment shall be binding on and inure to the benefit of the assignor and assignee, their successors, assigns and legal and personal representatives.
5. John L. Ricci, Harold Alexander and John Grew agree and covenant that, whenever counsel to Bio-Lok International, Inc. deems necessary and advisable, John L. Ricci, Harold Alexander and John Grew shall execute any and all documents, communicate any facts or do any and all acts necessary in order to protect the rights of Bio-Lok International, Inc. in relation to the Invention and to effect the purposes of this assignment.
6. John L. Ricci, Harold Alexander and John Grew further request the Commissioner of Patents of the United States to issue any and all Letters Patent to Bio-Lok International, Inc. and to record this assignment.
7. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original and all of which shall together constitute one Agreement.

SIGNATURES

John L. Ricci  
John L. Ricci

11/20/03  
Date

State of New Jersey  
County of ESSEX

Before me, the undersigned authority, personally appeared John L. Ricci and acknowledged this instrument to be his free act and deed.

11/20/03  
Date

Kelly Marie Avilar  
Notary Public



KELLY MARIE AVCILAR  
Notary Public of New Jersey  
My Commission Exp. 3/13/2005

My Commission expires: 3/13/2005

Harold Alexander  
Harold Alexander

11/14/03  
Date

State of New Jersey  
County of ESSEX

Before me, the undersigned authority, personally appeared Harold Alexander and acknowledged this instrument to be his free act and deed.

11/14/03  
Date

Kelly Marie Avilar  
Notary Public



KELLY MARIE AVCILAR  
Notary Public of New Jersey  
My Commission Exp. 3/13/2005

My Commission expires  
3/13/2005

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John Grew  
John Grew

18 Nov 03  
Date

State of New Jersey  
County of Union

Before me, the undersigned authority, personally appeared Harold Alexander and acknowledged this instrument to be his free act and deed.

11/18/03  
Date

Gayle Levy  
Notary Public

My Commission expires

GAYLE LEVY  
A NOTARY PUBLIC OF NEW JERSEY  
MY COMMISSION EXPIRES OCTOBER 26, 2008